

# Status of neutrino oscillations

**NEUTRINO**  
**2002** **V** **MUNICH**

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# ISSUES

- Solar neutrinos
  - Atmospheric neutrinos
  - Accelerator neutrinos
  - Future longbaseline experiments
- Neutrino properties: mass, Majorana vs. Dirac, magnetic moments
  - neutrino in astrophysics and cosmology
  - Dark matter and WIMPS
  - Cosmic Rays and Neutrino telescopes

# Solar Neutrinos

Solar Neutrinos

Experimental Results

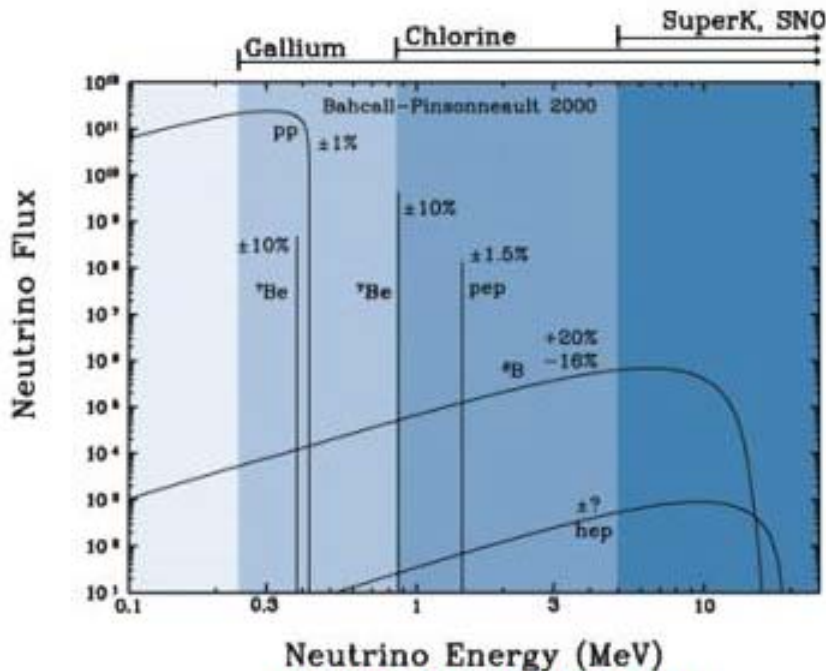


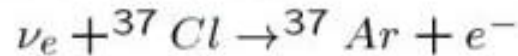
Figure by J. Bahcall

SAGE+GALLEX/GNO



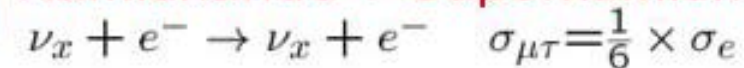
Flux = 0.58 SSM

Homestake



Flux = 0.33 SSM

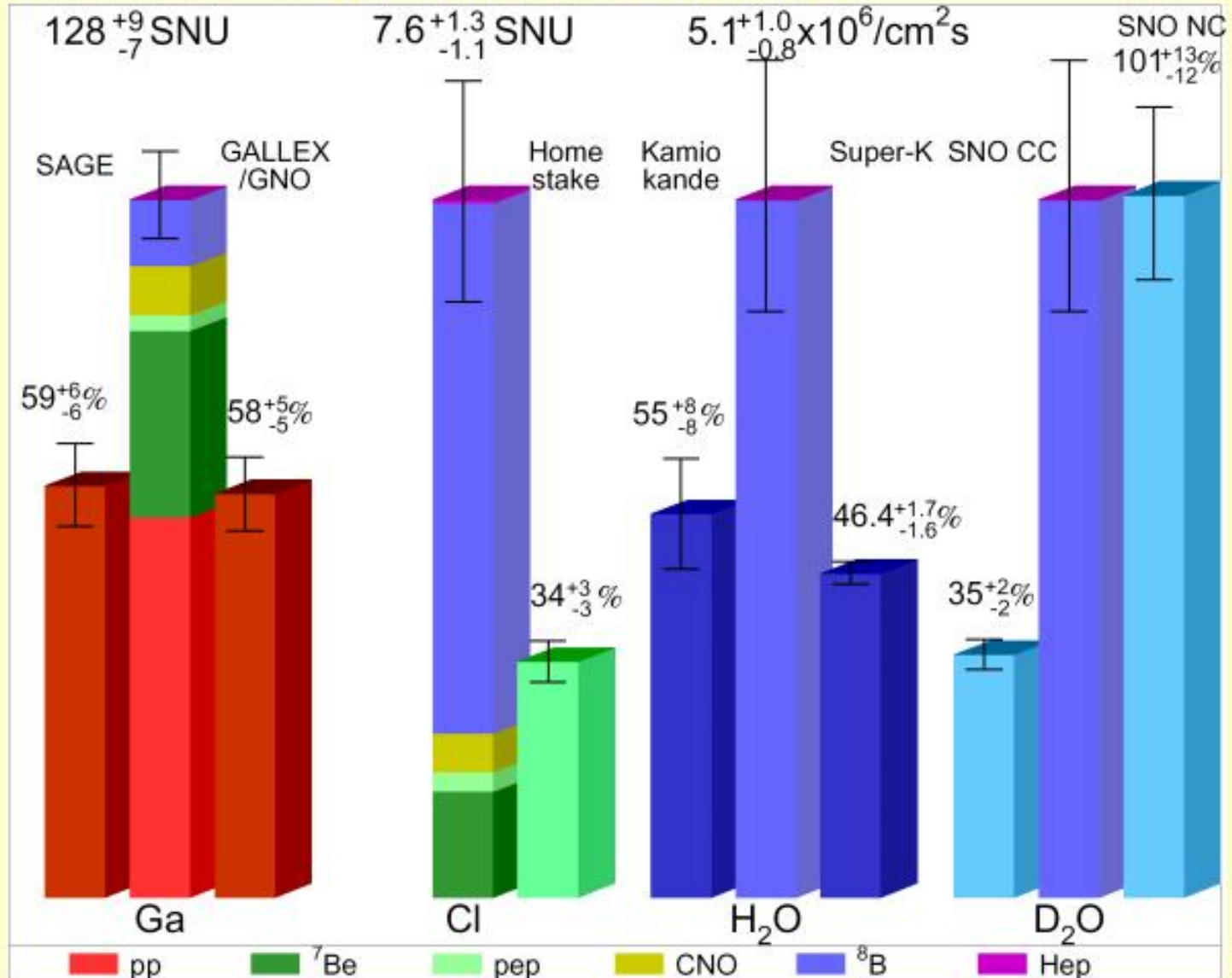
Kamiokande + Superkamiokande



Flux = 0.46 SSM

Neutrino Flavor Change ?

# Solar $\nu$ Problem



REACTION	TERM. (%)	$\nu$ ENERGY (MeV)
$p + p \rightarrow {}^2\text{H} + e^+ + \nu_e$	(99.96)	$\leq 0.423$
or		
$p + e^- + p \rightarrow {}^2\text{H} + \nu_e$	(0.44)	1.445
${}^2\text{H} + p \rightarrow {}^3\text{He} + \gamma$	(100)	
${}^3\text{He} + {}^3\text{He} \rightarrow \alpha + 2p$	(85)	
or		
${}^3\text{He} + {}^4\text{He} \rightarrow {}^7\text{Be} + \gamma$	(15)	
${}^7\text{Be} + e^- \rightarrow {}^7\text{Li} + \nu_e$	(15)	$\begin{cases} 0.863 & 90\% \\ 0.385 & 10\% \end{cases}$
${}^7\text{Li} + p \rightarrow 2\alpha$		
or		
${}^7\text{Be} + p \rightarrow {}^8\text{B} + \gamma$	(0.02)	
${}^8\text{B} \rightarrow {}^8\text{Be}^* + e^+ + \nu_e$		$< 15$
${}^8\text{Be}^* \rightarrow 2\alpha$		
or		
${}^3\text{He} + p \rightarrow {}^4\text{He} + e^+ + \nu_e$	(0.00003)	$< 18.8$

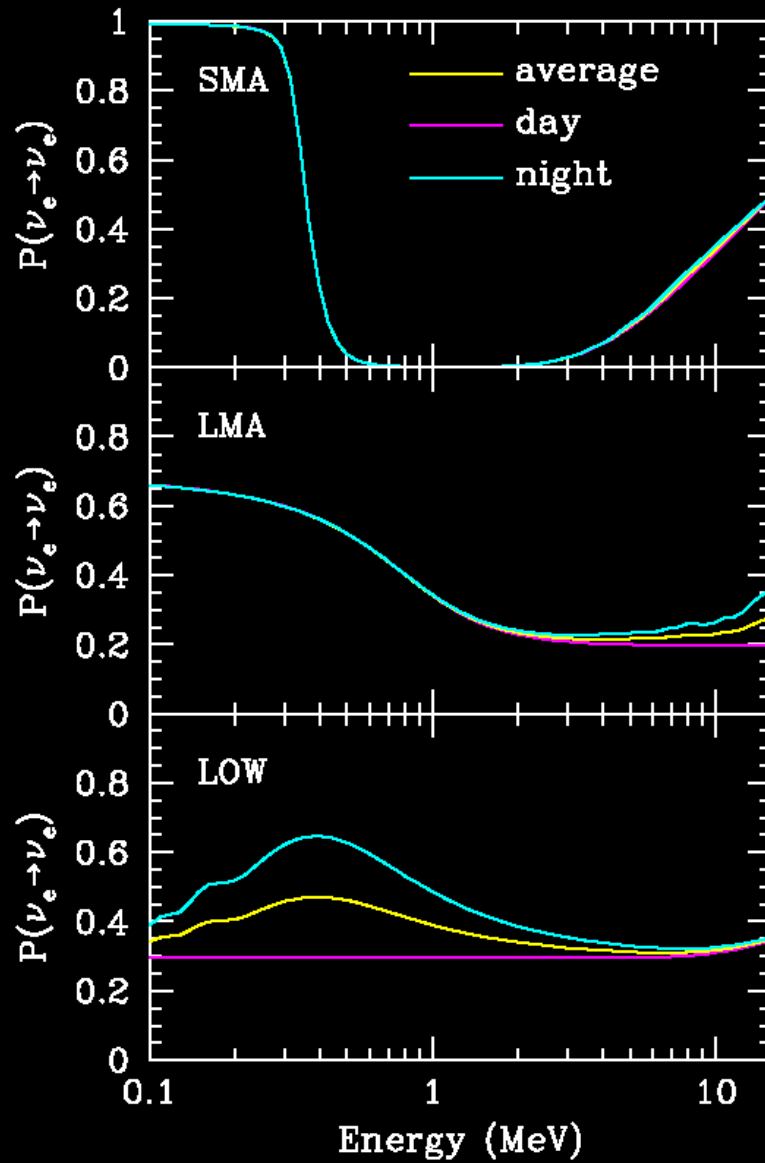
Neutrino terminations from BP2000 solar model.

Neutrino energies include solar corrections:

J. Bahcall, Phys. Rev. C, 56, 3391(1997).

Solar model

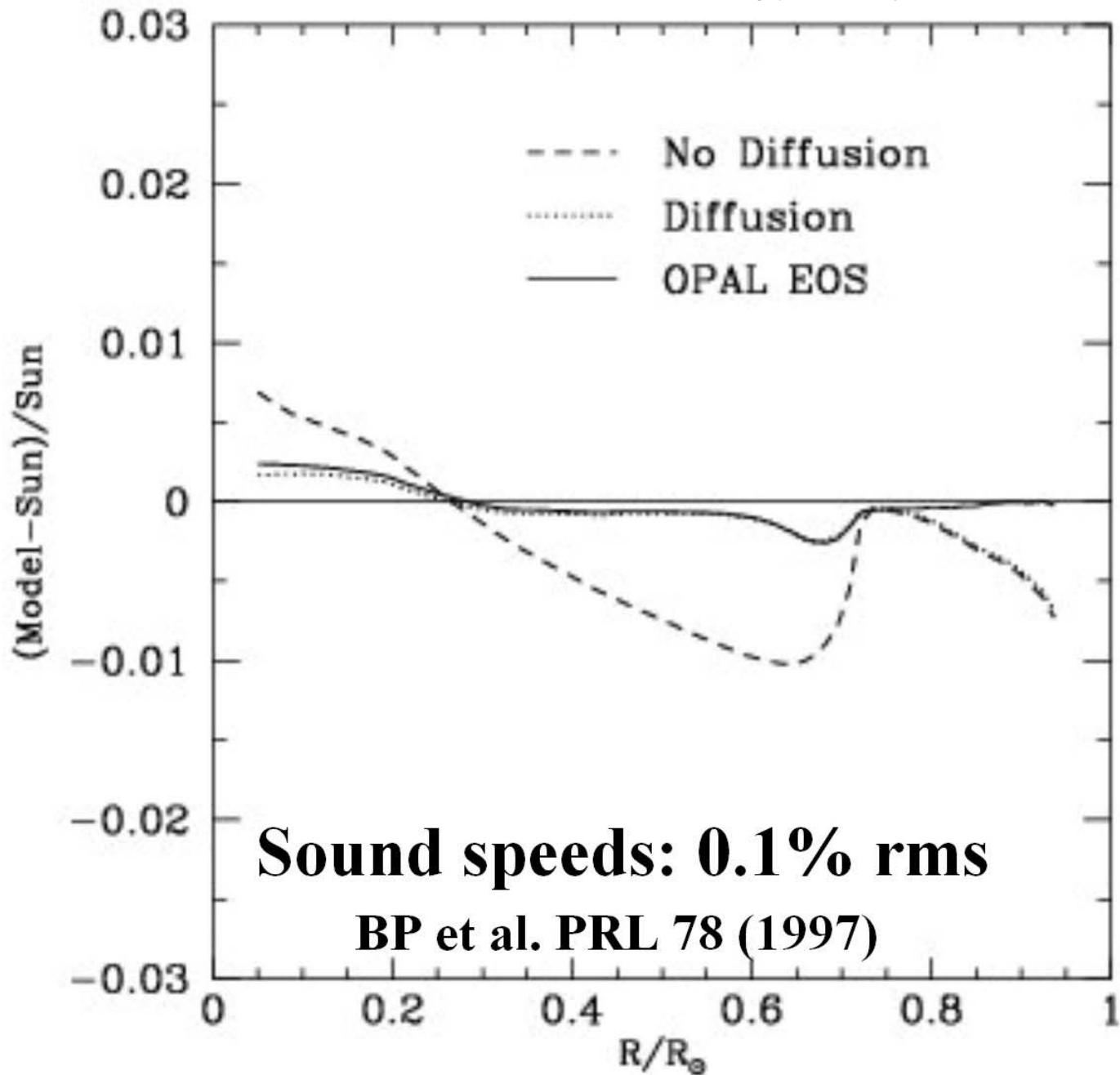
# Survival Probabilities



# Highlights: 1988-1995

- 1988: helioseismology + v's: **0.5%**
- 1990-1994:Radiative opacity, E.O.S.
- 1990-1995: Element Diffusion
  - $^8\text{B}$  flux: + 35% [RMP 67 (1995)]**



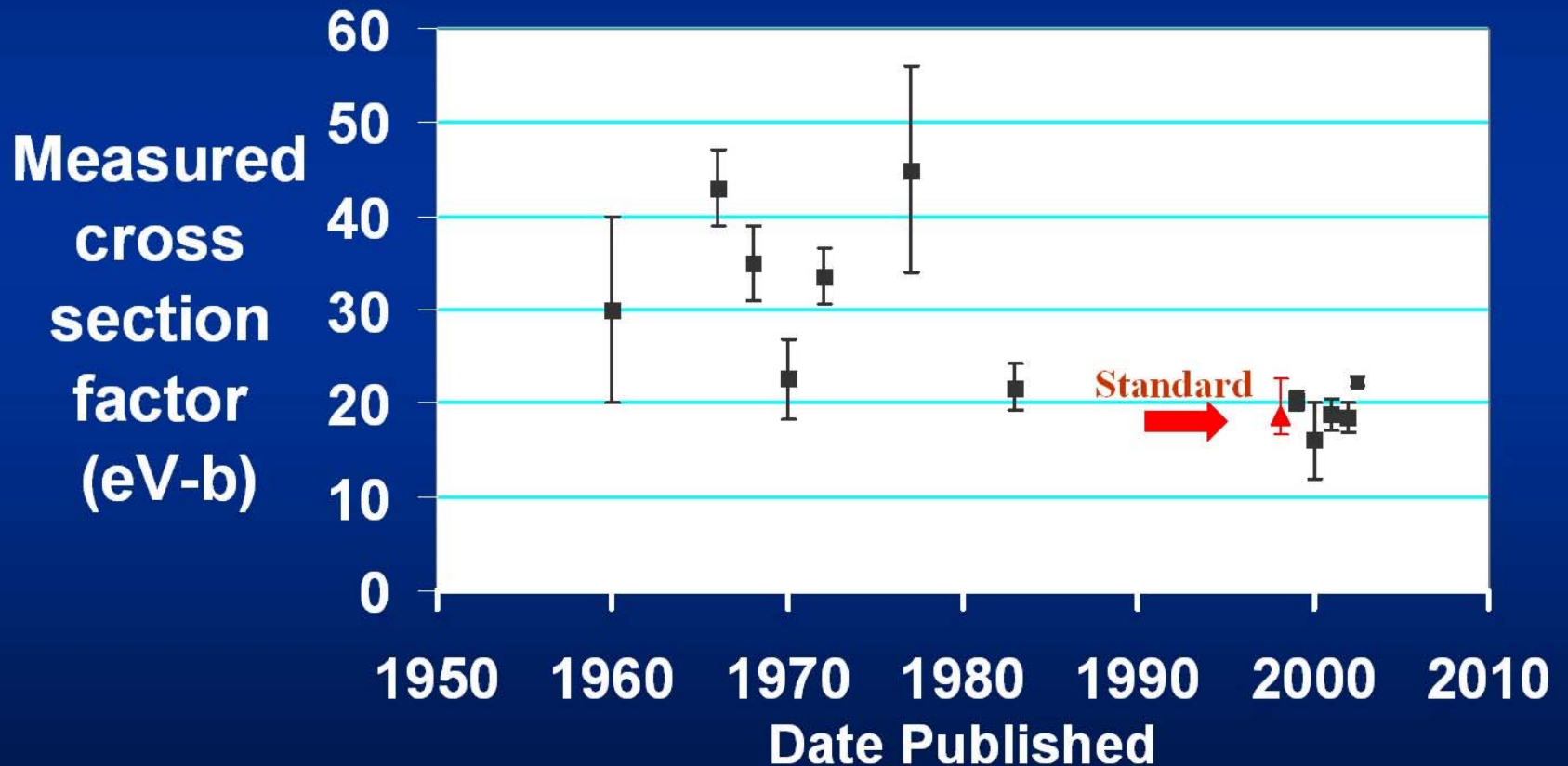


# BP00 %Uncertainties

Source	$^8\text{B}$	$^7\text{Be}$
p-p	0.04	0.02
$^3\text{He} + ^3\text{He}$	0.02	0.02
$^3\text{He} + ^4\text{He}$	0.08	0.08
$p + ^7\text{Be}$	+0.14 -0.07	0.00
Composition	0.08	0.03
Opacity	0.05	0.03
Diffusion	0.04	0.02
Luminosity	0.03	0.01

# Cross section: ${}^7\text{Be}(p,\gamma){}^8\text{B}$

$\varphi({}^8\text{B}) \propto$  Cross Section Factor



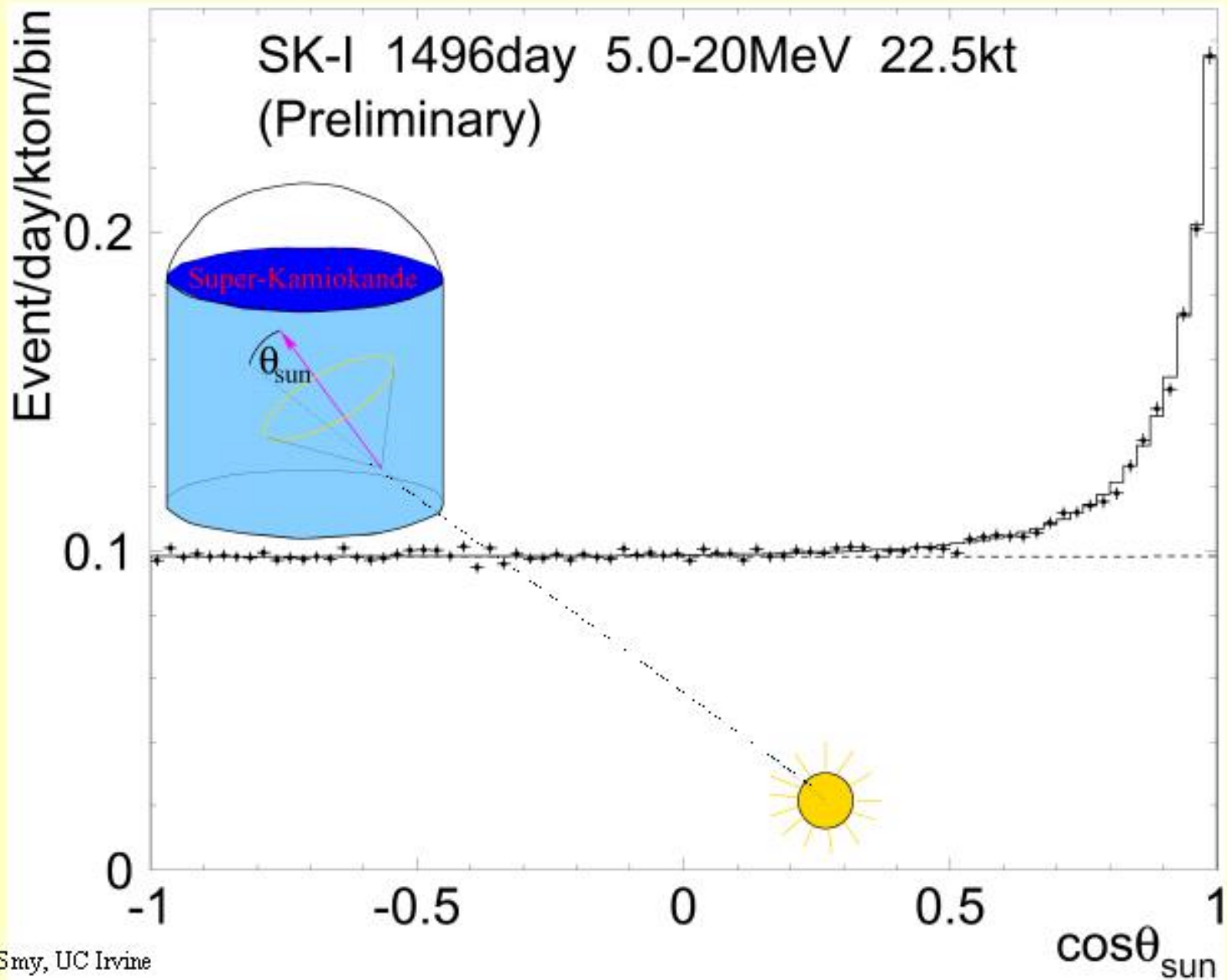
# Super-K Solar Neutrinos

- 1496 Live Days between May 31<sup>st</sup>, 1996 and July 15<sup>th</sup>, 2001
- High Statistics
- Measures  $^8\text{B}$ , limits *hep* flux
- $^8\text{B}$  flux time variations
- Studies energy spectrum
- Some sensitivity to other than *e*-type neutrinos

## Oscillation Signatures

- Suppression of  $^8\text{B}$  flux
- Appearance of other active flavors (with SNO)
- Spectral Distortion
- Daily variations of  $^8\text{B}$  flux
- Anomalous yearly variations of  $^8\text{B}$  flux

# Solar Peak above 5 MeV



# Super-K Solar Neutrino Rate

## 1496 Day Final Sample:

flux is

$$2.35 \pm 0.02(\text{stat.}) \pm 0.08(\text{sys.}) \times 10^6 / \text{cm}^2 \cdot \text{s}$$

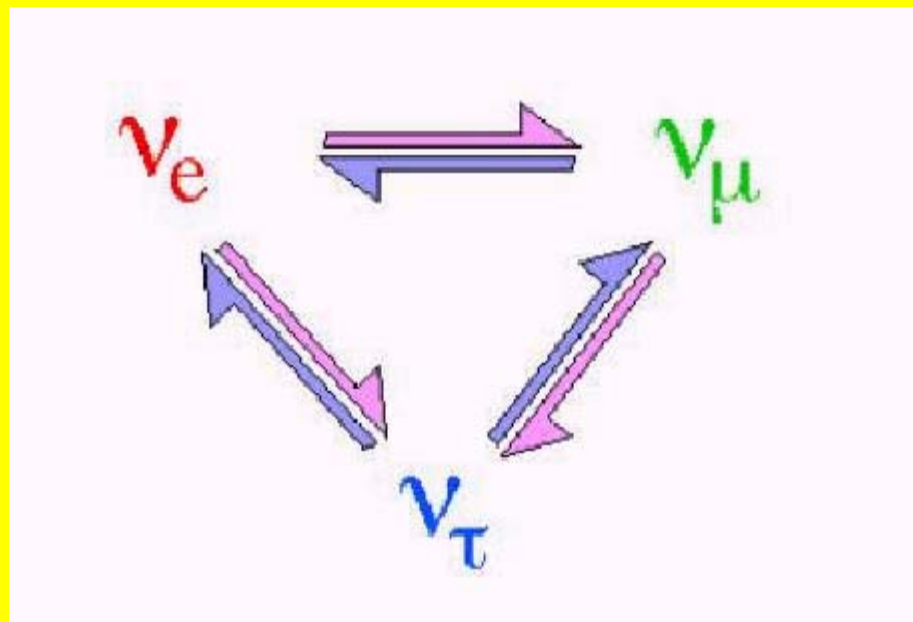
$$\text{or } 0.465 \pm 0.005(\text{stat.})_{-0.015}^{+0.016}(\text{sys.}) \times \text{SSM}$$

- 22,400 solar neutrino events
- 18-21 MeV:  $4.9 \pm 2.7$  events
- Expect  $\sim 1$  *hep* neutrino (SSM)
- Expect  $\sim 2$  *hep* neutrinos (oscillation best fit:  $\sim 4$ x SSM)
- 90% C.L. upper limit of *hep* flux:  $73 \times 10^3 / \text{cm}^2 \text{s}$  ( $7.9$ xSSM)

## Expect:

- 48,200 solar neutrinos (from SSM)
- 16,700 *e*-type solar neutrinos (from SNO)
- About 5,700  $\mu/\tau$ -type solar neutrinos

# $\nu$ Oscillations



# The Large Mixing Angle MNS matrix:

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = R_{23} R_{13} R_{12} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

solar LMA MSW

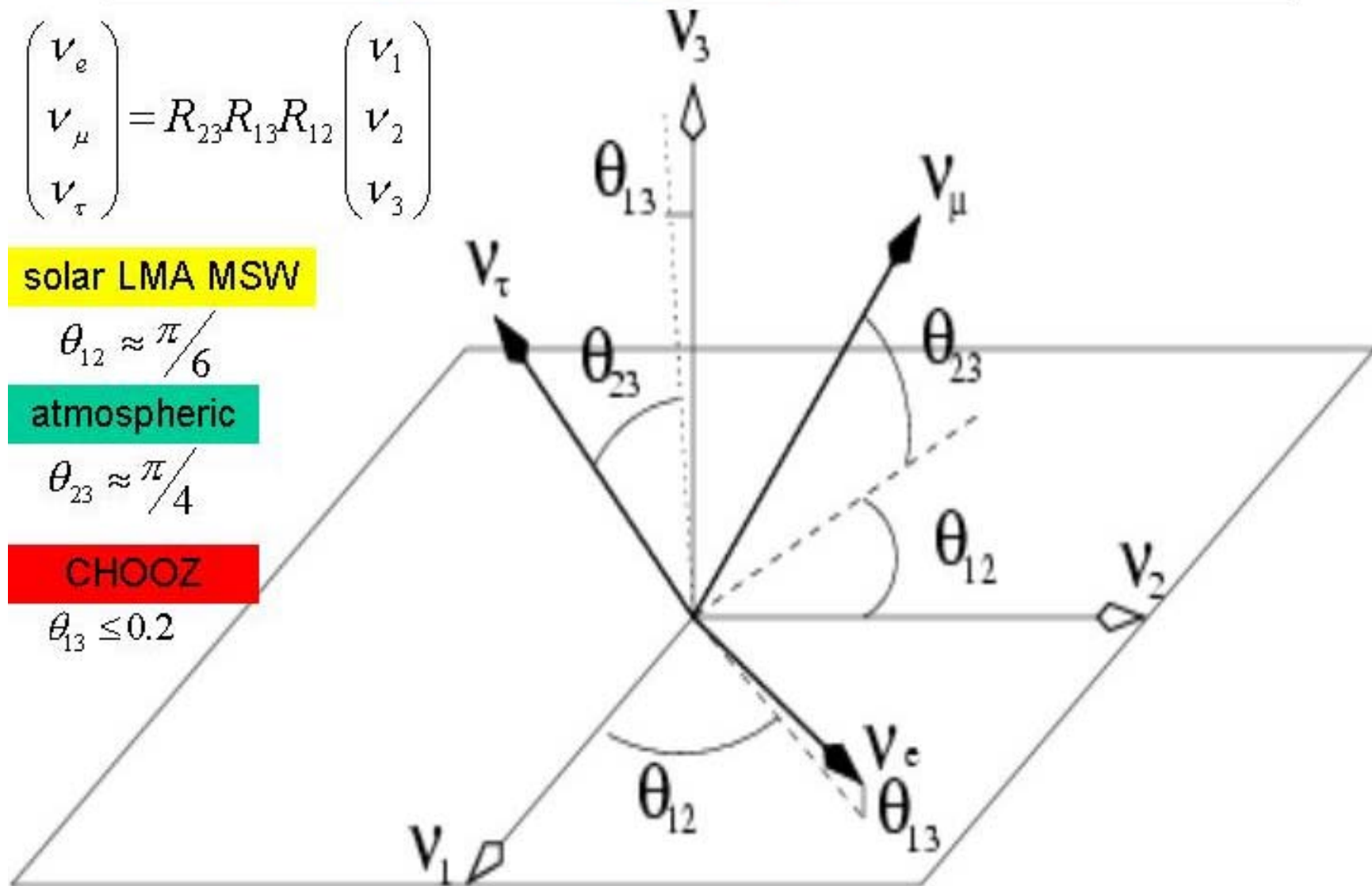
$$\theta_{12} \approx \pi/6$$

atmospheric

$$\theta_{23} \approx \pi/4$$

CHOOZ

$$\theta_{13} \leq 0.2$$





# The Maki-Nakagawa-Sakata matrix

$$V^{E_L} m_{LR}^E V^{E_R \dagger} = \begin{pmatrix} m_e & 0 & 0 \\ 0 & m_\mu & 0 \\ 0 & 0 & m_\tau \end{pmatrix} \quad \text{Majorana matrix} \quad V^{\nu_L} m_{LL}^\nu V^{\nu_L T} = \begin{pmatrix} m_1 & 0 & 0 \\ 0 & m_2 & 0 \\ 0 & 0 & m_3 \end{pmatrix}$$

Constructing

$$\Rightarrow U_{MNS} = V^{E_L} V^{\nu_L \dagger}$$

Three physical phases  
give CP violation

Parametrising

$$\Rightarrow U_{MNS} = R_{23} R_{13} P R_{12} P_2$$

$$R_{23} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix}$$

$$R_{13} = \begin{pmatrix} c_{13} & 0 & s_{13} \\ 0 & 1 & 0 \\ -s_{13} & 0 & c_{13} \end{pmatrix}$$

$$R_{12} = \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

## Lepton mixing and neutrino oscillations in vacuum

$$\nu_a = U_{ai} \nu_i$$

$\nu_a$  – flavour eigenstates,  $\nu_i$  – mass eigenstates

Transition probability:

$$P(\nu_a \rightarrow \nu_b; t) = \left| \sum_i U_{bi} e^{-iE_i t} U_{ai}^* \right|^2$$

Can be obtained from the evolution equation

$$i \frac{d}{dt} \begin{pmatrix} \nu_a \\ \nu_b \\ \cdot \\ \cdot \\ \cdot \end{pmatrix} = U \begin{pmatrix} E_1 & & & \\ & E_2 & & \\ & & \cdot & \\ & & & \cdot \\ & & & & \cdot \end{pmatrix} U^\dagger \begin{pmatrix} \nu_a \\ \nu_b \\ \cdot \\ \cdot \\ \cdot \end{pmatrix}$$

2-flavour case:

$$U = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}$$

$$P(\nu_a \rightarrow \nu_b; t) = \sin^2 2\theta \cdot \sin^2 \left( \frac{\Delta m_{ij}^2}{4E} L \right)$$

### Neutrino oscillations in matter (3f)

$$i \frac{d}{dt} \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \left[ U \begin{pmatrix} E_1 & 0 & 0 \\ 0 & E_2 & 0 \\ 0 & 0 & E_3 \end{pmatrix} U^\dagger + \begin{pmatrix} V(t) & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \right] \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix}$$

$$E_i = \sqrt{p^2 + m_i^2} \simeq p + \frac{m_i^2}{2p}; \quad t \simeq r$$

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta_1} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta_1} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$V(t) = [V(\nu_e)]_{CC} = \sqrt{2}G_F N_e(t)$$

$$[V(\nu_e)]_{NC} = [V(\nu_\mu)]_{NC} = [V(\nu_\tau)]_{NC} - \text{do not contribute}$$

### 3f effects in oscillations of solar neutrinos

- What do the solar  $\nu_e$  oscillate to?

$$\text{From } |U_{e3}| \ll 1: \quad \nu_3 \simeq s_{23} \nu_\mu + c_{23} \nu_\tau$$

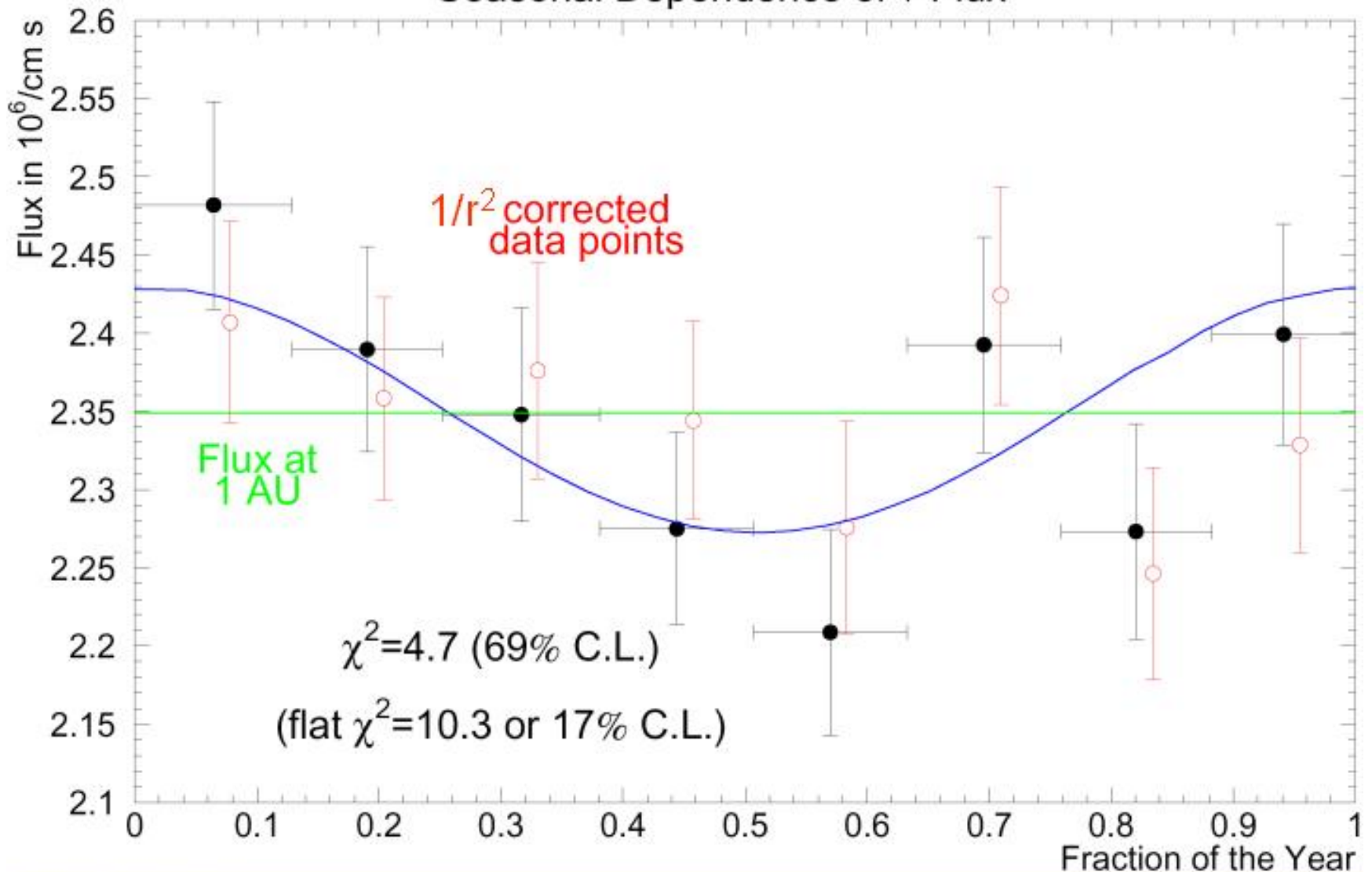
⇒ From unitarity of  $U$ : Solar  $\nu$  oscillations between

$$\nu_e \quad \text{and} \quad \nu' = c_{23} \nu_\mu - s_{23} \nu_\tau$$

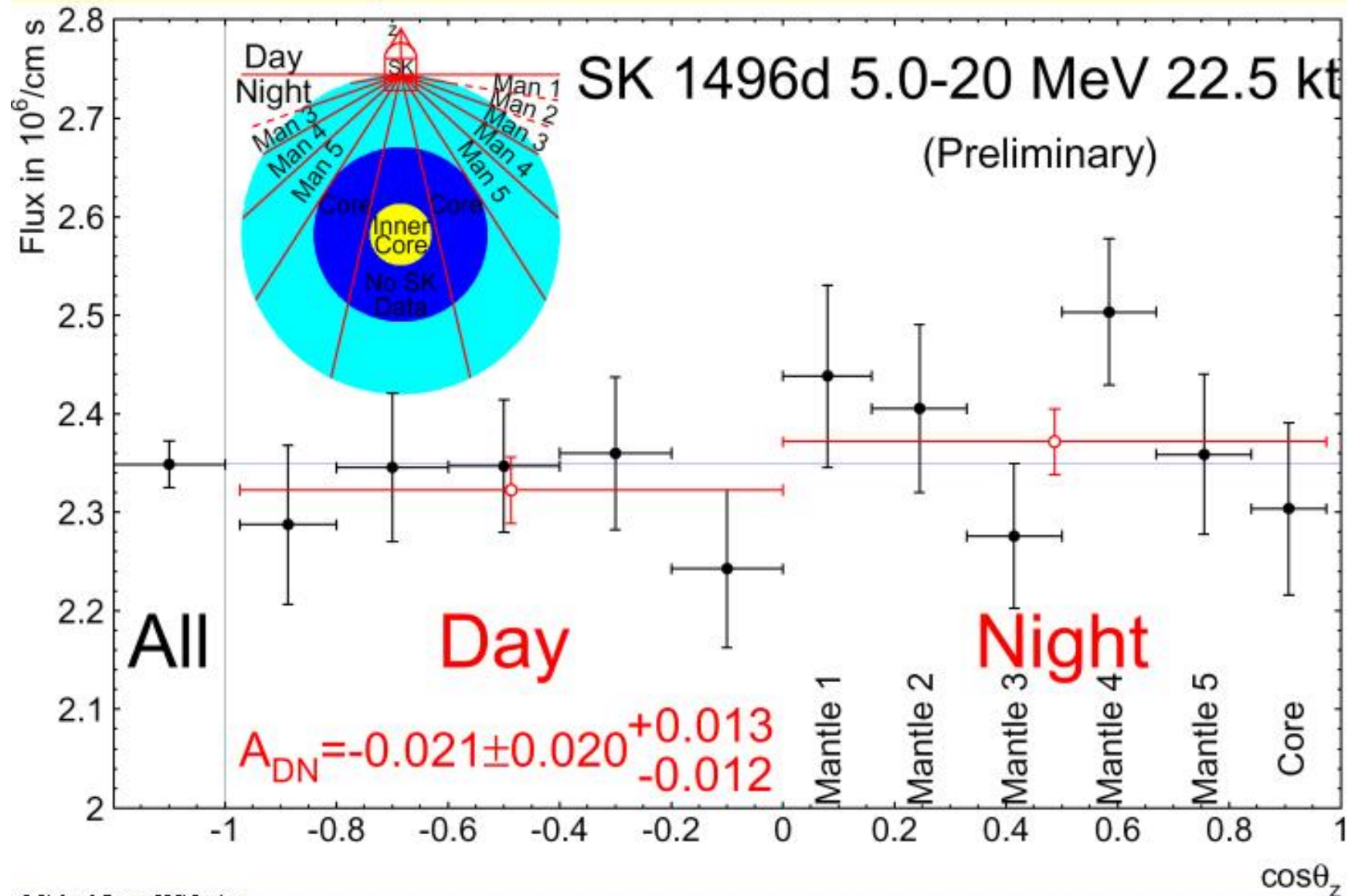
⇒ Solar  $\nu_e$  oscillate into a superposition of  $\nu_\mu$  and  $\nu_\tau$  with almost equal weights

# Yearly Variation of SK Rate

Seasonal Dependence of  $\nu$  Flux



# Daily Variation of SK Rate



# The SNO Detector

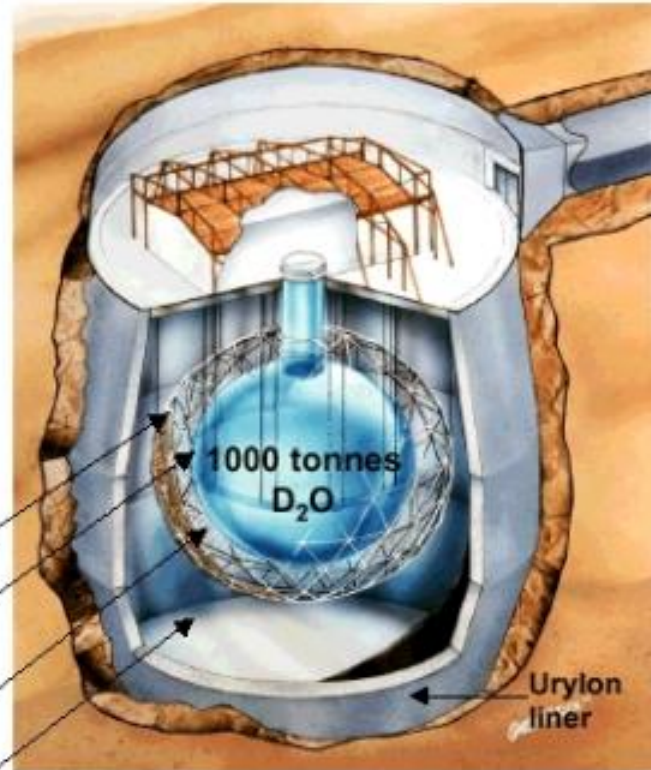


17.8m dia. PMT Support Structure  
9456 PMTs, 56% coverage

12.01m dia. acrylic vessel

1700 tonnes of inner shielding  $H_2O$

5300 tonnes of outer shielding  $H_2O$



Host: INCO Ltd., Creighton #9 mine  
Coordinates: 46°28'30"N 81°12'04"W  
Depth: 2092 m (~6010 m.w.e.,  $\sim 70 \mu \text{ day}^{-1}$ )

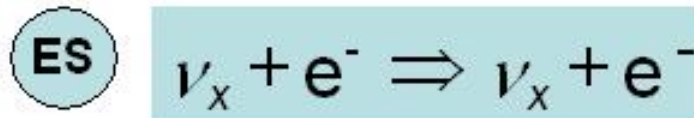
# $\nu$ Reactions in SNO



- Good measurement of  $\nu_e$  energy spectrum
- Weak directional sensitivity  $\propto 1 - 1/3 \cos(\theta)$
- $\nu_e$  only.



- Equal cross section for all  $\nu$  types
- Measure total  ${}^8\text{B}$   $\nu$  flux from the sun.

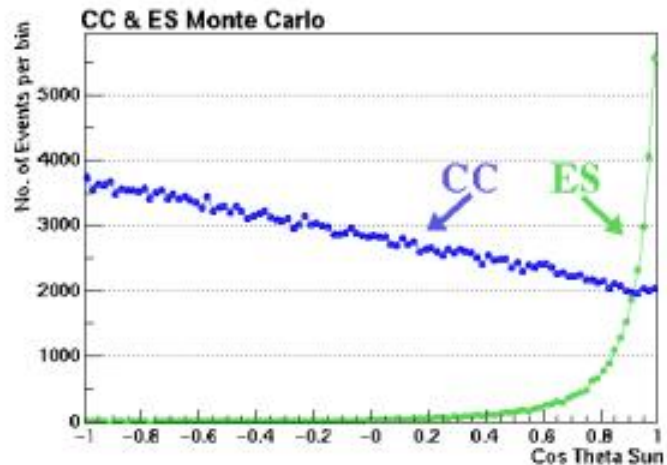
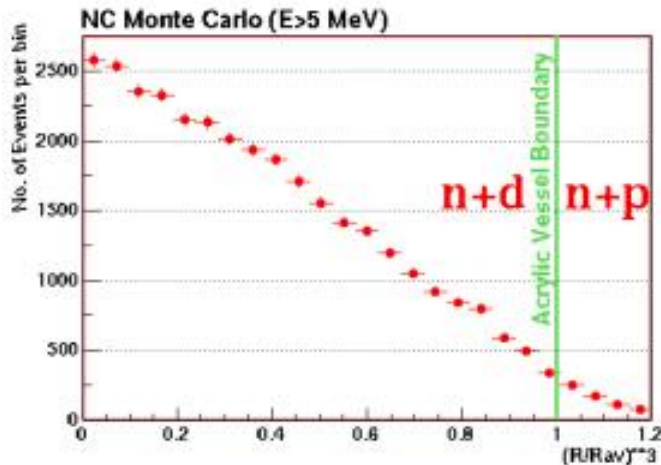
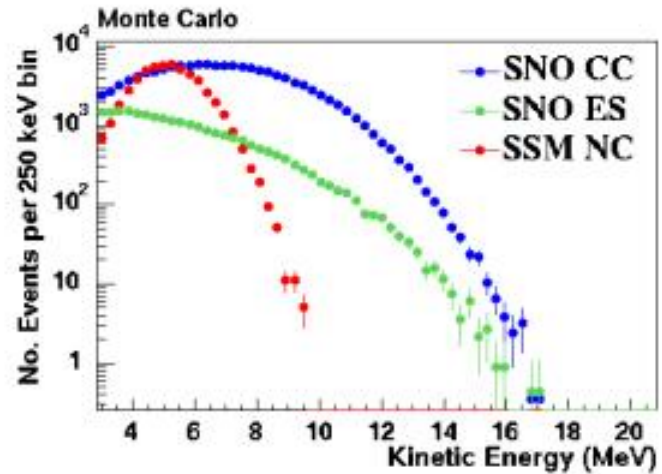
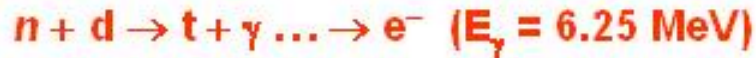


- Low Statistics
- Mainly sensitive to  $\nu_e$ , some sensitivity to  $\nu_\mu$  and  $\nu_\tau$
- Strong directional sensitivity



# Signal Information

- Hits and Energy
- Direction from Sun
- Radial Response



# Neutrino Physics From SNO

June 2001

$$\frac{\Phi_{cc}}{\Phi_{es}} = \frac{\nu_e}{\nu_e + 0.154(\nu_\mu + \nu_\tau)} = 1 ?$$

$$\frac{\Phi_{cc}}{\Phi_{nc}} = \frac{\nu_e}{\nu_e + \nu_\mu + \nu_\tau} = 1 ?$$

Perform a Hypothesis Test for Flavor Change by assuming pure  $^8\text{B}$  Spectral shape and testing if flux ratios equal 1.

$$\Phi_{\text{day}} = \Phi_{\text{night}} ?$$

Test if interaction with electrons in the Earth changes Mu and Tau neutrinos back to Electron neutrinos

A. Hallin

# Solar Neutrino Flux From SNO Data

Total  $^8\text{B}$  Solar Neutrino Flux Originating in the Sun

June 2001

$$\Phi_x = \Phi_{\text{cc}} + (\Phi_{\text{es}} - \Phi_{\text{cc}}) \times (1/\epsilon)$$

April 2002

$$\Phi_x = \Phi_{\text{nc}}$$

# The Pure D<sub>2</sub>O Phase Dataset

- Livetime: 306.4 days (November 2, 1999 → May 27, 2001)  
Day: 128.5 days      Night: 177.9 days
- Energy Threshold: 5 MeV Kinetic
- Fiducial Volume Cut: 550 cm
- Total Number of Events after cuts: 2928  
Neutron Bkg 78<sup>+12</sup><sub>-12</sub>      Cherenkov Bkg 45<sup>+18</sup><sub>-12</sub>

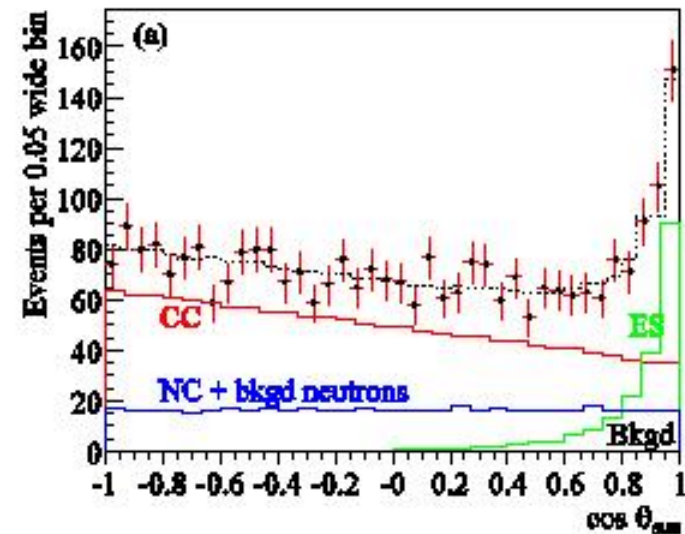
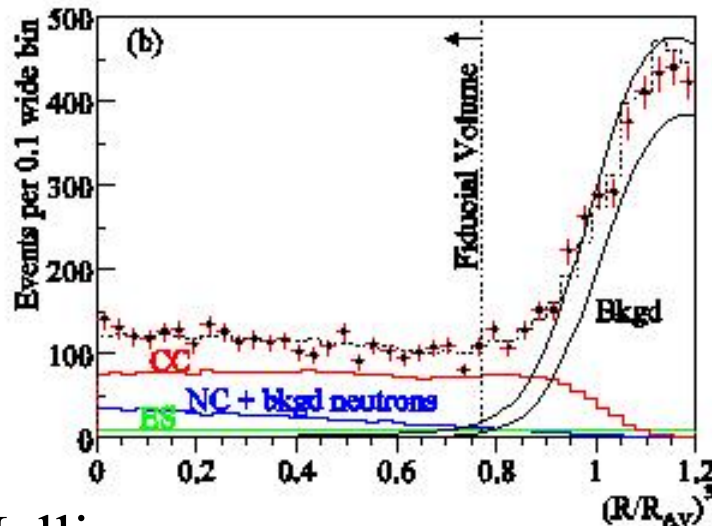
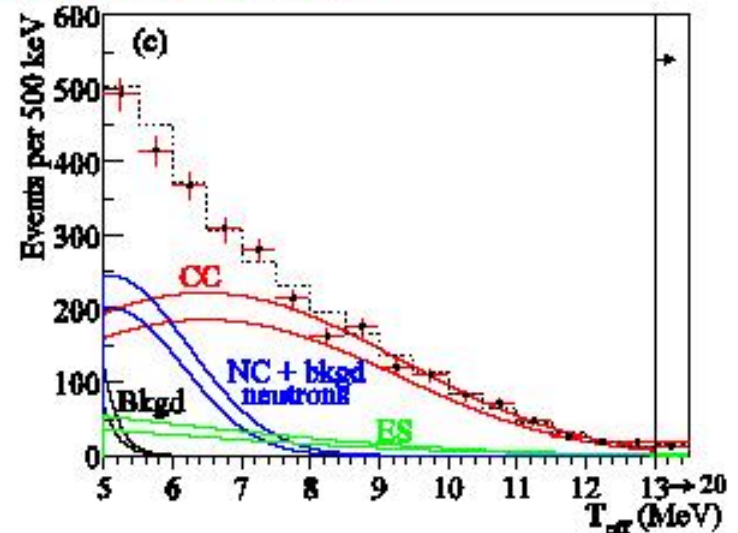
# Shape Constrained Signal Extraction Results

#EVENTS

CC 1967.7<sup>+61.9</sup><sub>+60.9</sub>

ES 263.6<sup>+26.4</sup><sub>+25.6</sub>

NC 576.5<sup>+49.5</sup><sub>+48.9</sub>



# Shape Constrained Neutrino Fluxes

Signal Extraction in  $\Phi_{CC}$ ,  $\Phi_{NC}$ ,  $\Phi_{ES}$ .  $E_{\text{Threshold}} > 5 \text{ MeV}$

$$\Phi_{CC}(\nu_e) = 1.76^{+0.06}_{-0.05} \text{ (stat.) }^{+0.09}_{-0.09} \text{ (syst.) } \times 10^6 \text{ cm}^{-2}\text{s}^{-1}$$

$$\Phi_{ES}(\nu_x) = 2.39^{+0.24}_{-0.23} \text{ (stat.) }^{+0.12}_{-0.12} \text{ (syst.) } \times 10^6 \text{ cm}^{-2}\text{s}^{-1}$$

$$\Phi_{NC}(\nu_x) = 5.09^{+0.44}_{-0.43} \text{ (stat.) }^{+0.46}_{-0.43} \text{ (syst.) } \times 10^6 \text{ cm}^{-2}\text{s}^{-1}$$

Signal Extraction in  $\Phi_e$ ,  $\Phi_{\mu\tau}$ .

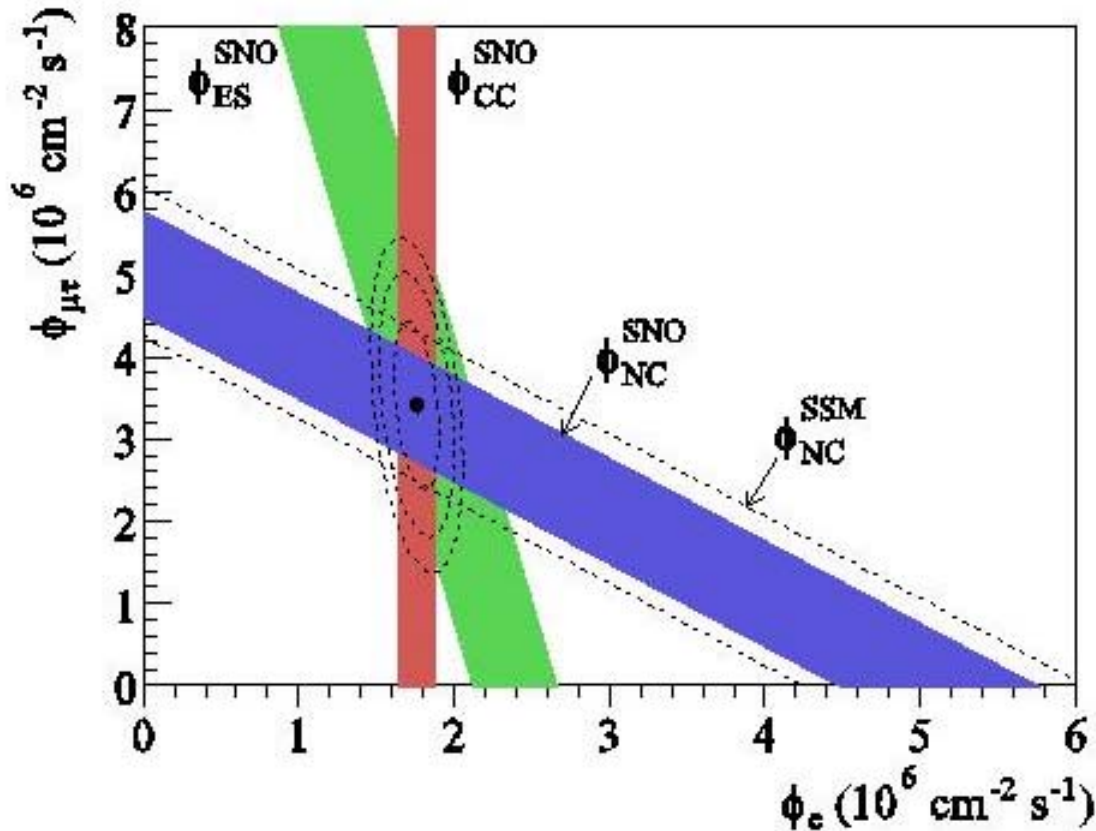
$$\Phi_e = 1.76^{+0.05}_{-0.05} \text{ (stat.) }^{+0.09}_{-0.09} \text{ (syst.) } \times 10^6 \text{ cm}^{-2}\text{s}^{-1}$$

$$\Phi_{\mu\tau} = 3.41^{+0.45}_{-0.45} \text{ (stat.) }^{+0.48}_{-0.45} \text{ (syst.) } \times 10^6 \text{ cm}^{-2}\text{s}^{-1}$$

High threshold results agrees with first publication.

# Physics Implication Flavor Content

$$\Phi_{\text{ssm}} = 5.05^{+1.01}_{-0.81} \quad \Phi_{\text{sno}} = 5.09^{+0.44+0.46}_{-0.43 -0.43}$$



A. Hallin

**Strong evidence of flavor change**

# $A_e$ versus $A_{\text{total}}$

Signal Extraction in  $\Phi_{\text{CC}}, \Phi_{\text{NC}}, \Phi_{\text{ES}}$

$$A_{\text{CC}} = 14.0 \pm 6.3^{+1.5}_{-1.4}$$

$$A_{\text{NC}} = 20.4 \pm 16.9^{+2.4}_{-2.5}$$

Signal Extraction in  $\Phi_e, \Phi_{\text{total}}$

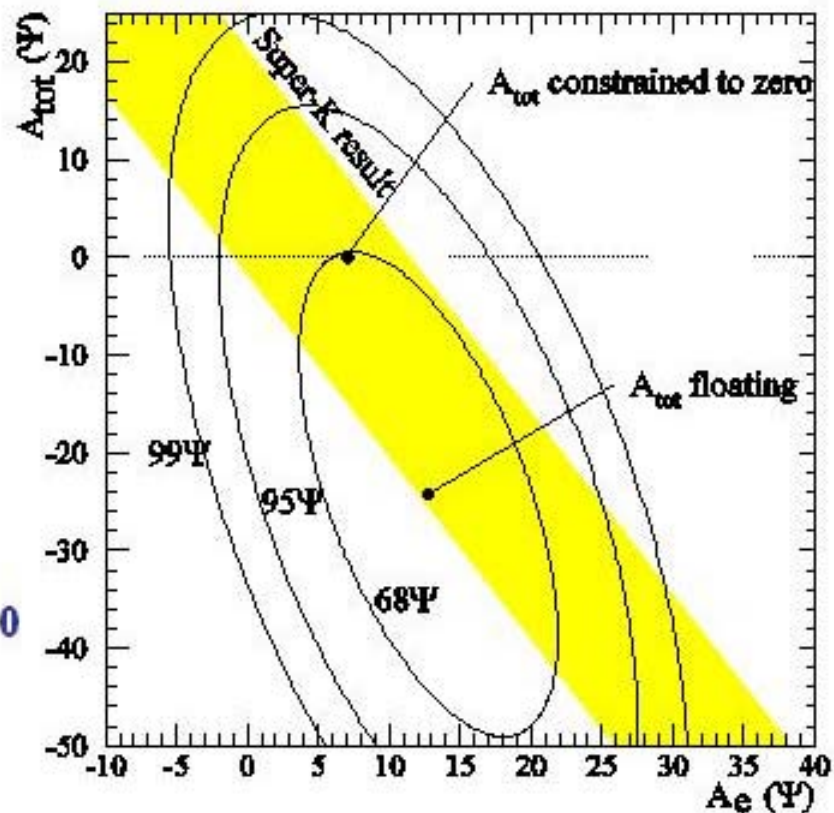
$$A_e = 12.8 \pm 6.2^{+1.5}_{-1.4}$$

$$A_{\text{tot}} = -24.2 \pm 16.1^{+2.4}_{-2.5}$$

Signal Extraction in  $\Phi_e, \Phi_{\text{total}}, + A_{\text{total}} = 0$

$$A_e = 7.0 \pm 4.9^{+1.3}_{-1.2}$$

$$A_e^{\text{sk}} = 5.3 \pm 3.7^{+2.0}_{-1.7}$$





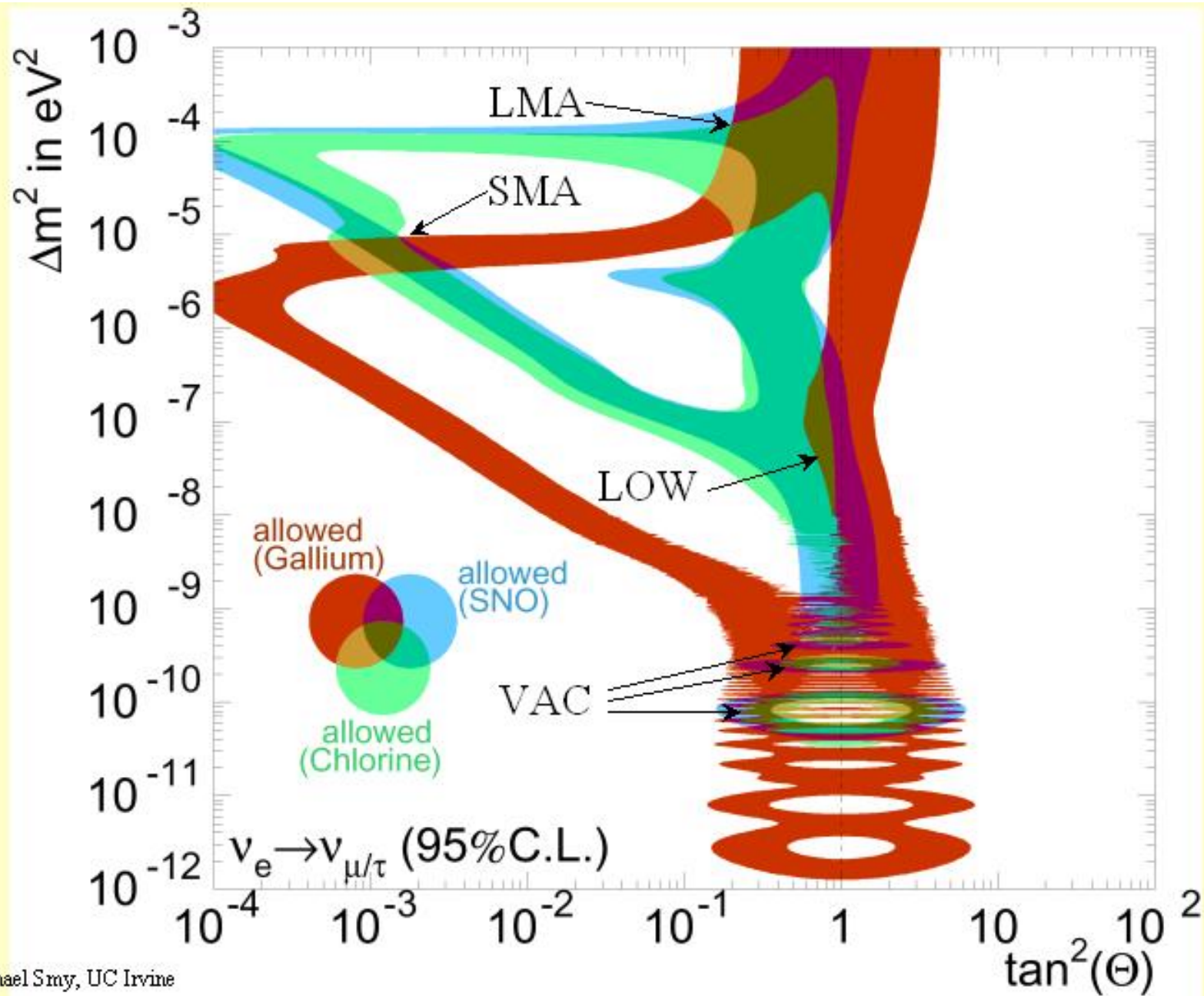
Global fit

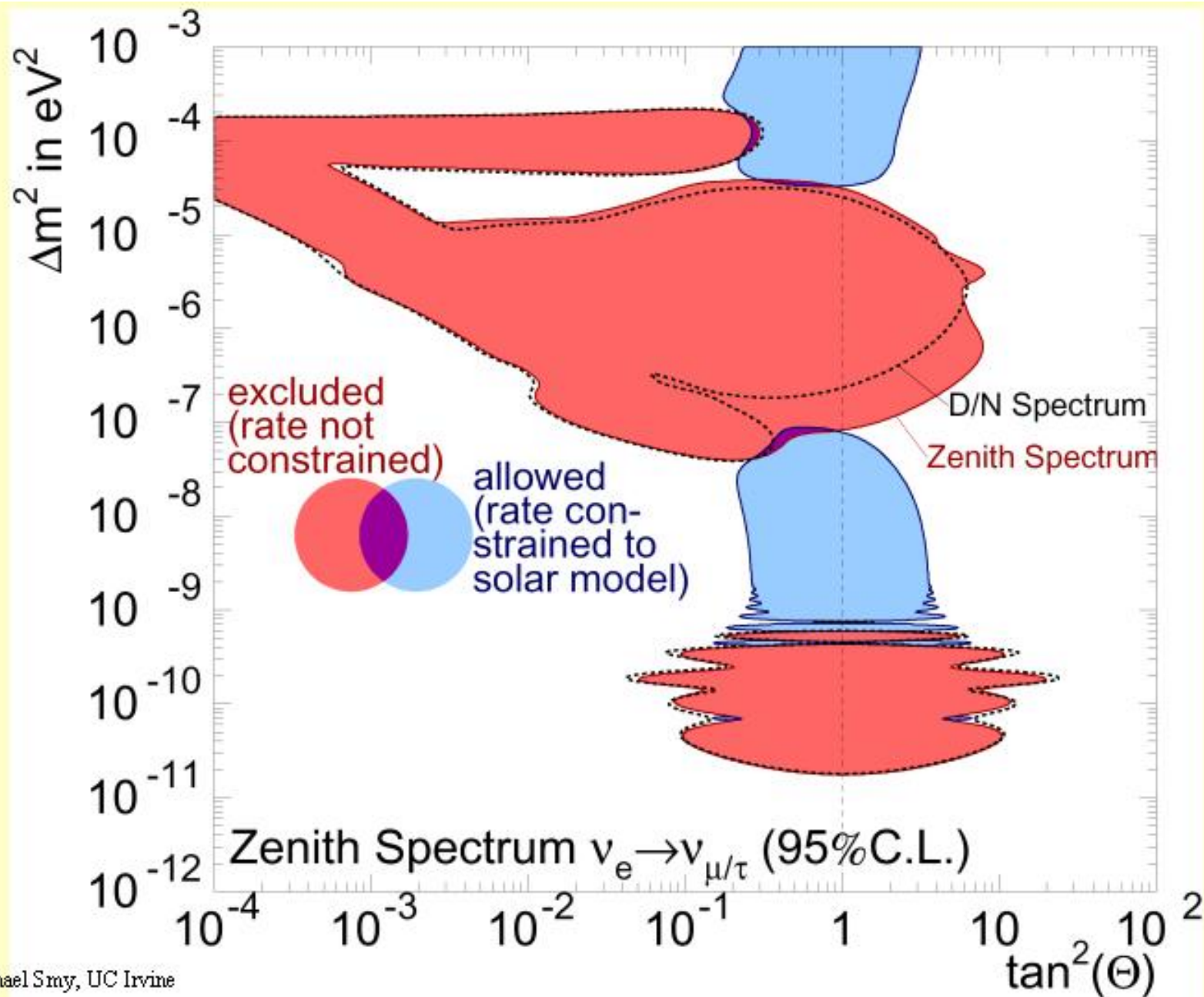
*LMA*: large mixing angle

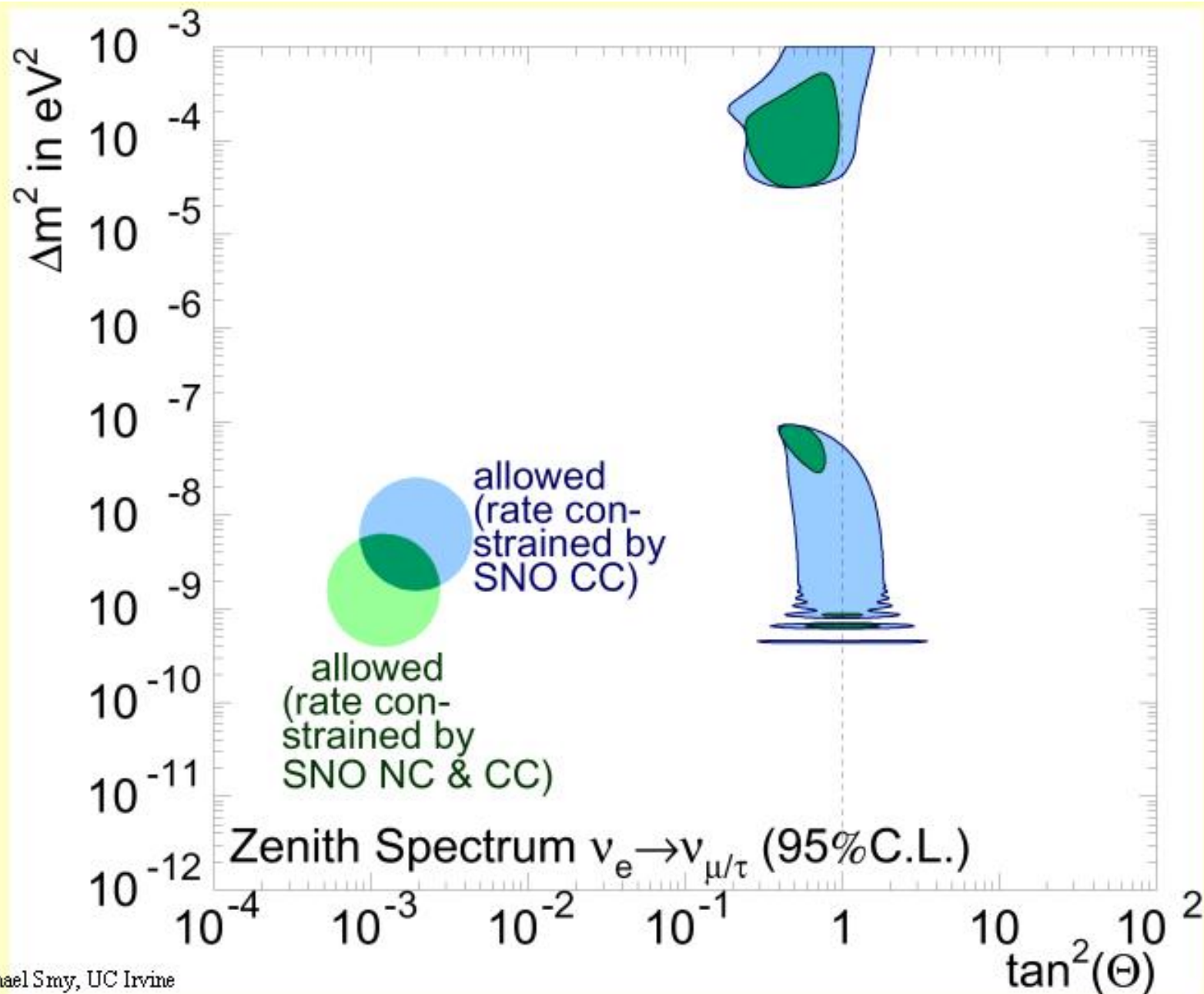
*SMA*: small mixing angle

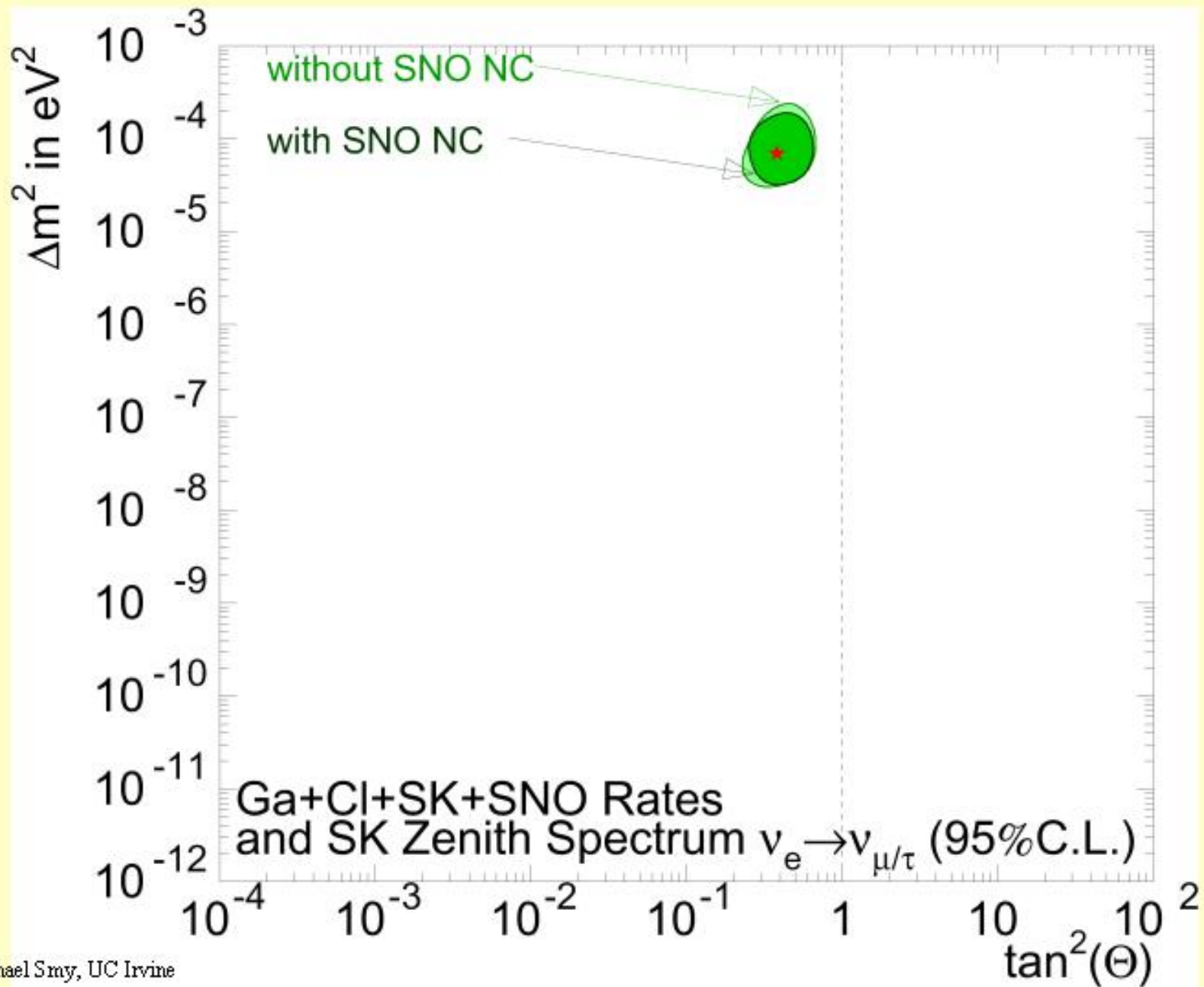
*LOW*: small squared mass difference

*VAC*: vacuum oscillations

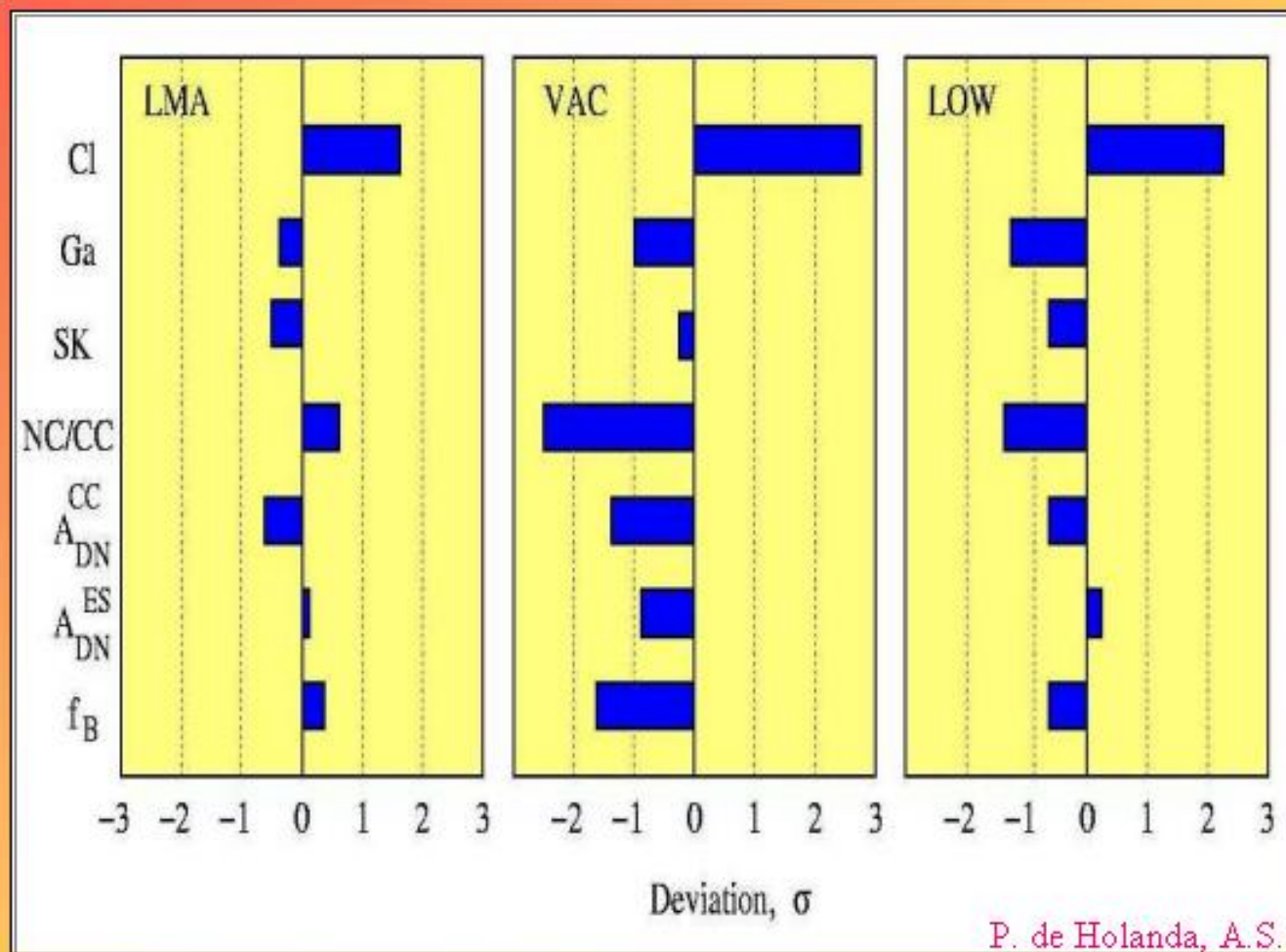








# Pull-off diagrams



P. de Holanda, A.S.

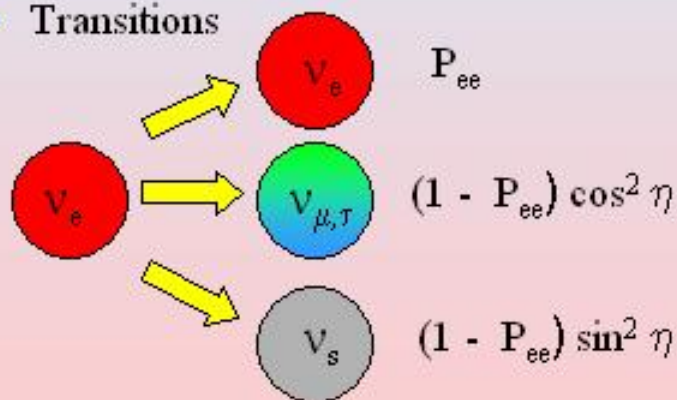
# Nu<sub>s</sub> and solar neutrinos

- Pure oscillations  $\nu_e - \nu_s$  are excluded at about  $5\sigma$  level

- Oscillations  $\nu_e - \nu_x$  where

$$\nu_x = \cos\eta \nu_{\mu,\tau} + \sin\eta \nu_s$$

- Transitions



Degeneracy of parameters:

two combinations  $f_B P_{ee}$   $f_B (1 - P_{ee}) \cos^2 \eta$

Barger et al,

- Matter potential is modified:

$$V = \sqrt{2} G_F (n_e - \sin^2 \eta \frac{n_n}{2})$$

- Fluxes detected by different reactions charged currents:

$$\Phi_{CC} = f_B P_{ee}$$

neutral currents:

$$\Phi_{NC} = f_B [1 - (1 - P_{ee}) \sin^2 \eta]$$

neutrino-electron scattering

$$\Phi_{ES} = f_B [P_{ee} - r (1 - P_{ee}) \cos^2 \eta]$$

where  $f_B$  is the boron neutrino flux

$$r = \sigma(\nu_{\mu} e) / \sigma(\nu_e e)$$



# Bound on sterile

Global fit of the solar neutrino data

For each pair of values of  $\cos^2 \eta$  and  $f_B$   
 $\chi^2$  is minimized with respect to  $\Delta m^2$ ,  $\tan^2 \theta$

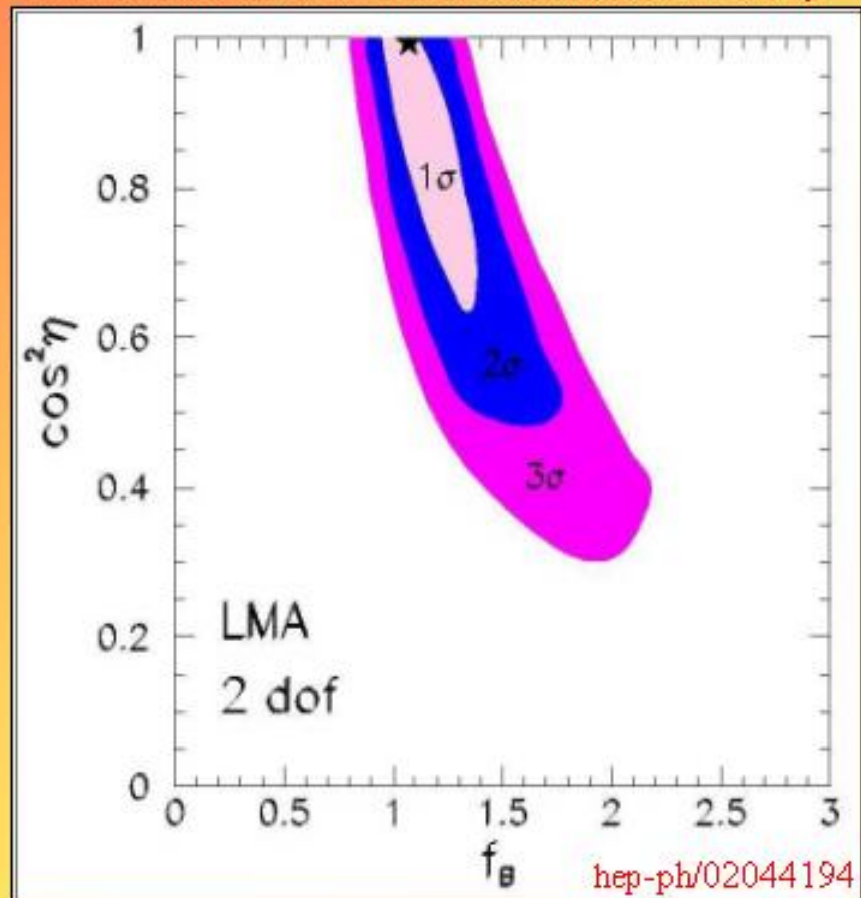
→  $\chi^2(\cos^2 \eta, f_B)$

$\chi^2_{\min}$

$$\sin^2 \eta = 0, \quad f_B = 1.07$$

$$\sin^2 \eta < \begin{cases} 0.35, & 1 \sigma \\ 0.70, & 3 \sigma \end{cases}$$

J.N.Bahcall, M.C. Gonzalez-Garcia, C Pena-Garay



# Start of KamLAND

## Kamioka Liquid Scintillator Anti-Neutrino Detector

Junpei Shirai

Research Center for Neutrino Science, Tohoku University  
for KamLAND Collaboration

Neutrino2002, May25-30, Munich, Germany



- 1.KamLAND Overview
- 2.Reactor  $\bar{\nu}$  experiment by KamLAND
- 3.Detector Performance  
Energy, Vertex, Background
- 4.Summary

## Reactor $\bar{\nu}_e$ experiment

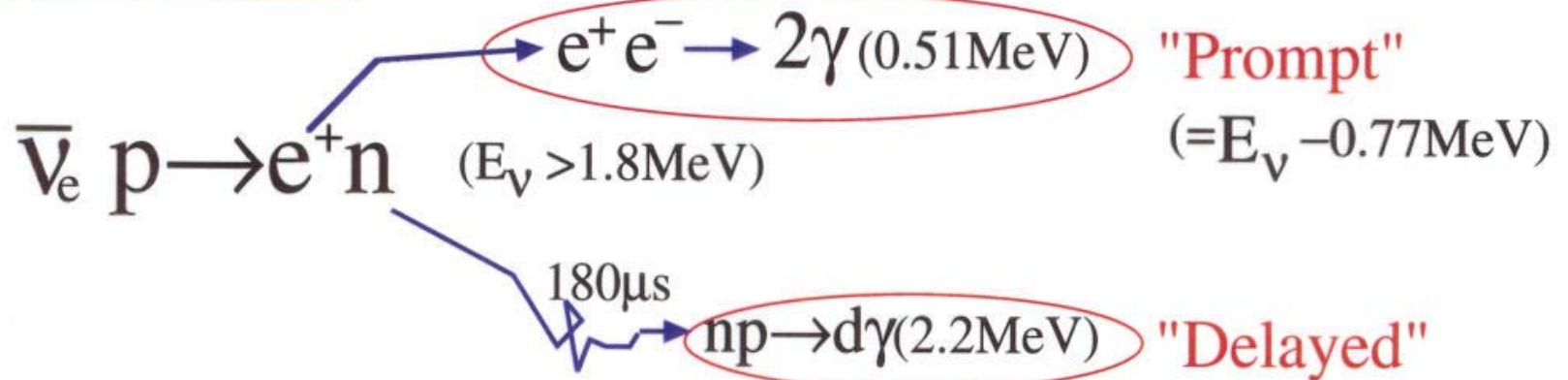
- Pure  $\bar{\nu}_e$  flux
  - Flux is well known ( $\sim 1\%$ )
  - Low energy ( $< \text{several MeV}$ )
- $\rightarrow$  Front detector is not necessary.  
 $\rightarrow$  Disappearance exp., Large L/E

$$N_{\text{ev}} = \sum_i^{\text{reactors}} \frac{1}{4\pi L_i^2} \phi_i (1 - \sin^2 2\theta \sin^2 \frac{\Delta M^2 L_i}{4E_\nu}) \sigma_{(\bar{\nu}_p \rightarrow e^+ n)} N_p$$

## KamLAND

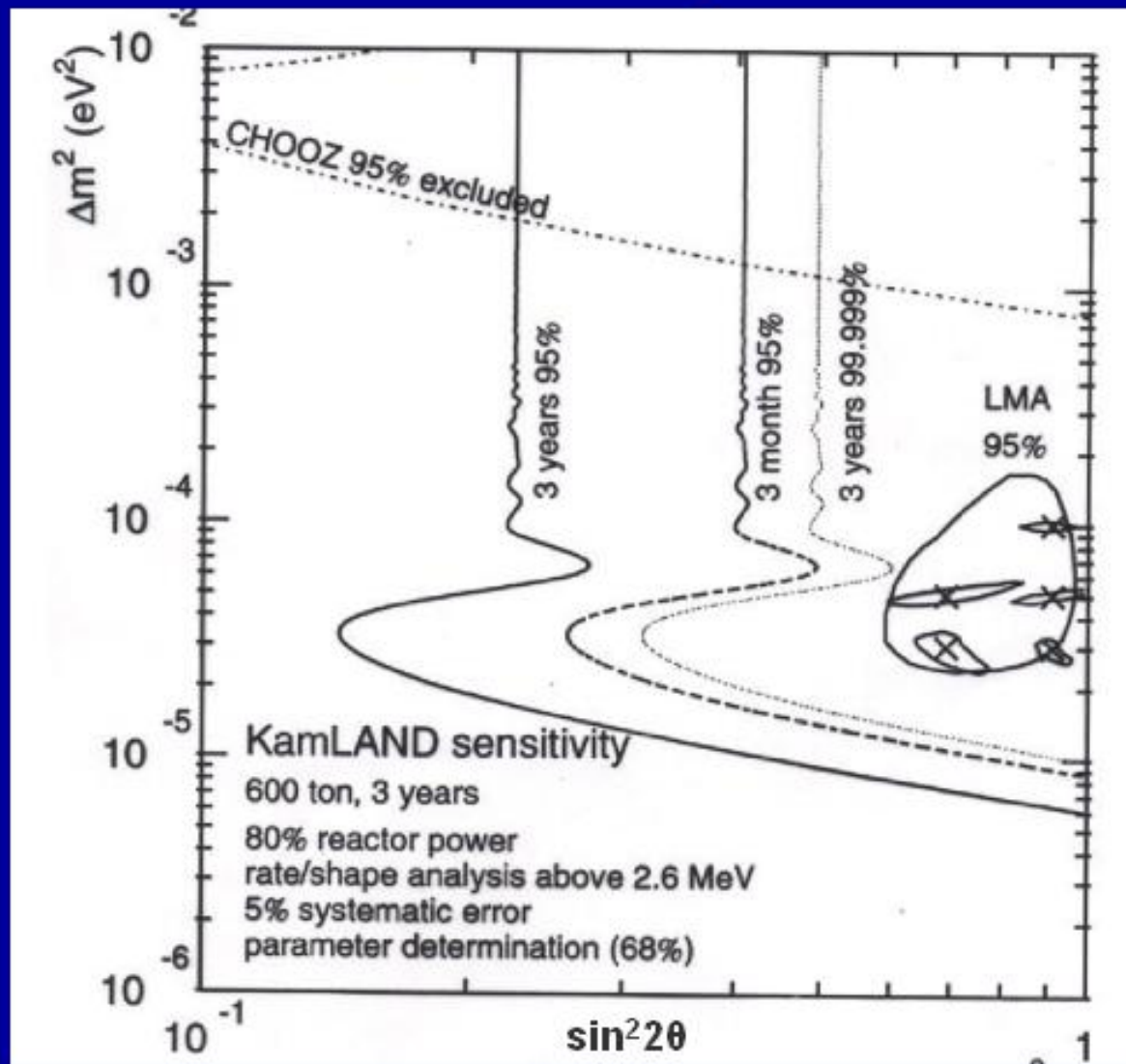
Powerful (70GW) reactors @L  $\sim 175 \pm 35 \text{ km}$   
 $\rightarrow 1.3 \times 10^6 \bar{\nu}_e / \text{s/cm}^2$  (21.8 MeV)  $\rightarrow \Delta M^2 = \sim 6 \times 10^{-6} \text{ eV}^2$  (@  $\sin^2 \theta \sim 1$ )  $\rightarrow 10^{32}$  free protons  
 $\rightarrow 550 / \text{yr}$  (No oscill., Fid. vol. 600 ton, Reactor eff. 80%) **Covers LMA !!**

## $\bar{\nu}_e$ Detection



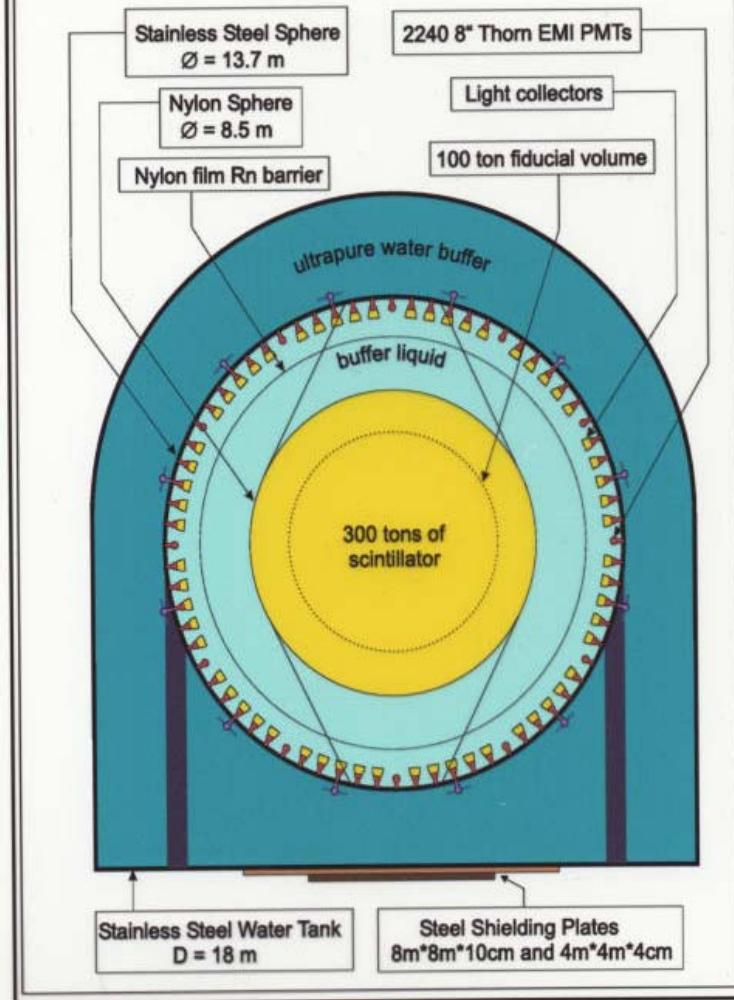
- $\bar{\nu}_e$  only (CC)
  - Reject BG (delayed signal ← timing, distance, energy)
  - $\sigma$  is large ( $\sim 100\sigma(\nu e \rightarrow \nu e)$ ) and well known.
  - $E_\nu$  is measured by prompt energy.
- KamLAND Liquid Scintillator
- Large light yield, High purity, Pulse shape discrimination ( $n/\gamma, \alpha/\gamma$ )  
Fast response, cheap, safe

# KamLAND sensitivity



**Blind analysis  
desirable!**

## Borexino Detector Design



1

**$^7\text{Be}$  neutrinos  
line at 863 keV**

Figure 1. Schematic view of BOREXINO. The ultrapure scintillator (300t) inside a nylon vessel is shielded differentially by means of a liquid buffer (1040t), a steel sphere on which the tubes are mounted, and the outer water buffer which is contained in an external steel tank with dimensions of about 18m. A

## The “Borexino” program

Main interaction:

$$\nu_x + e \rightarrow \nu_x + e$$

Measurement of the  $\nu$  from  ${}^7\text{Be}$

250-800 KeV

- Rates expected (ev/day):

	S.S.M. (B.P.)	MSW-SMA	MSW-LMA $\pm 3 \sigma$	MSW-LOW $\pm 3 \sigma$	VO $\pm 3 \sigma$
With SK total rates	55	$12^{+16}_{-0.5}$	$32^{+7}_{-8}$	26	$38^{+15}_{-10}$
Before SNO			$36^{+5}_{-4}$	$32^{+7}_{-3}$	$32^{+9}_{-4}$
After SNO			$35^{+5}_{-3}$	$32 \pm 3$	$32^{+5}_{-4}$

Expected background: ~15 ev/day

# Atmospheric neutrinos

Evidence for muon neutrino  
disappearance

(SK, MACRO, SOUDAN2)

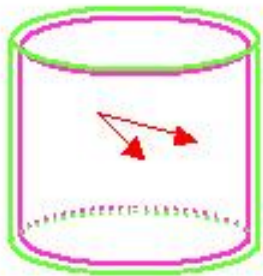


# 1489 days of contained event data

Contained event  
(sub-GeV, multi-GeV sample)

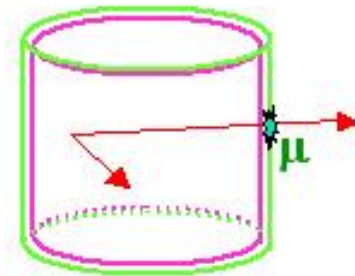
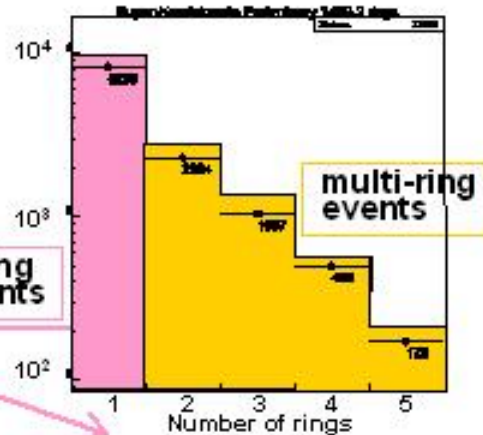
Fully Contained (FC)

Partially Contained (PC)



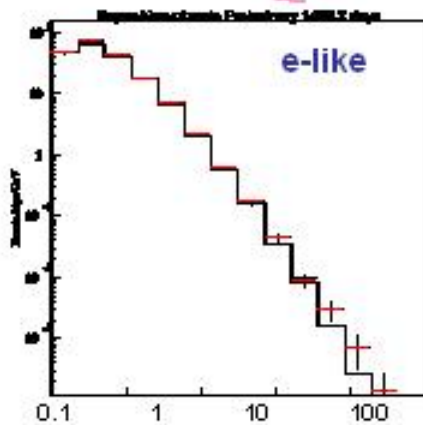
$e/\mu$

1-ring events

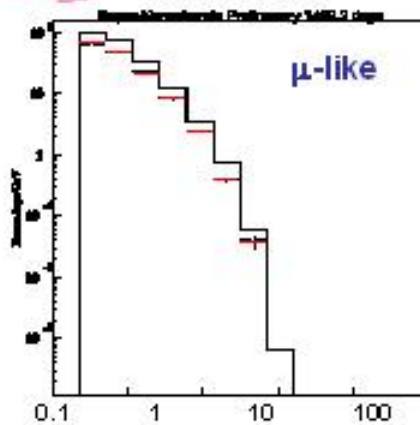


$\mu$

All are assumed to be  $\mu$ -like



e-like

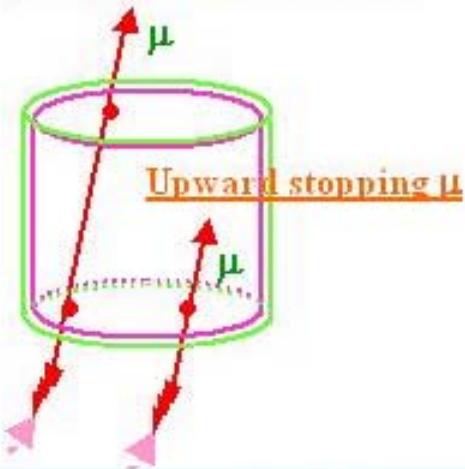


$\mu$ -like

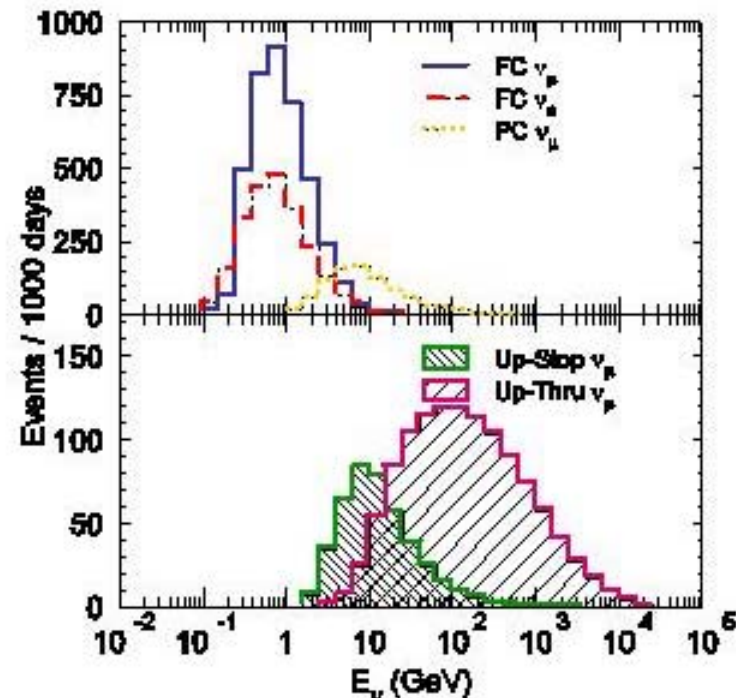
lepton momentum (log(GeV/c))

## Another technique of atmospheric $\nu$ observation

Upward through-going  $\mu$



- different energy scale
- different detection technique



Up through-going  $\mu$ , 1678 days,

Obs.  $1.7 \pm 0.04 \pm 0.02$  ( $\times 10^{-13} \text{cm}^{-2} \text{s}^{-1} \text{sr}^{-1}$ )

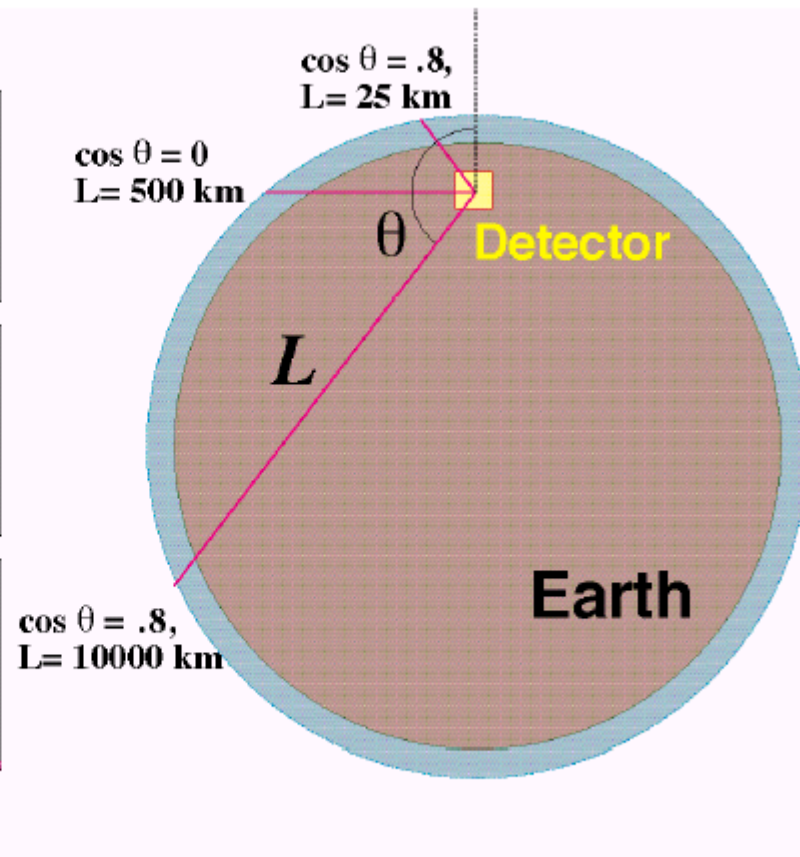
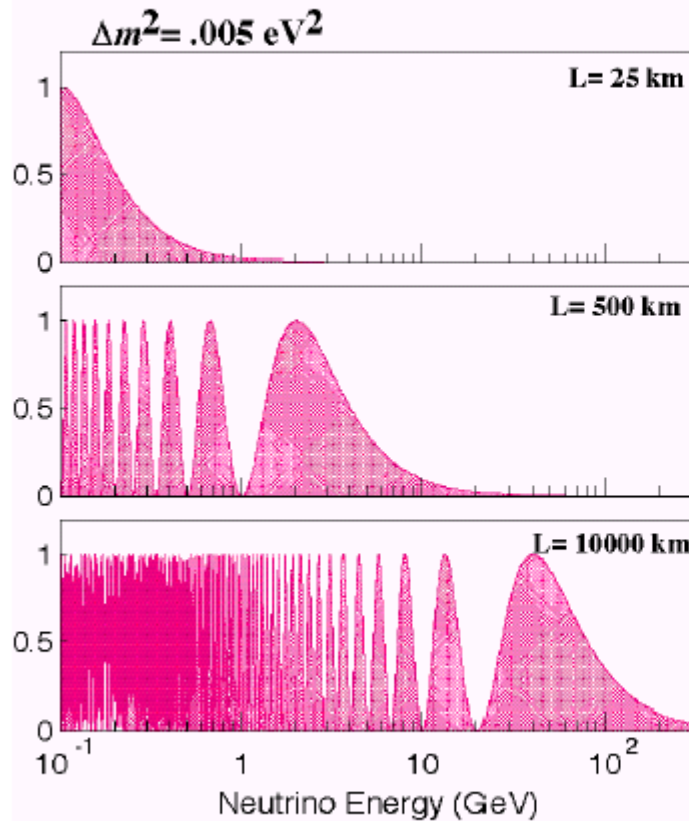
Exp.  $1.97 \pm 0.44$

Up stopping  $\mu$ , 1657 days,

Obs.  $0.41 \pm 0.02 \pm 0.02$  ( $\times 10^{-13} \text{cm}^{-2} \text{s}^{-1} \text{sr}^{-1}$ )

Exp.  $0.73 \pm 0.16$

# Zenith angle distribution

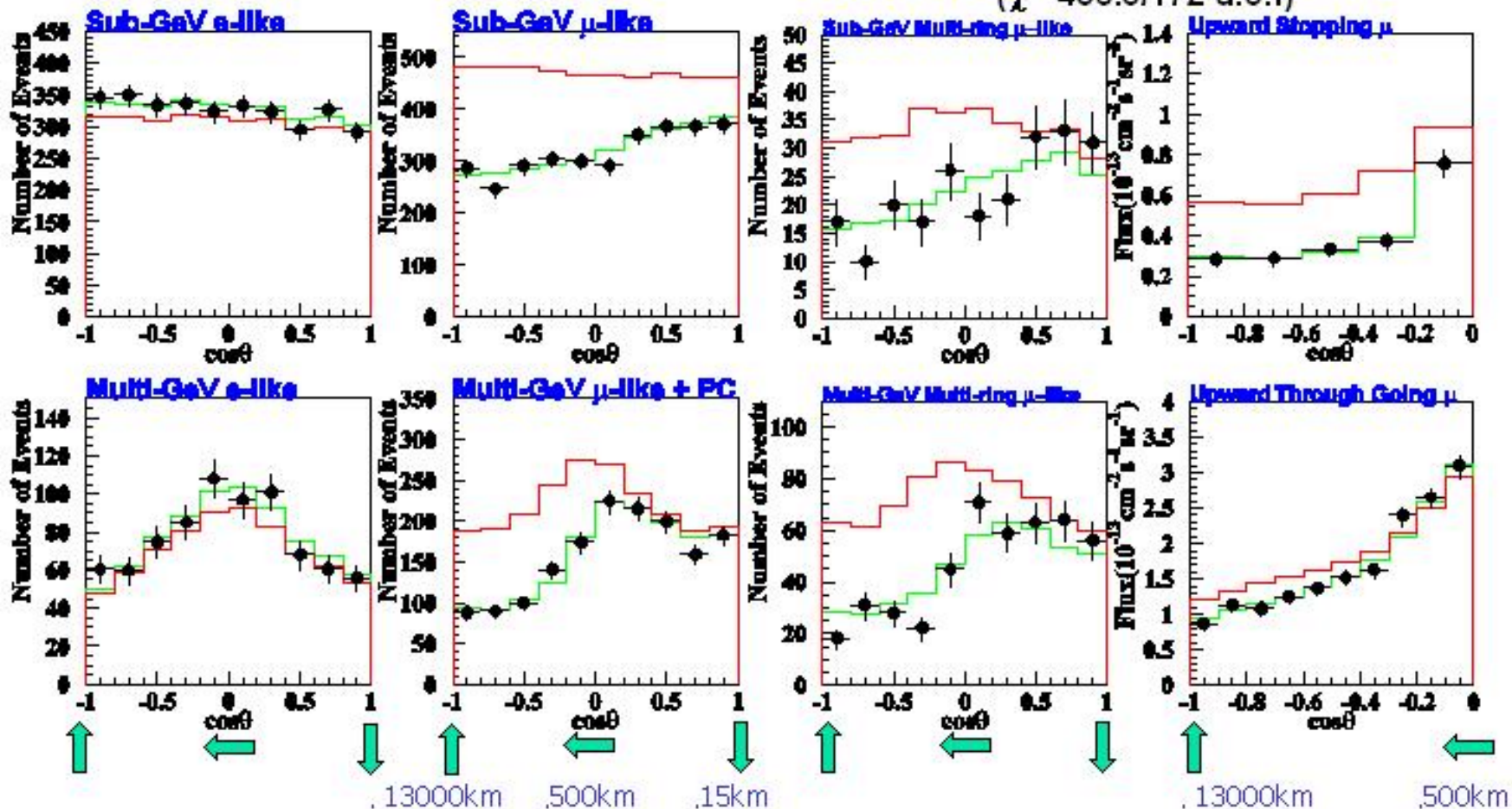


$$P(\nu_\mu \rightarrow \nu_\mu) = 1 - \sin^2 2\theta \sin^2\left(\frac{1.27\Delta m^2 L}{E}\right)$$

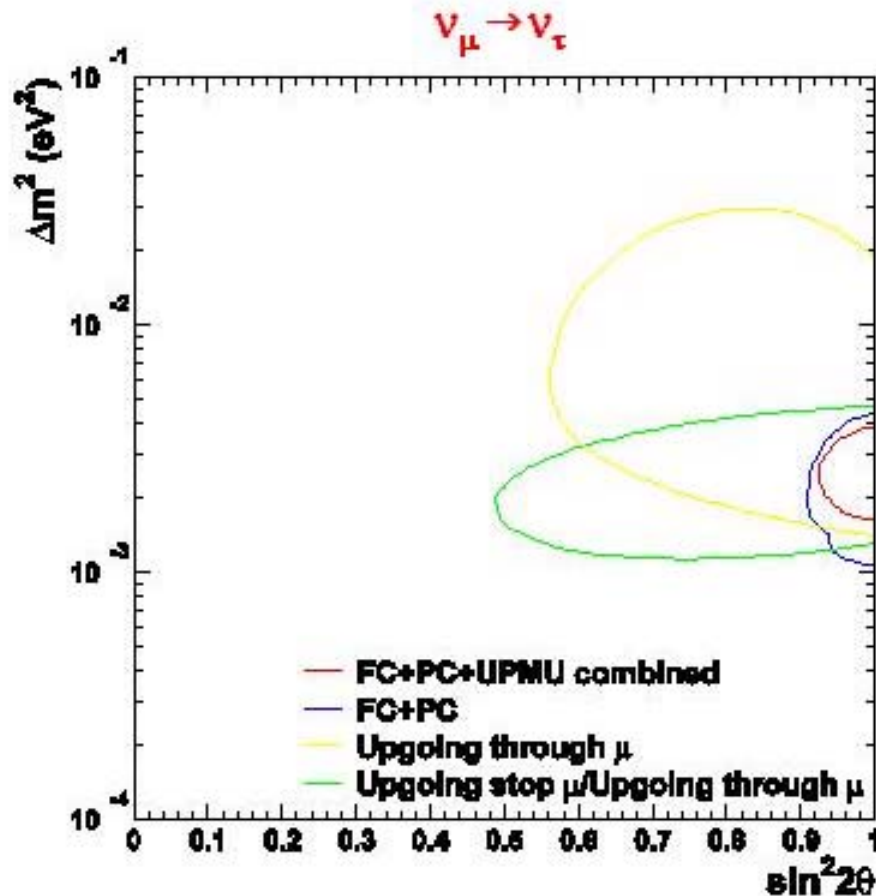
# Zenith angle distributions (FC+PC+up- $\mu$ )

$\nu_\mu \leftrightarrow \nu_\tau$   
2-flavor oscillations

— Best fit ( $\Delta m^2 = 2.5 \times 10^{-3} \text{eV}^2$ ,  $\sin^2 2\theta = 1.0$   
 $\chi^2_{\text{min}} = 163.2/170 \text{ d.o.f}$ )  
— Null oscillation  
( $\chi^2 = 456.5/172 \text{ d.o.f}$ )



# Combined allowed regions



## $\nu_\mu \leftrightarrow \nu_\tau$ oscillations

**Best fit** ( $\Delta m^2 = 2.5 \times 10^{-3}$ ,  $\sin^2 2\theta = 1.0$ )

$\chi^2_{\min} = 163.2/170$  d.o.f)

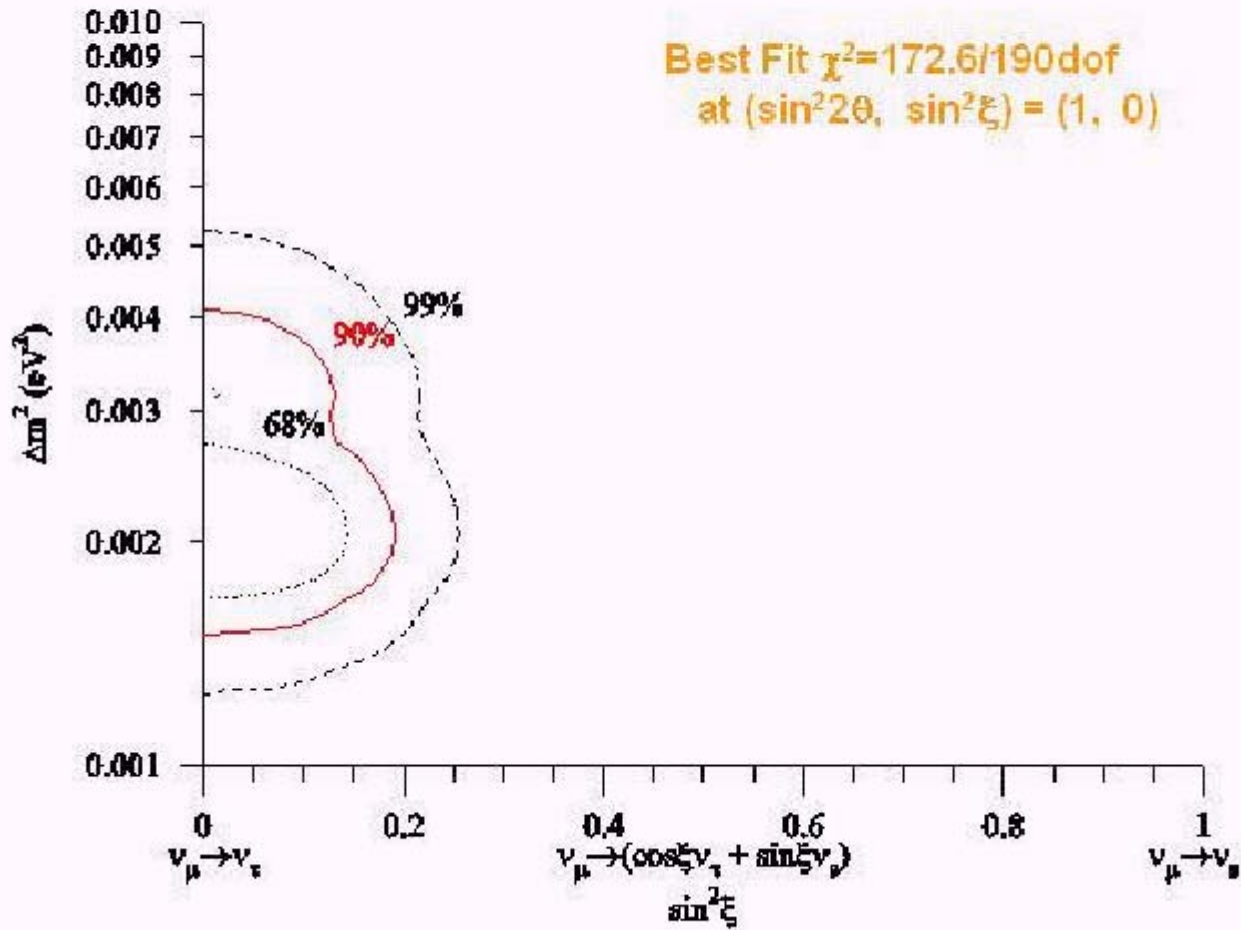
**No oscillation**

( $\chi^2 = 456.5/172$  d.o.f)

$\Delta m^2 = (1.6 \sim 3.9) \times 10^{-3} \text{eV}^2$

$\sin^2 2\theta > 0.92$  @ 90%CL

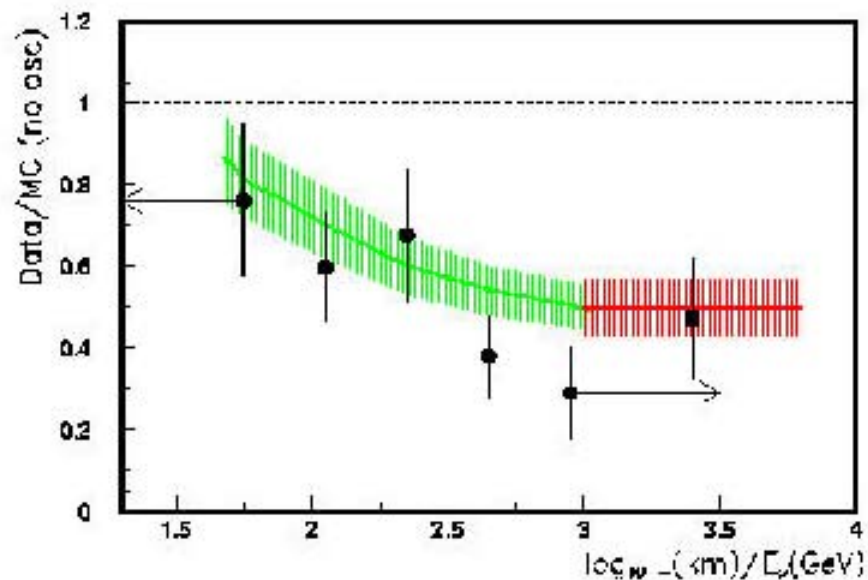
# limit on $\nu_\mu \leftrightarrow \nu_s$ admixture



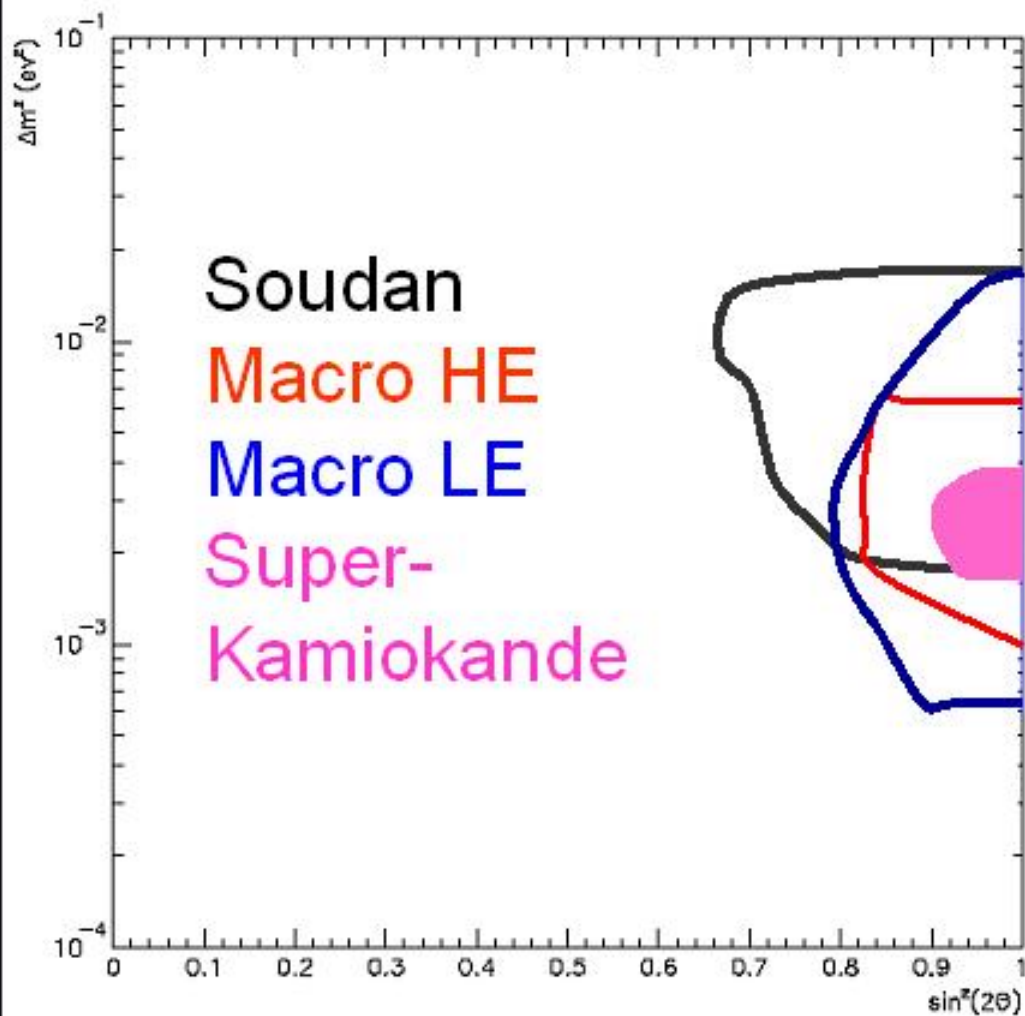
# MACRO L/E using multiple scattering

$$\sigma_{MCS} \simeq \frac{X}{\sqrt{3}} \frac{13.6 \cdot 10^{-3} \text{ GeV}}{p\beta c} \sqrt{X/X^0} \cdot (1 + 0.038 \ln(X/X^0))$$

- ↪ E estimate from Multiple Scattering
- ↪ 3 E bins (streamer tubes in digital mode)
- ↪ 4 E bins (streamer tubes in drift mode + Neural Net)



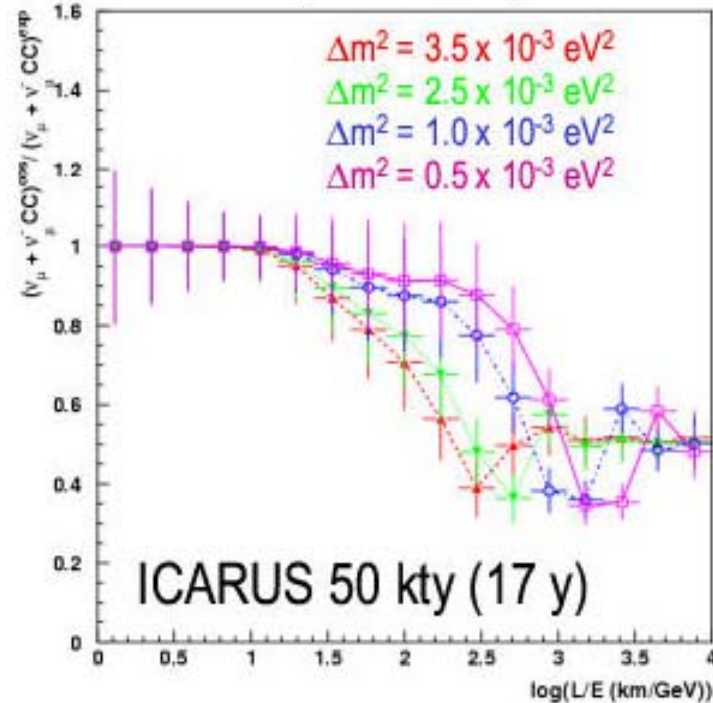
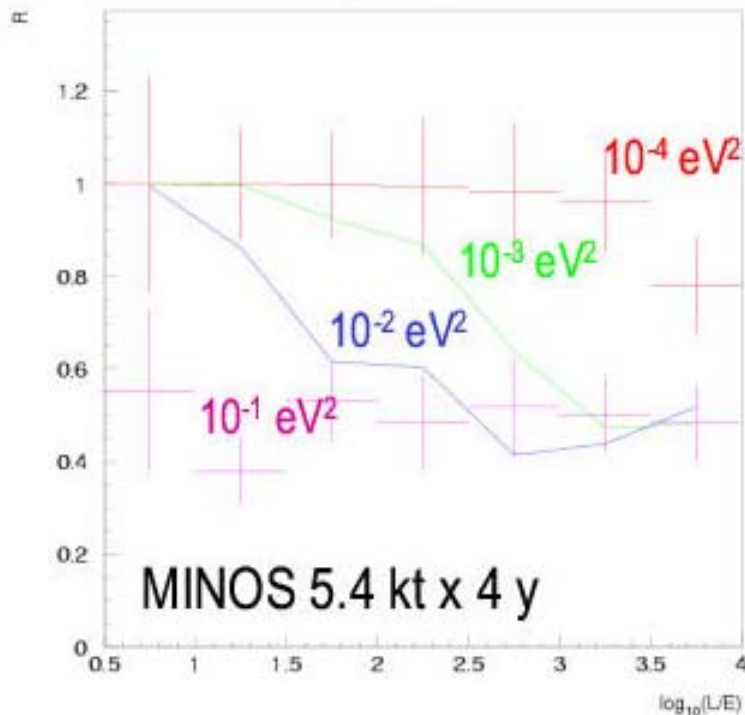
# $\Delta m^2, \sin^2(2\theta)$ Comparison





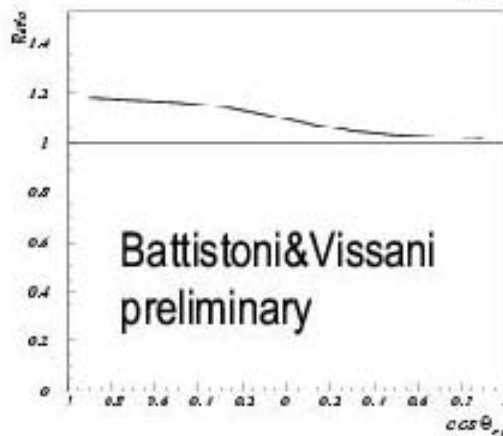
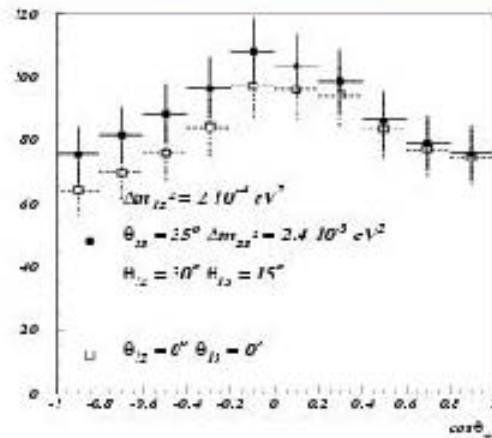
# Forthcoming experiments

- Check of Super-K
- Not for precision measurements (*with atmospheric  $\nu$ 's!*)



- Low mass and limited acceptance at high energies

# ICARUS (20 kty)



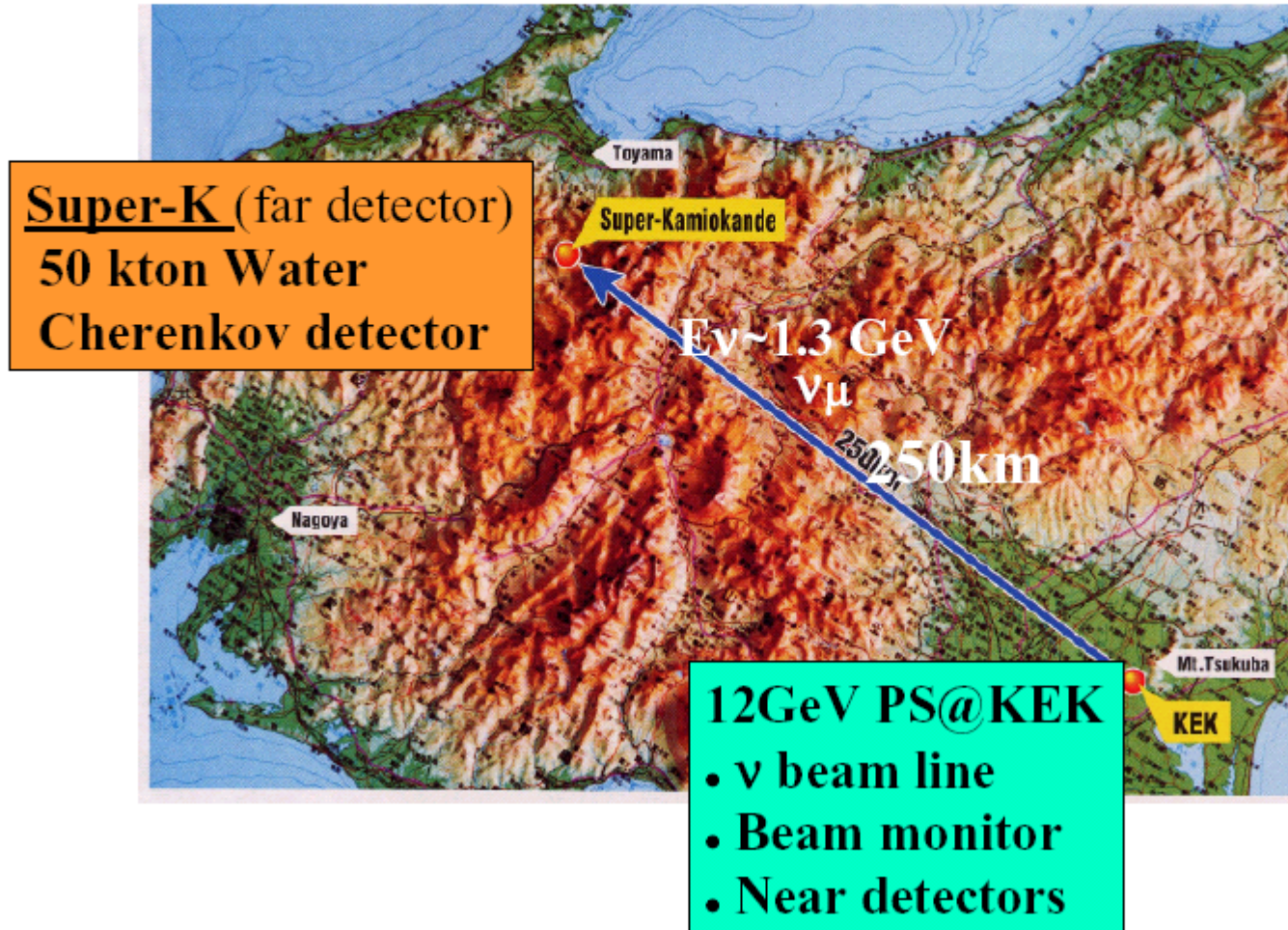
- Improved reconstruction of the neutrino direction at low energies
  - (resolution limited by Fermi motion and nuclear effects)
- With some luck a zenith angle dependence might be detectable  
(Some model dependence in the correction for the up/down asymmetry of low energy neutrino events)
- Key to  $\Theta_{13}$  or non maximal  $\Theta_{23}$ , if LMA parameters fixed elsewhere
- Precision measurement in SuperICARUS?

# Accelerator experiments

Laboratory tests of  $\nu_\mu$  disappearance

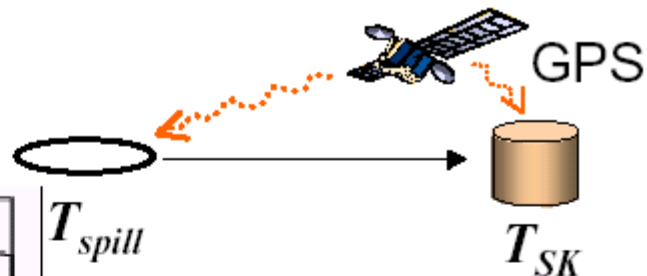
(K2K, MINOS)

## KEK to Kamioka Neutrino Oscillation Experiment



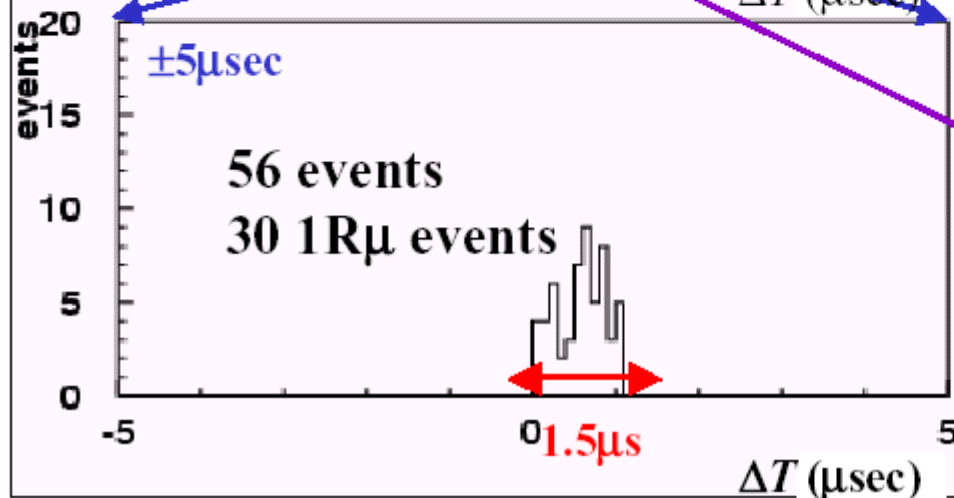
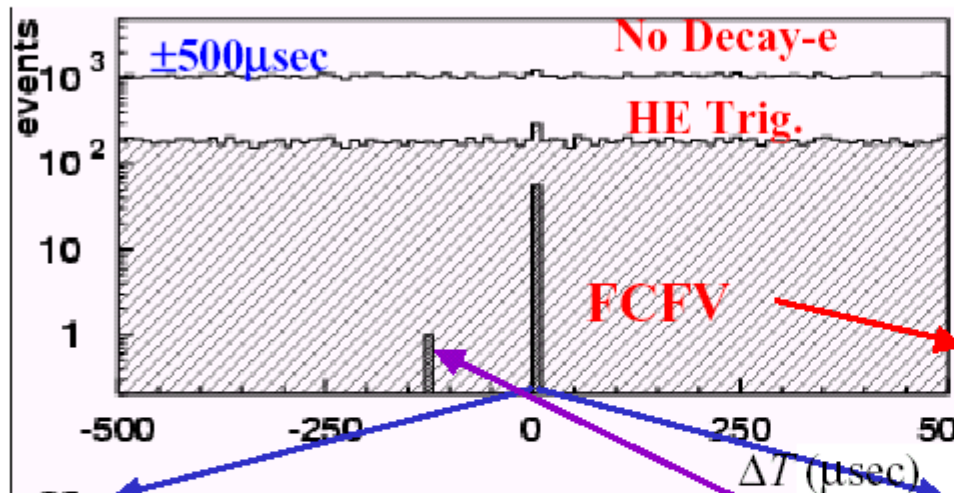
## Super-K Event selection

$$-0.2 \leq \Delta T \equiv T_{SK} - T_{Spill} - \text{TOF} \leq 1.3 \mu\text{sec}$$



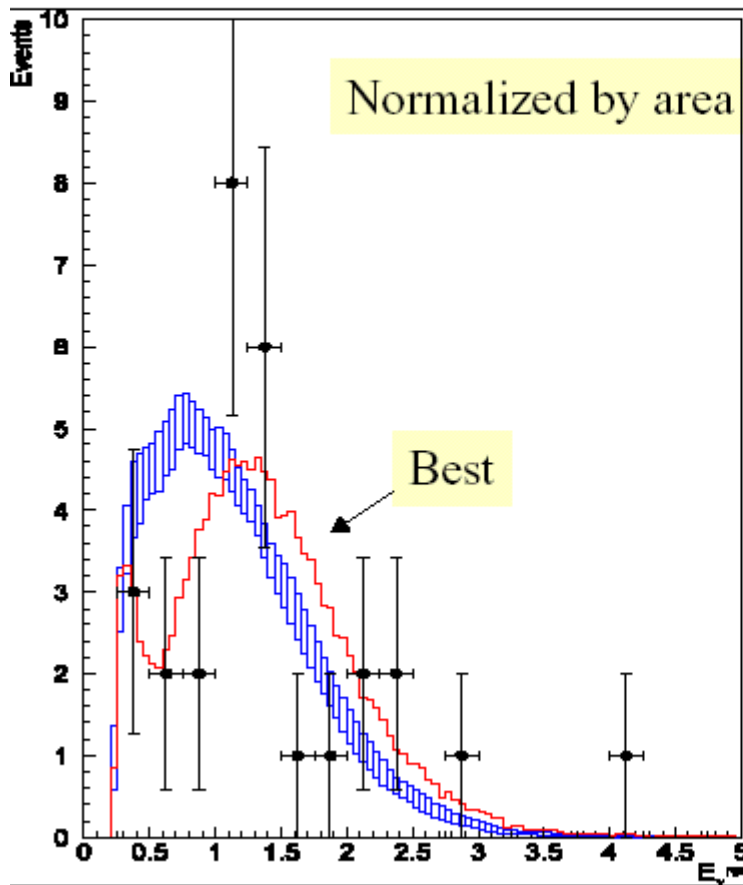
$T_{spill}$ : Abs. time of spill start  
 $T_{SK}$ : Abs. time of SK event  
**TOF: 0.83ms** (KEK to Kamioka)

**FC: fully contained**  
**(No activity in Outer Detector)**  
**FV: 22.5kt Fiducial Volume**



Expected Atm.  $\nu$  BG  
 $< 10^{-3}$  within  $1.5 \mu\text{s}$ .

## Is best fit point also for $1R\mu$ shape & $N_{SK}$ ?



Best fit point ( $\sin^2 2\theta$ ,  $\Delta m^2$ )

method 1

KS test prob.(shape)= 79%

$N_{SK}$  prediction =54 (obs 56)

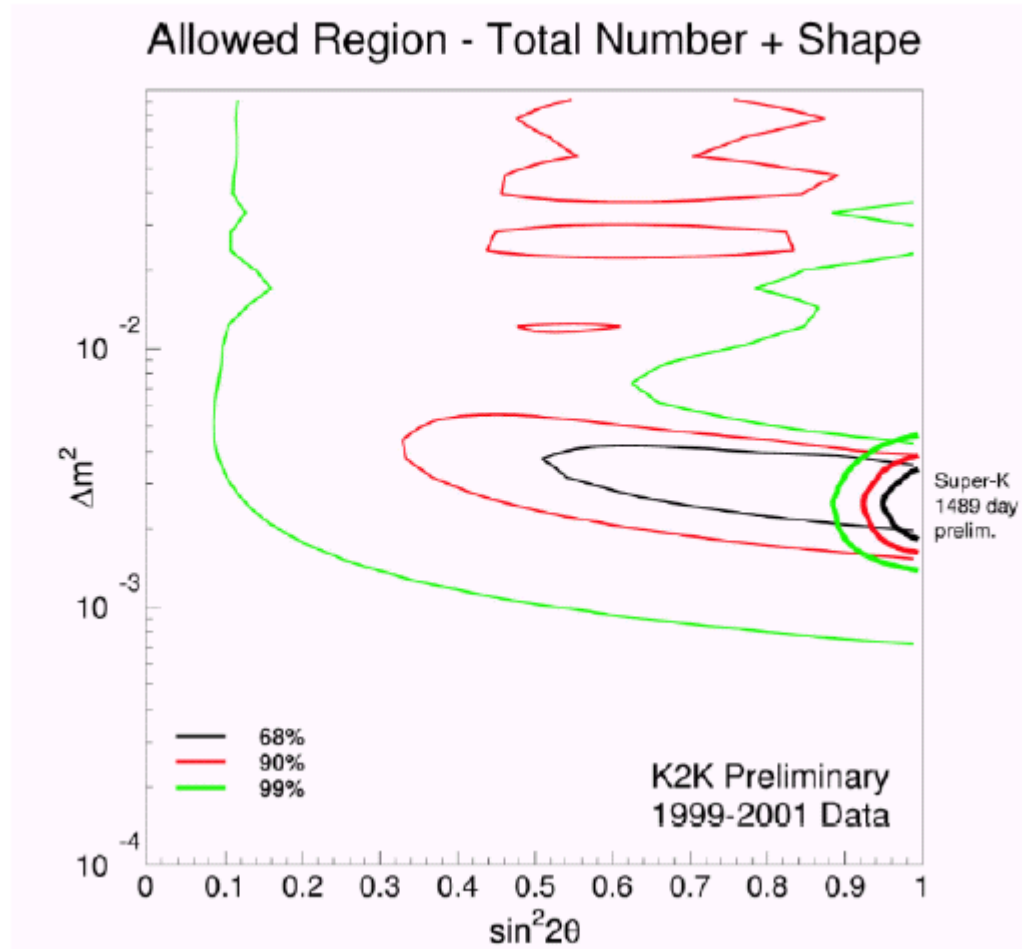
method 2      KS-test

$N_{SK}$               82%

shape              93%

$N_{SK} + \text{shape}$       50%

## Comparison with SK atm $\nu$ observation

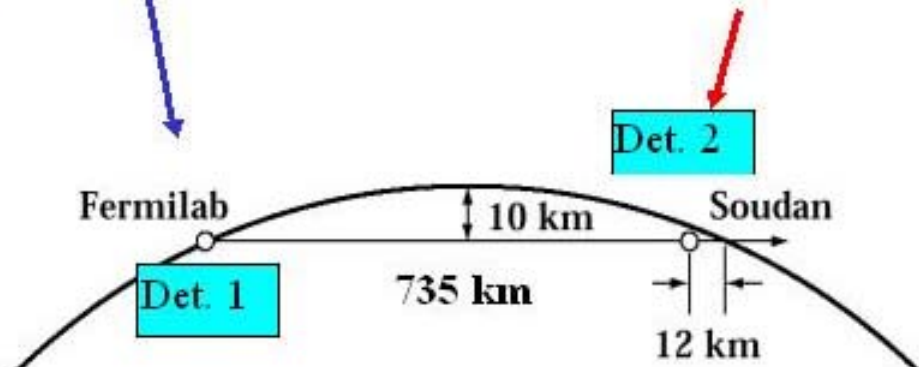


# The MINOS Experiment

- Precision measurements of:
  - Energy distribution of oscillations
  - Measurement of oscillation parameters
  - Participation of neutrino flavors
- Direct measurement of  $\nu$  vs  $\bar{\nu}$  oscillation
  - Magnetized far detector: atm.  $\nu$ 's.
  - Likely eventual measurement with beam



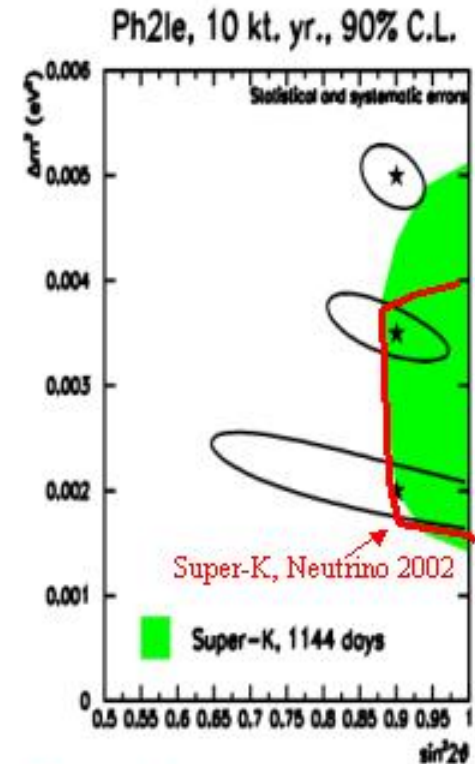
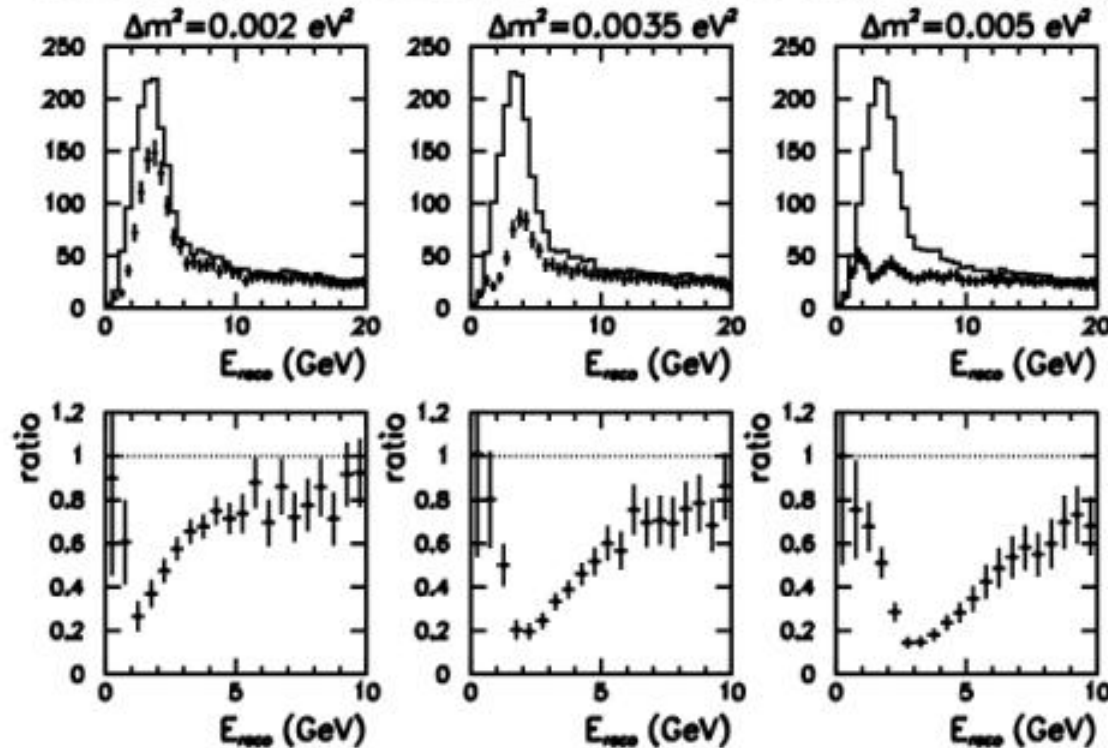
Near Detector: 980 tons  
Far Detector: 5400 tons





# Measurement of Oscillations in MINOS

CC energy distributions – Ph2le, 10 kt.yr.,  $\sin^2(2\theta)=0.9$



Note: MINOS beam results are presented for only 2 years of running! Longer-term running is certainly possible, even probable. Results are statistics limited.

# Searches for tau neutrino appearance

with atmospheric (SK)

and accelerator experiments (CNGS)

# □ $\tau$ detection in atmospheric $\nu$

## Selection Criteria

- multi-GeV, multi-ring
- most energetic ring is e-like
- $\log(\text{likelihood}) > 1$  (single-ring)  
> 0 (multi-ring)

$\tau$  likelihood is defined using:

- total energy
- number of rings
- number of decay electrons
- $\max(E_i)/\sum E_i$
- distance between  $\nu$  interaction point and decay-e point
- $\max(P_{\mu})$
- $P_t/E_{vis}^{3/4}$
- PID likelihood of most energetic ring

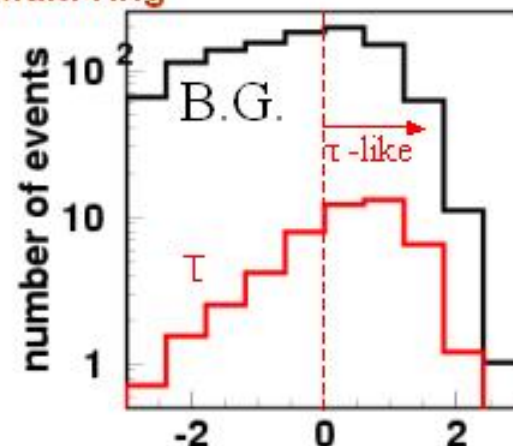
$\tau$ -like selection:  $\text{eff}_{\tau}=44\%$ ,  $S/N=8\%$

observed  $\tau$ -like events; 506

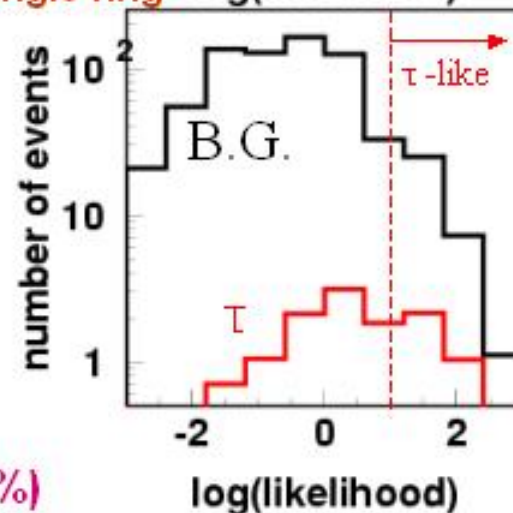
MC expectation; CC  $\nu_{\tau}$  37 events,

BG 461 events (CC  $\nu_e$  43.1%, CC  $\nu_{\mu}$  24.5%, NC 32.4%)

Multi-ring

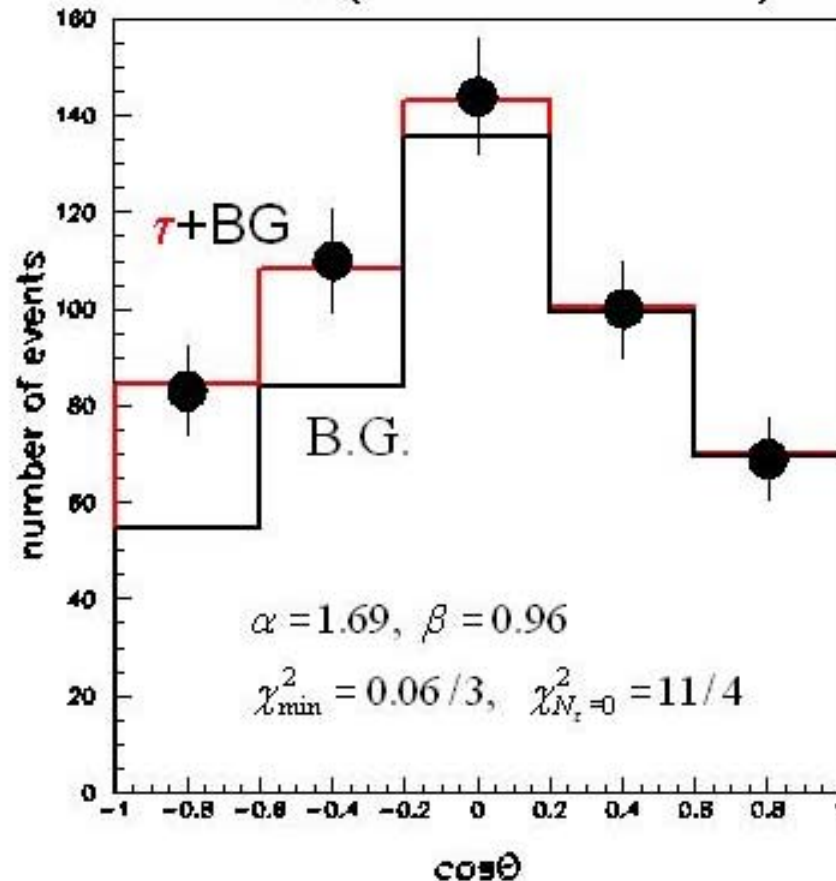


Single-ring log(likelihood)



zenith angle dist. of  $\tau$ -like events

$$\chi^2 = \sum_{\cos\theta} \left( \frac{N_{data} - (\alpha N_{MC}^{\tau} + \beta N_{MC}^{BG})}{\sigma} \right)^2$$



■  $N_{\tau}^{FC} = \alpha N_{MC}^{\tau} / (\text{eff.} = 0.44)$   
 $= 145 \pm 44 (\text{stat.})$   
 $+ 11 \pm 16 (\text{sys.})$

$N_{exp} = 86$

■ consistent with  $\nu_{\mu} \leftrightarrow \nu_{\tau}$

■ another analysis gives similar results:

\*analysis-2 (neural network)

$N_{\tau}^{FC} = 99 \pm 39 (\text{stat.})$

$\pm 13 (\Delta m^2)$

$+ 0 \pm 16 (3\text{-flavor})$

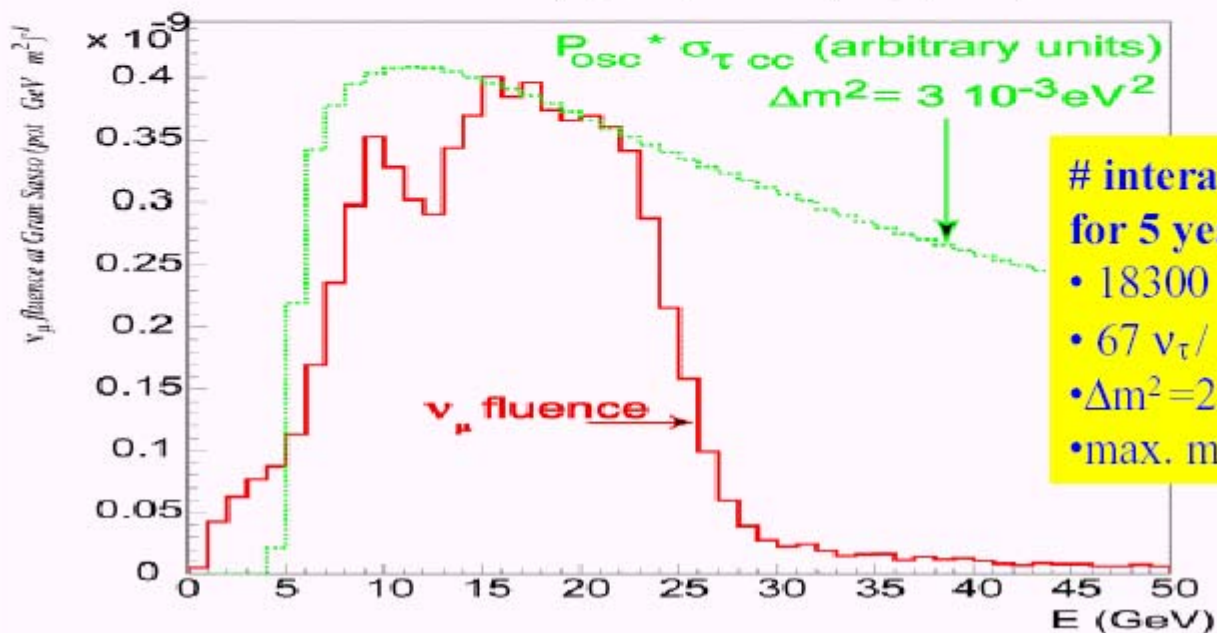
## CNGS beam optimized for appearance

**Shared SPS operation**

**200 days/year**

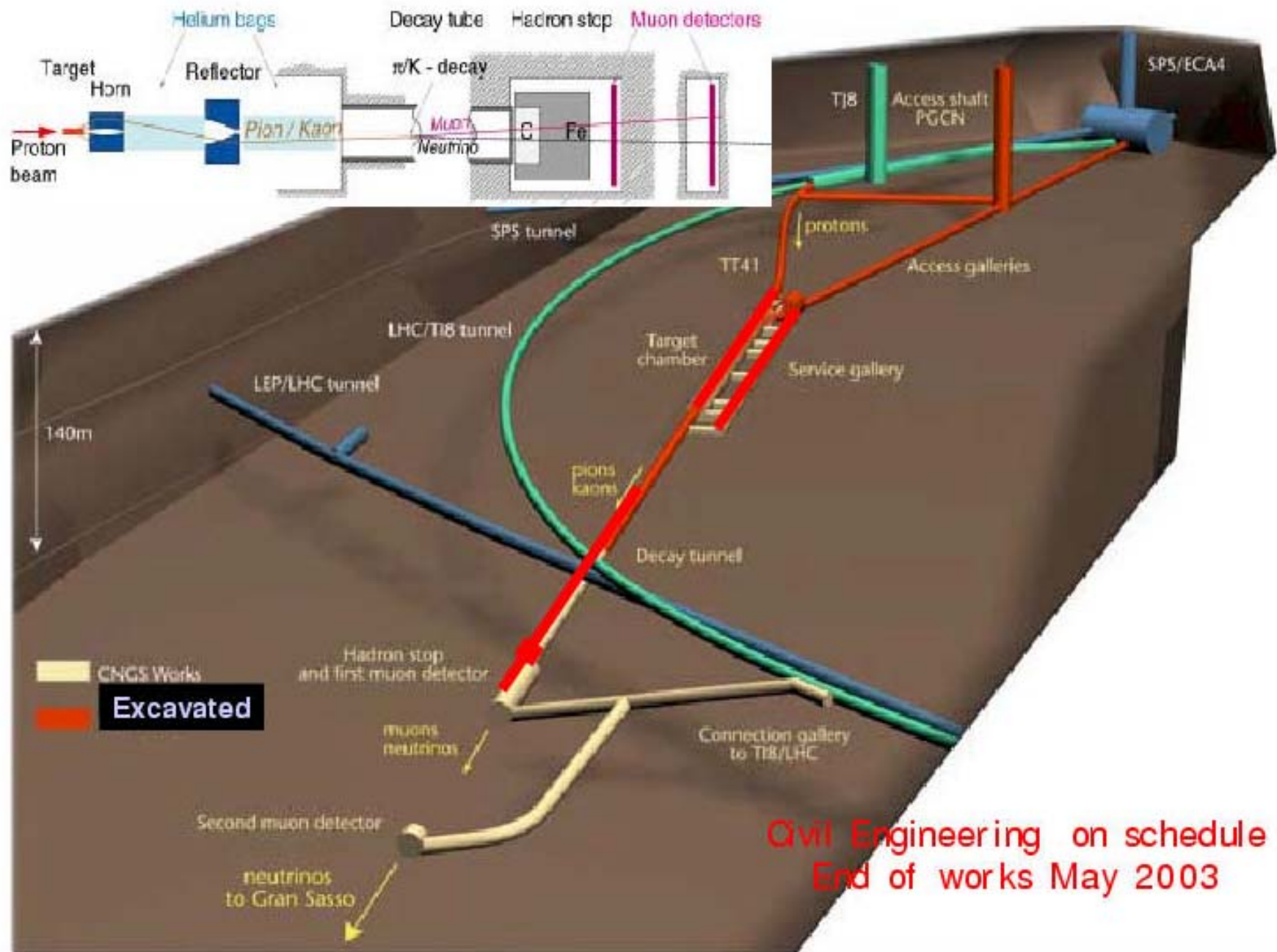
**$4.5 \times 10^{19}$  pot / year**

$\langle E \rangle_\nu$ (GeV)	17
$(\nu_e + \bar{\nu}_e) / \nu_\mu$	0.87 %
$\bar{\nu}_\mu / \nu_\mu$	2.1 %
$\nu_\tau$ prompt	negligible

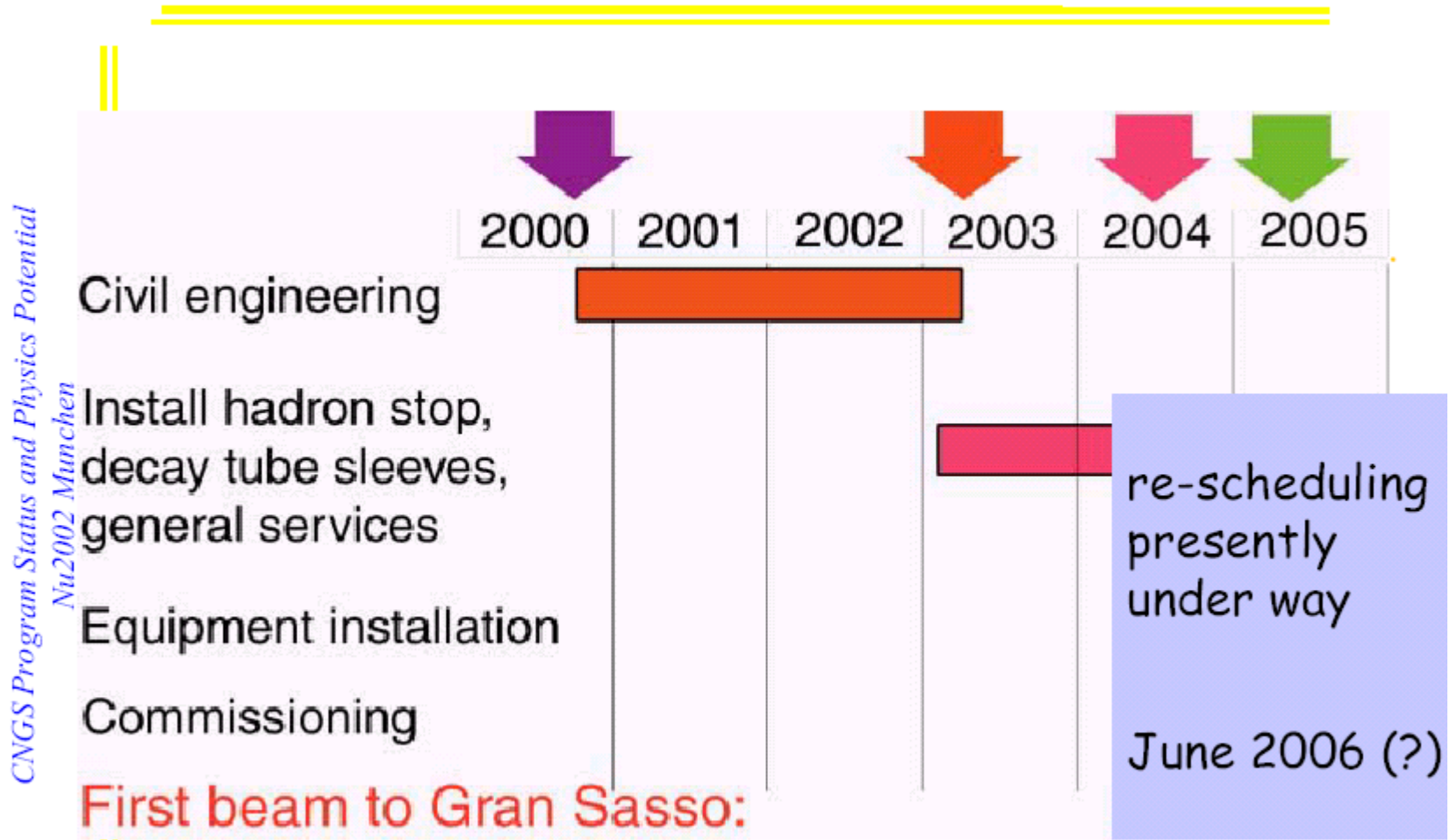


**# interactions  $\nu$   
for 5 years :**

- 18300 NC+CC / kt
- 67  $\nu_\tau$  / kt
- $\Delta m^2 = 2.4 \times 10^{-3} \text{ eV}^2$
- max. mixing



## CNGS : schedule



Reevaluation: Cost 71 -> 77.6 MCHF  
Manpower 60-> 80 manyears



## 2 ways of detecting $\tau$ appearance



$\mu^- \nu_\tau \bar{\nu}_\mu$	BR 18 %
$h^- \nu_\tau n\pi^0$	50 %
$e^- \nu_\tau \bar{\nu}_e$	18 %
$\pi^+ \pi^- \pi \nu_\tau n\pi^0$	14 %

**OPERA: Observation of the decay topology of  $\tau$  (à la CHORUS)**

**In photographic emulsion**

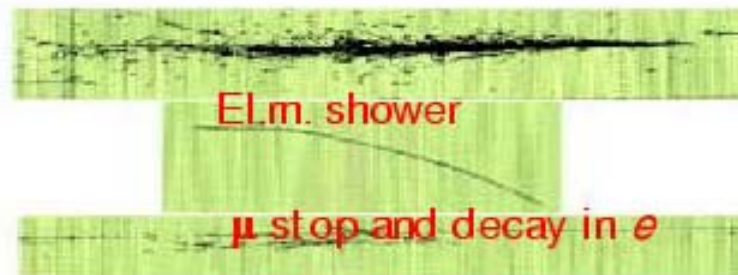
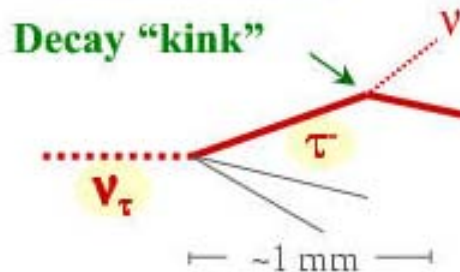
(~  $\mu\text{m}$  granularity)

A digital Cloud chamber

**ICARUS: detailed TPC image in liquid argon and kinematic criteria (à la NOMAD)**

(~ mm granularity)

A digital Bubble chamber

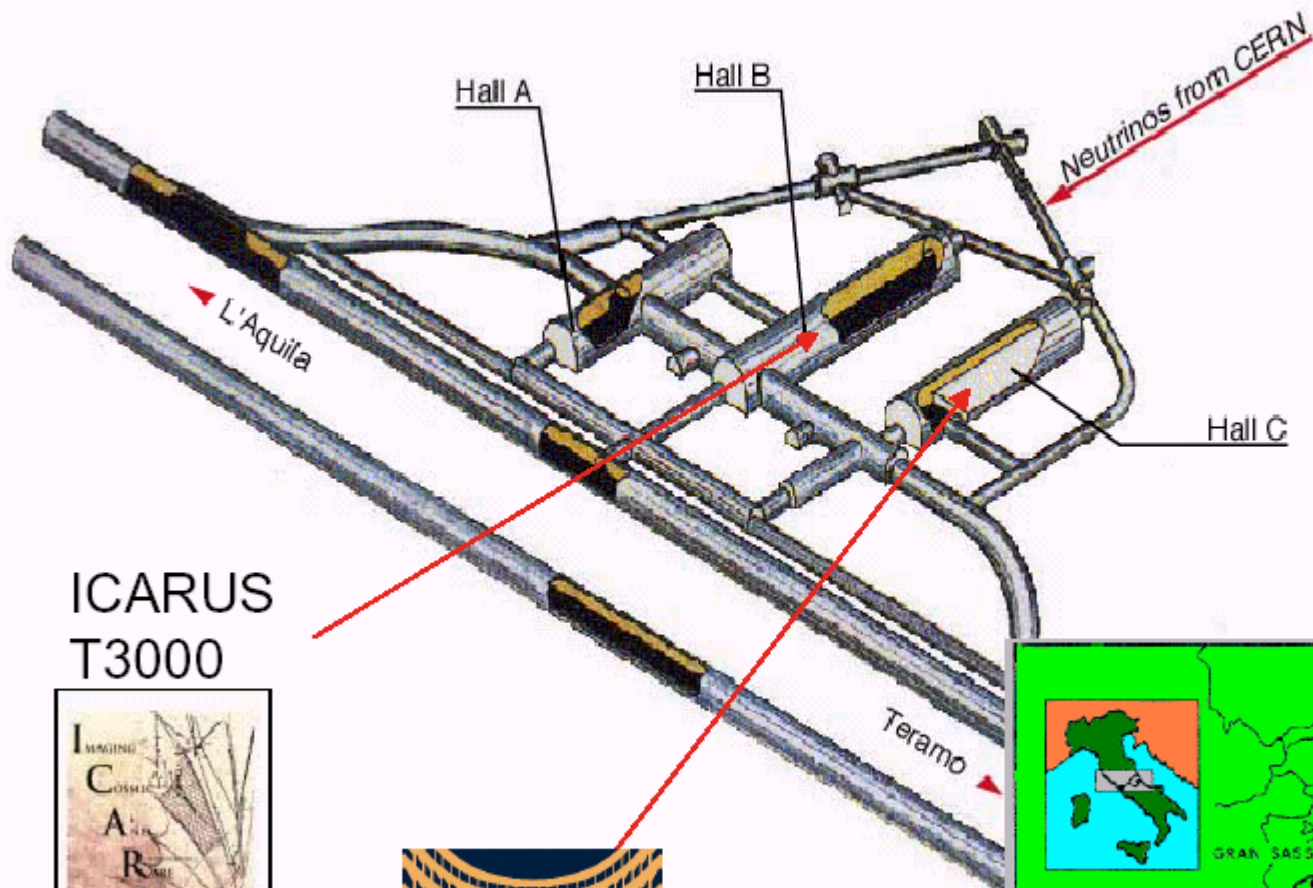


**But also:  $\nu_\mu \dots \nu_e \rightarrow e^- + X$**



# LNGS Laboratory and the 2 detectors

*CNGS Program Status and Physics Potential*



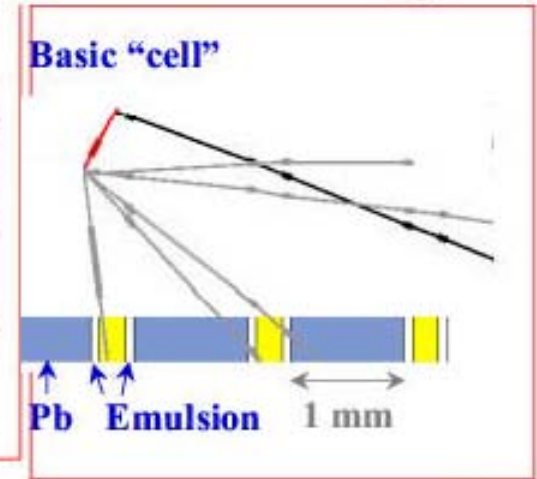
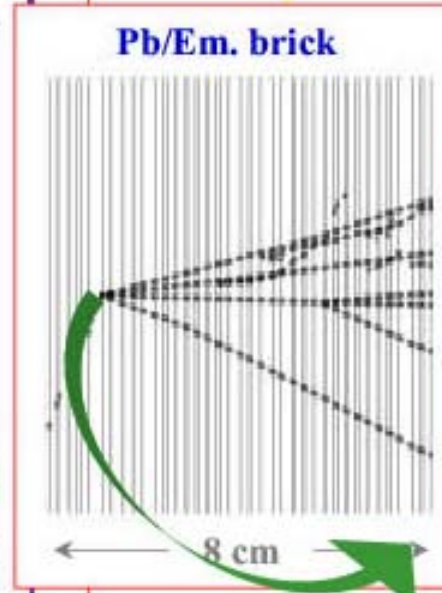
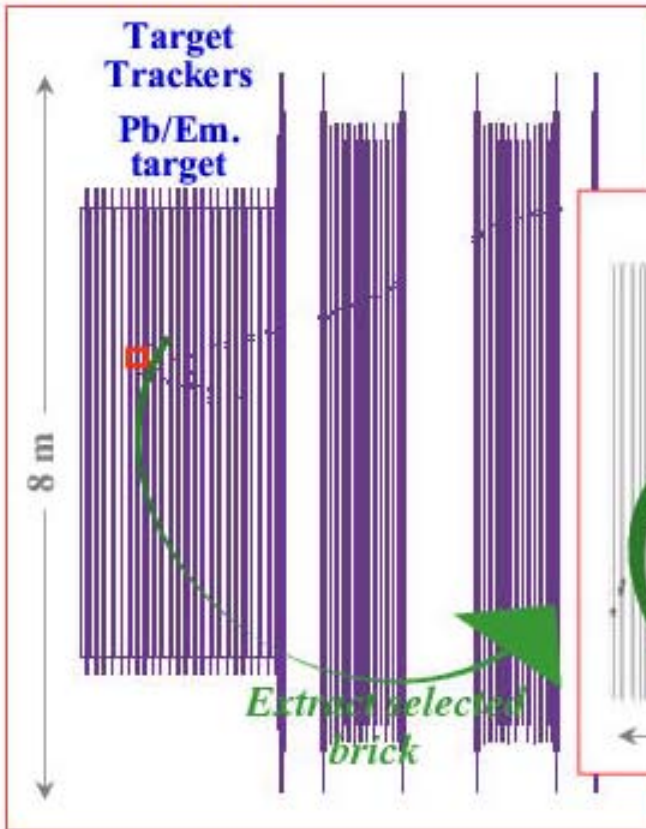
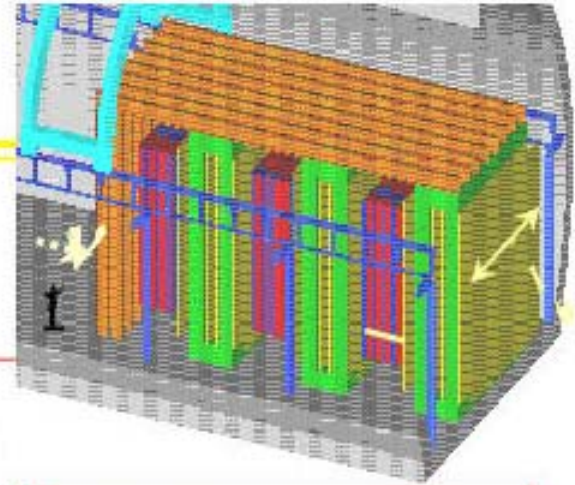
ICARUS  
T3000





# CNGS1: OPERA a hybrid detector

CNGS Program Status and Physics Potential  
Nu2002 Munchen



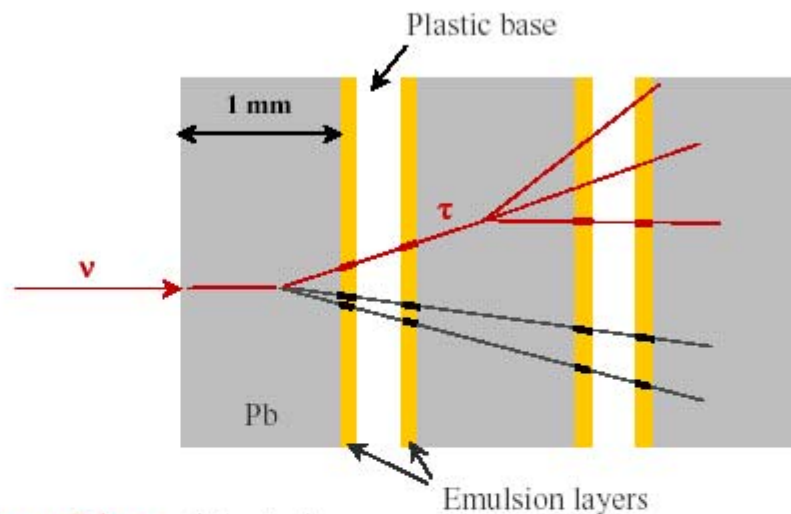
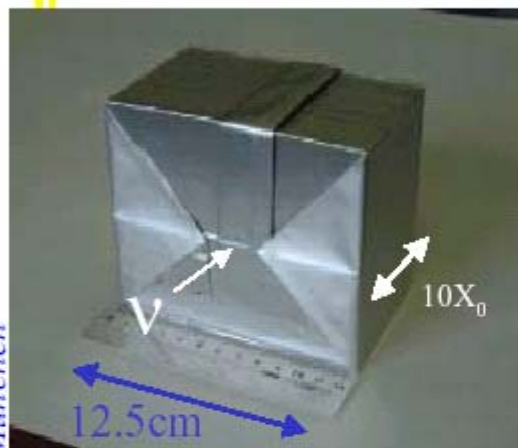
**Electronic detector**  
→ finds the brique of  $\nu$  interaction  
→  $\mu$  ID, charge et p

**Emulsion analysis**  
→ vertex  
→ decay kink  
→  $e/\gamma$  ID,  
multiple scattering, kinematics



## The smallest OPERA element

CNGS Program Status and Physics Potential  
Nu2002 Munchen



56 emulsion films / brick

- To the full detector:
  - 2 supermodules
  - 31 walls / supermodule
  - 52 x 64 bricks / wall
  - 200 000 bricks



# Sensitivity $\nu_{\mu} \rightarrow \nu_{\tau}$

S. Katsanevas

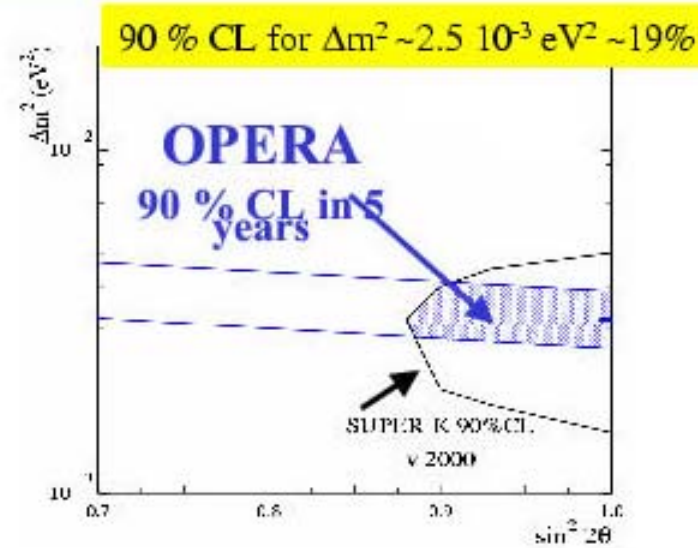
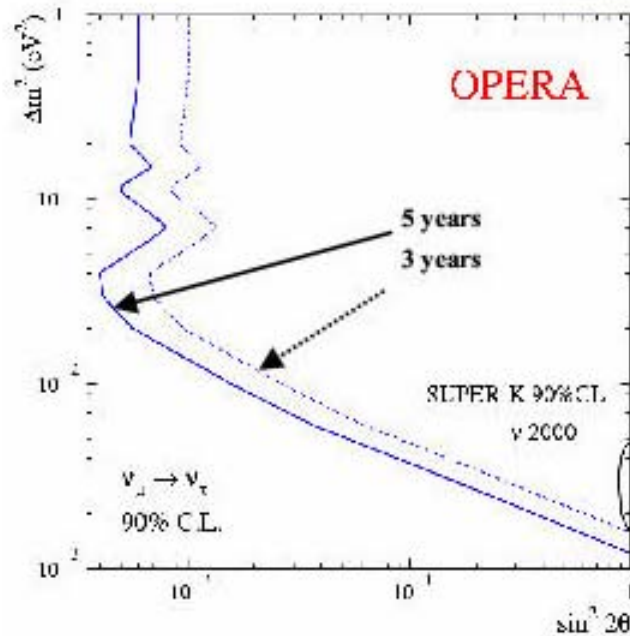
$5 \times 1.8 = 9$  Kt years

$2.25 \cdot 10^{20}$  p.o.t.

• Prob of  $3\sigma$  significance  
for  $\Delta m^2 = 2.5 \cdot 10^{-3} \text{ eV}^2$ :  $\sim 99\%$

Decay mode	Signal $1.2 \cdot 10^{-3}$	Signal $2.4 \cdot 10^{-3}$	Signal $5.4 \cdot 10^{-3}$	Bkgnd.
$\tau \rightarrow e$ long	0.8	3.1	15.4	0.15
$\tau \rightarrow \mu$ long	0.7	2.9	14.5	0.29
$\tau \rightarrow h$ long	0.9	3.4	16.8	0.24
$\tau \rightarrow e$ short	0.2	0.9	4.5	0.03
$\tau \rightarrow \mu$ short	0.1	0.5	2.3	0.04
<b>Total</b>	<b>2.7</b>	<b>10.8</b>	<b>53.5</b>	<b>0.75</b>

CNGS Program Status and Physics Potential  
Nu2002 Munchen



Uncertainties on background ( $\pm 33\%$ ) and on efficiencies ( $\pm 15\%$ ) accounted for

# ICARUS T3000 (proposed)

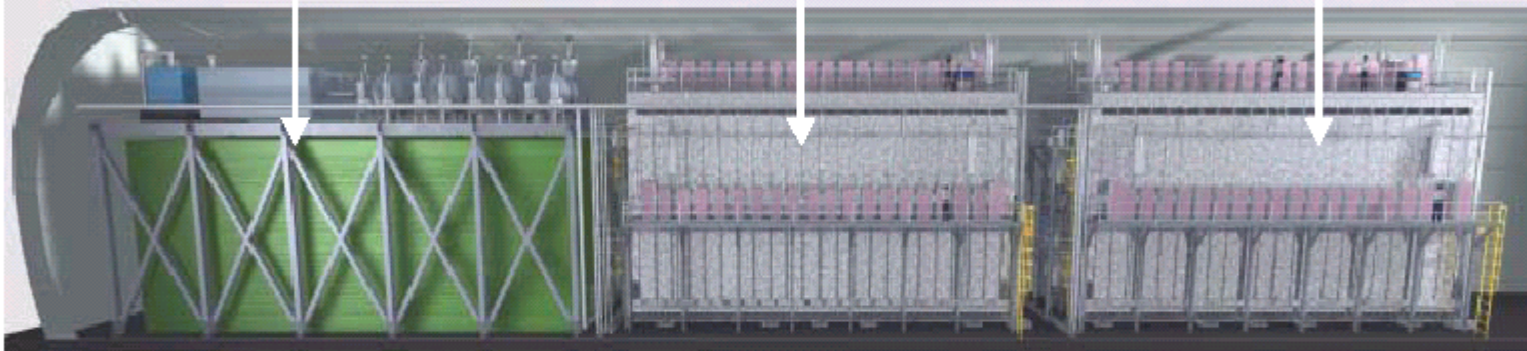
## T3000 Detector in Hall B of LNGS (cloning of T600)

Program Status and Physics Potential

First Unit T600 +  
Auxiliary  
Equipment

T1200 Unit  
(two T600  
superimposed)

T1200 Unit  
(two T600  
superimposed)



Improved statistics for:

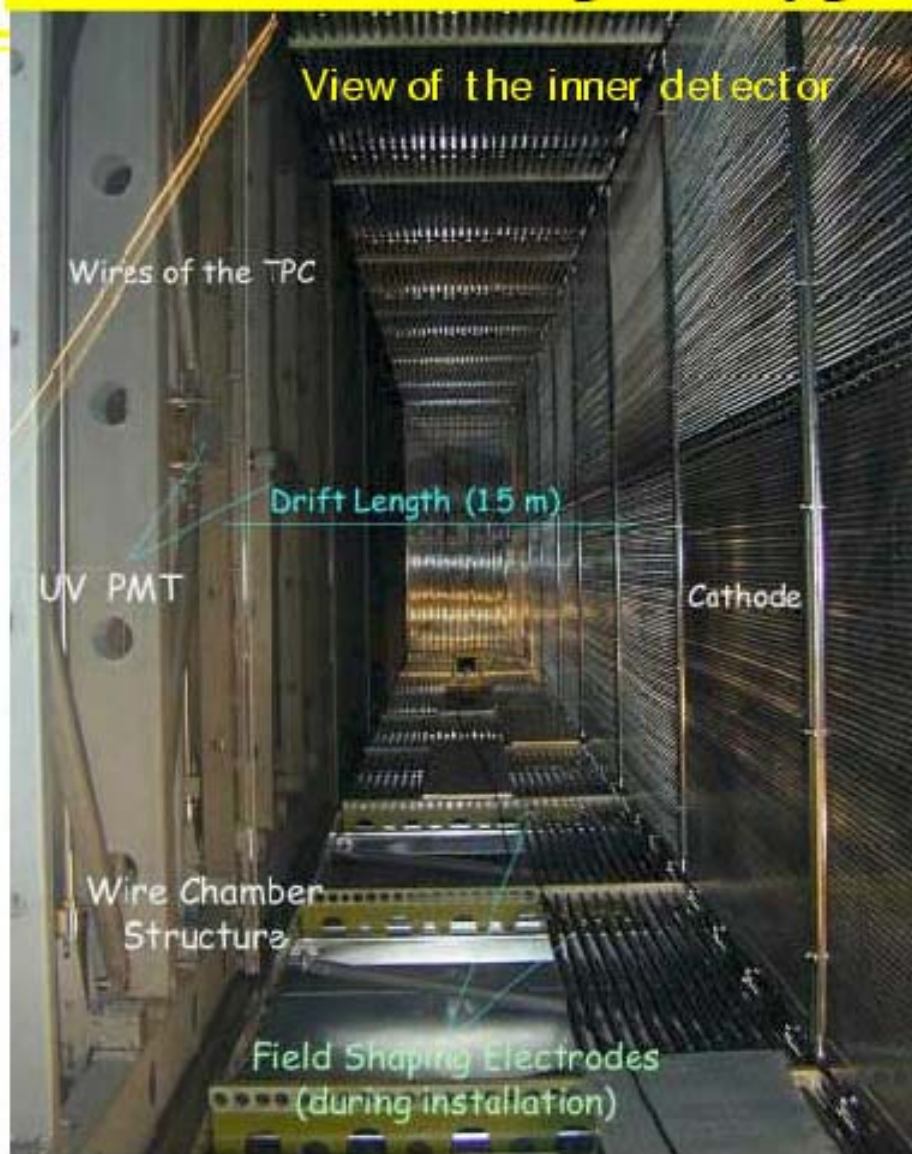
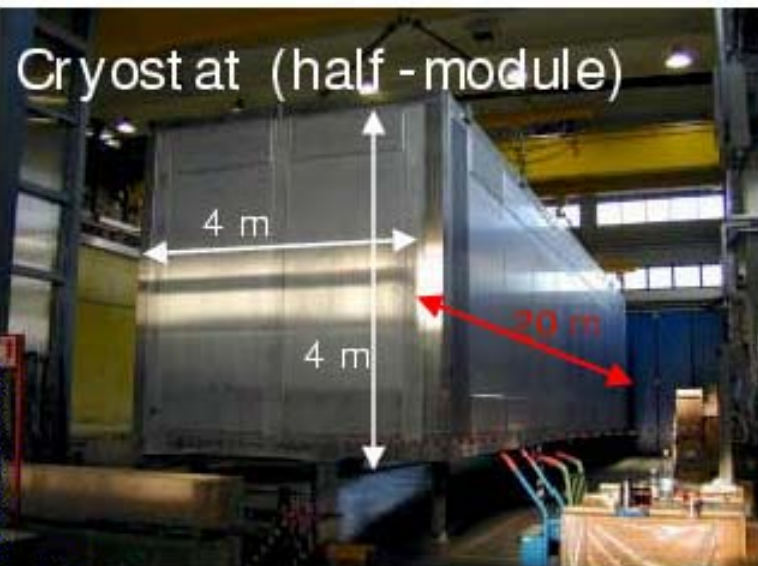
≈ 70 Metres

Future extension  
to additional modules →

1. Solar neutrinos
2. Atmospheric neutrinos
3. Supernova neutrinos
4. CERN-NGS neutrinos
5. Proton decay

T600: installed in LNGS in 2003  
T3000: operational by summer 2006

# ICARUS T300 prototype

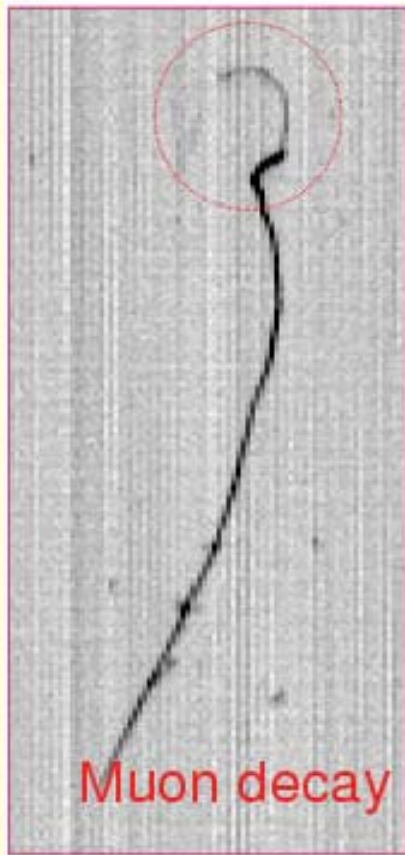


CNGS Program Status and Physics Potential



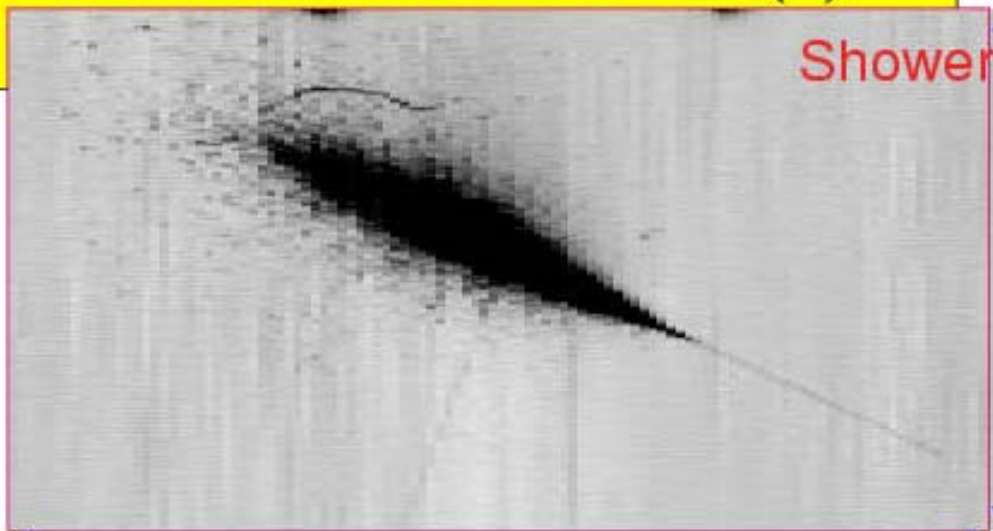
# Electronic bubble chamber (I)

CNGS Program Status and Physics Potential  
Nu2002 Munchen



Muon decay

Run 960, Event 4 Collection Left

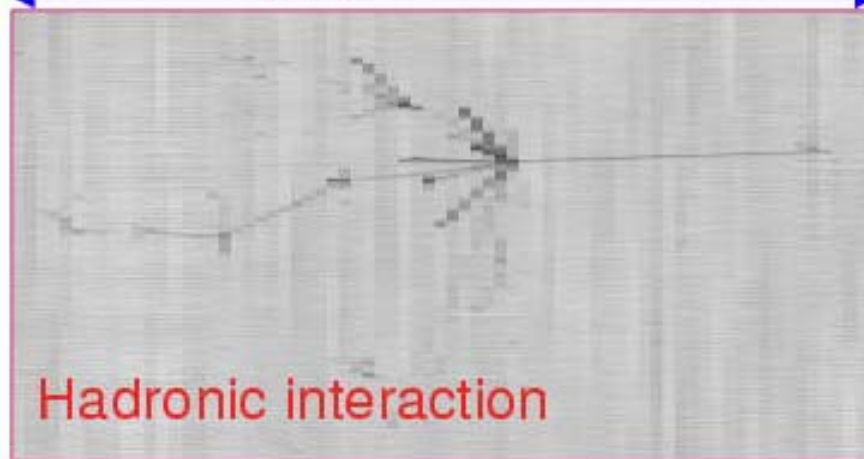


Shower

434 cm

176 cm

265 cm



Hadronic interaction

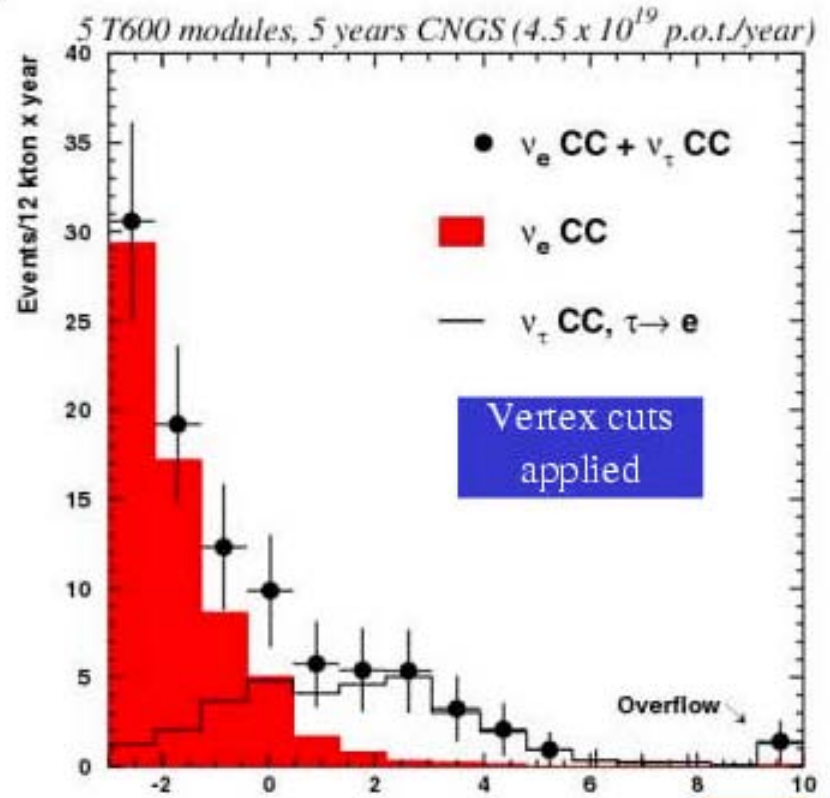
142 cm

Run 308, Event 160 Collection Left



# $\tau \rightarrow e$ search: 3D likelihood

- Analysis based on 3 dimensional likelihood
  - $E_{\text{visible}}$ ,
  - $P_{T \text{ miss}}$ ,
  - $\rho_l \equiv P_{T \text{ lep}} / (P_{T \text{ lep}} + P_{T \text{ had}} + P_{T \text{ miss}})$
  - Exploit correlation between variables
  - Two functions built:
    - $L_S$  ( $[E_{\text{visible}}, P_{T \text{ miss}}, \rho_l]$ ) (signal)
    - $L_B$  ( $[E_{\text{visible}}, P_{T \text{ miss}}, \rho_l]$ ) ( $\nu_e$  CC background)
  - Discrimination given by



$\ln \lambda$

$$\ln \lambda \equiv L([E_{\text{visible}}, P_{T \text{ miss}}, \rho_l]) = L_S / L_B$$





# $\nu_{\mu} \rightarrow \nu_{\tau}$ appearance search summary

**ICARUS T3000 detector**  
**(2.35 kton active LAr)**

**5 year CNGS “shared” running**  
**( $2.25 \times 10^{20}$  p.o.t.)**



$\tau$ decay mode	Signal $\Delta m^2 =$ $1.6 \times 10^{-3} \text{ eV}^2$	Signal $\Delta m^2 =$ $2.5 \times 10^{-3} \text{ eV}^2$	Signal $\Delta m^2 =$ $3.0 \times 10^{-3} \text{ eV}^2$	Signal $\Delta m^2 =$ $4.0 \times 10^{-3} \text{ eV}^2$	BG
$\tau \rightarrow e$	3.7	9	13	23	0.7
$\tau \rightarrow \rho$ DIS	0.6	1.5	2.2	3.9	< 0.1
$\tau \rightarrow \rho$ QE	0.6	1.4	2.0	3.6	< 0.1
<b>Total</b>	<b>4.9</b>	<b>11.9</b>	<b>17.2</b>	<b>30.5</b>	<b>0.7</b>

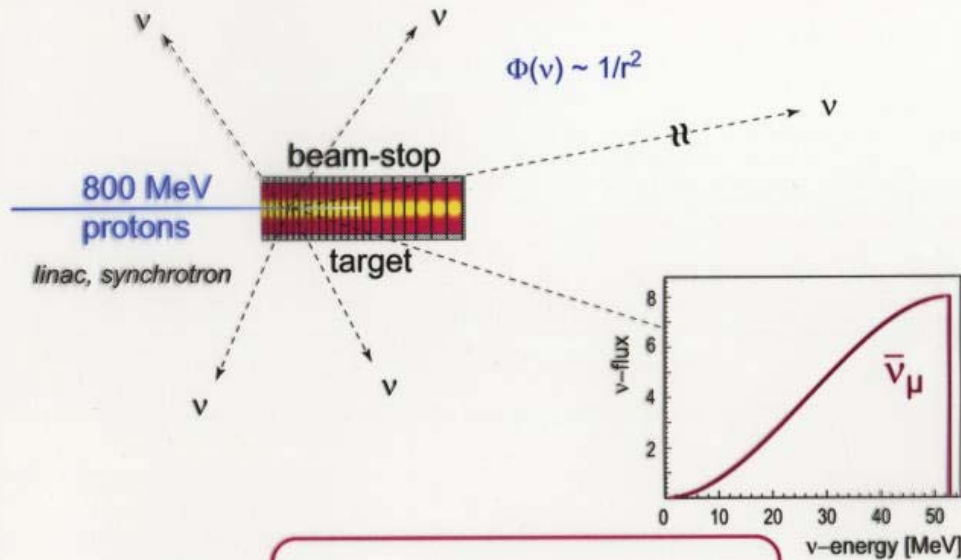
**Super-Kamiokande:  $1.6 < \Delta m^2 < 4.0$  at 90% C.L.**

**SAME SENSITIVITY AS OPERA**

# Electron neutrino appearance

(LSND & KARMEN, MINIBOONE)

# $\bar{\nu}_\mu - \bar{\nu}_e$ Oscillation Searches at Beam Stop Sources



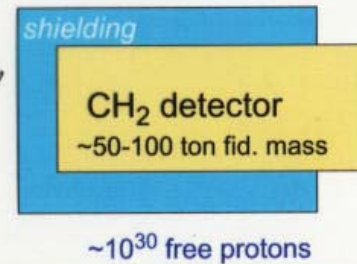
short baseline geometry

$\langle L_\nu \rangle = 15-30$  m from target

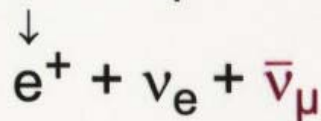
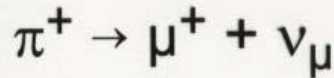
$\langle E_\nu \rangle = 35$  MeV

$\langle L_\nu / E_\nu \rangle \sim 1$  m/MeV

$\Delta m^2$  scale  $\sim 1$  eV<sup>2</sup>

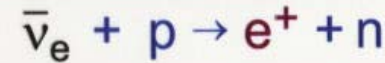


decay at rest (DAR)



analytical  $\nu$ -spectra 0-53 MeV

flavour oscillation



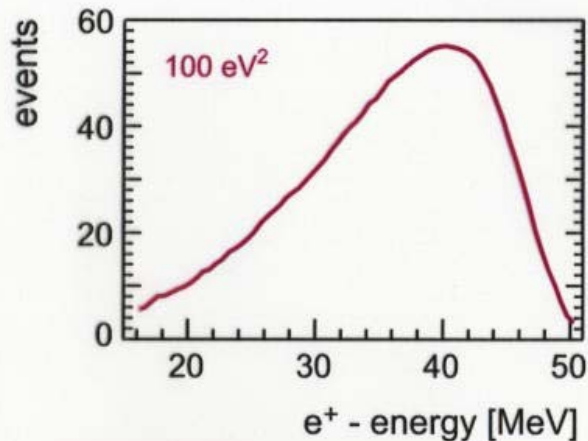
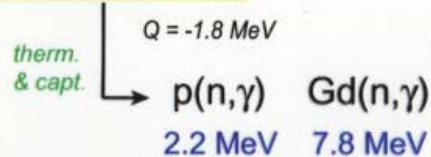
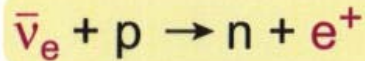
inverse  $\beta$ -decay off free protons:  
delayed coincidence signature

$\pi^-$  and  $\mu^-$  captured by nuclei

$\rightarrow$  small intrinsic  $\bar{\nu}_e$  contamination (few  $\times 10^{-4}$ )

# $\bar{\nu}_e$ - Appearance Searches

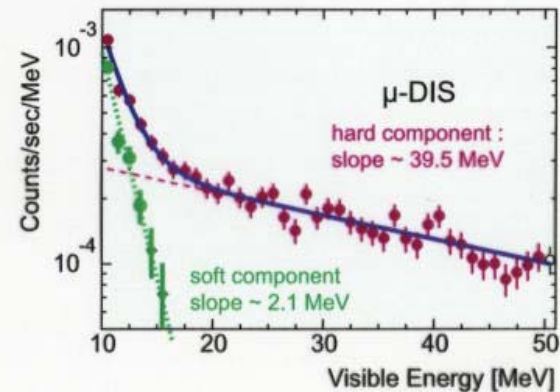
## Oscillation Signal



clear signature (del. coincidence)  
 point-like  $\nu$ -target: excl. L/E resolution  
 $\sigma \sim 10^{-41} \text{ cm}^2$ : expect small signal (<100evts)  
 extr. small contamination, small  $\nu$ -bg

## CR Background Source

$\mu$ -induced deep inelastic scattering  
 in Fe-shielding of experiment  
 HE- neutrons : recoil proton & n-capture  $\gamma$



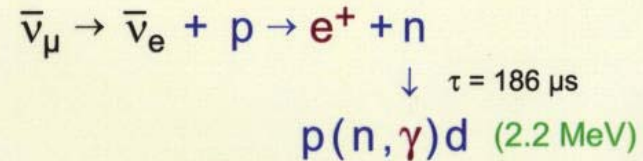
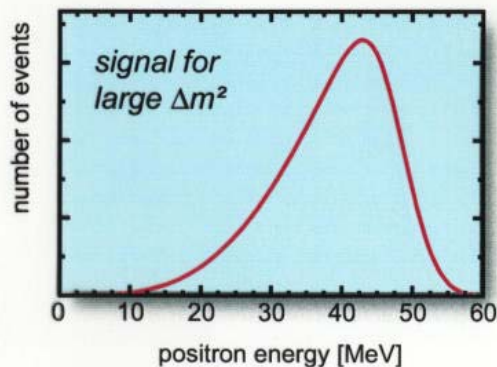
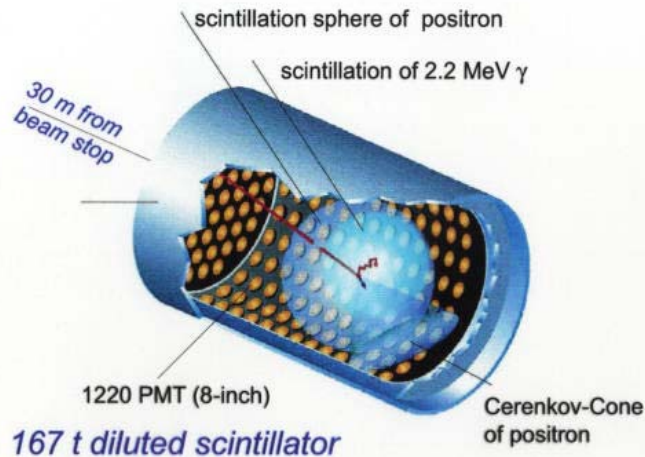
LSND:

PID for  
 $e^+$  and proton

KARMEN2:

active veto of  $\mu$ -DIS  
 duty cycle

## LSND $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ appearance search



### $e^+$ identification:

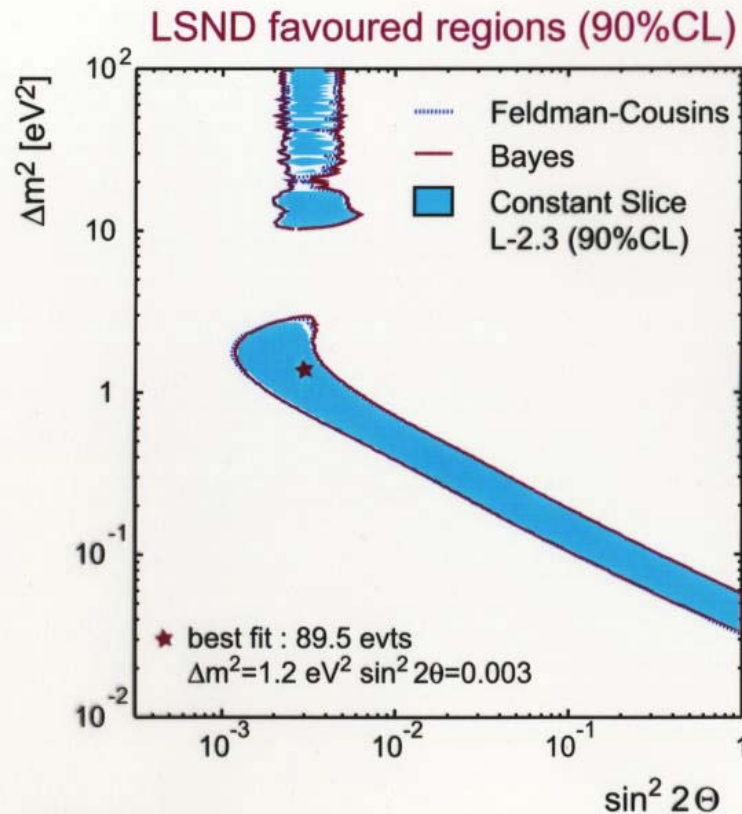
- check veto activity  
discriminate cosmic rays
- PID: relativistic particle (Cerenk.+scint.)  
discriminate neutrons, muons
- positron energy 20(36)-60 MeV  
discriminate  $\nu$ -nucleus interactions

### $\gamma$ identification:

- low detector threshold 1 ms after  $e^+$
- R-parameter distribution  
Likelihood Ratio correlated/uncorrelated  
use : energy / time / position correl.  
discriminate accidentals

## LSND event based maximum likelihood analysis

A. Aguilar et al. (LSND Collab.), Phys. Rev. **D64** (2001) 112007



5697 candidate events  
with 4 fit variables (3600 bins) :

electron energy  $E_e$   
scattering angle  $\cos \Theta$   
distance along axis  $z$   
likelihood ratio  $R_\gamma$

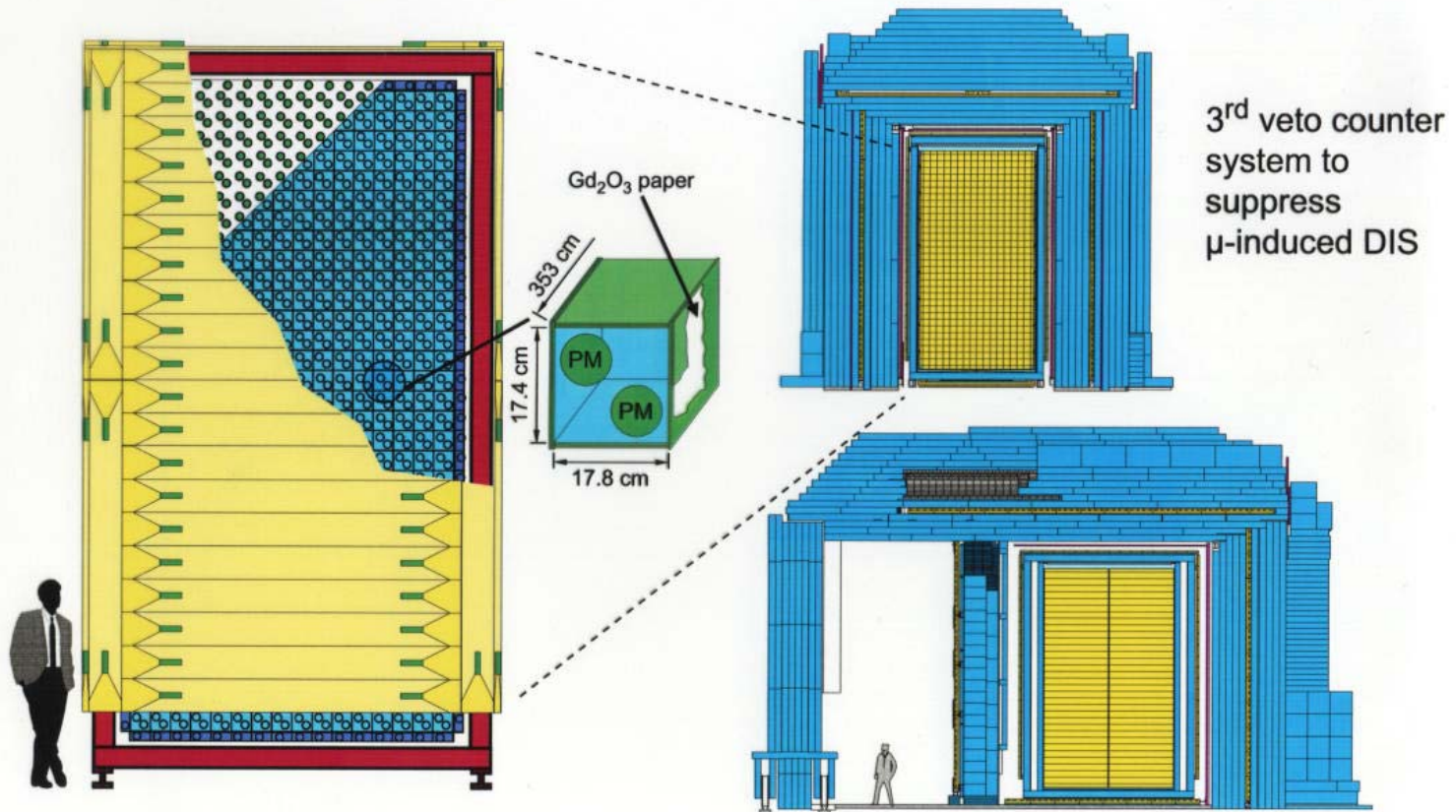
'combined' LSND likelihood  
contour for DAR *and* DIF data

electron energy range : 20-200 MeV

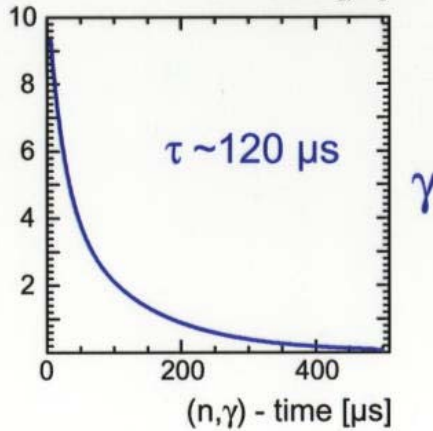
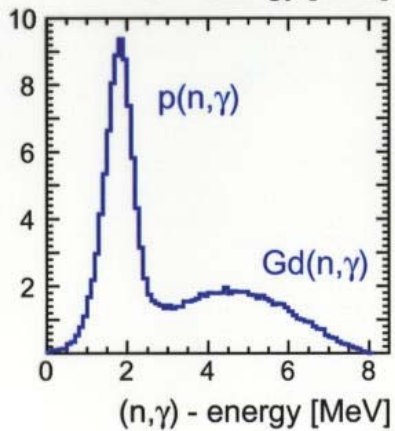
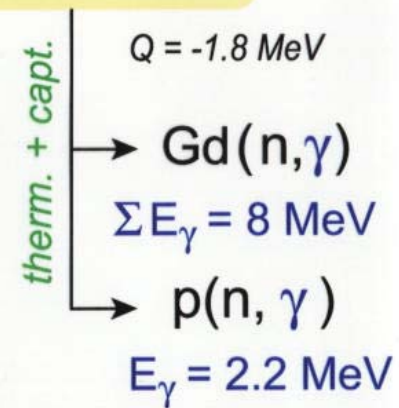
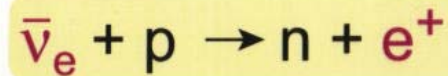
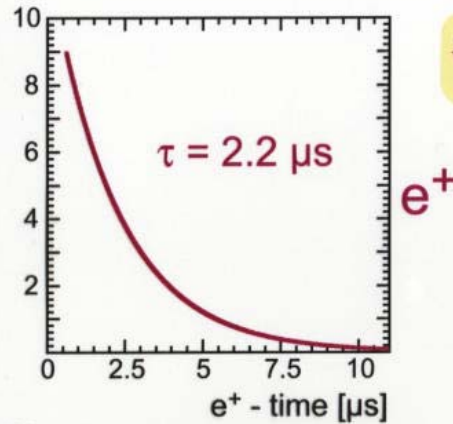
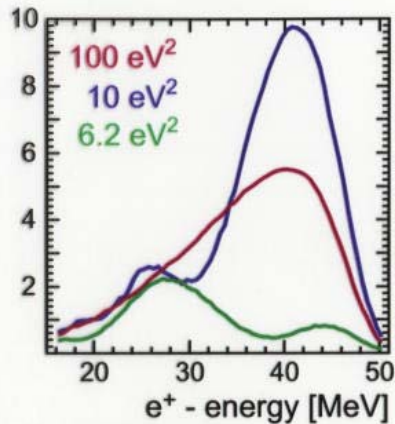
global  $\bar{\nu}_\mu - \bar{\nu}_e$  *and*  $\nu_\mu - \nu_e$  analysis

## *KARMEN liquid scintillation calorimeter*

56 to. scintillator (5.6 × 3.2 × 3.5) m<sup>3</sup>  $\Delta E/E = 11.5\% \sqrt{E(\text{MeV})}$



## $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ oscillation signature



spatially correlated  
delayed coincidence

$$\langle \sigma \rangle = 0.93 \times 10^{-40} \text{ cm}^2$$

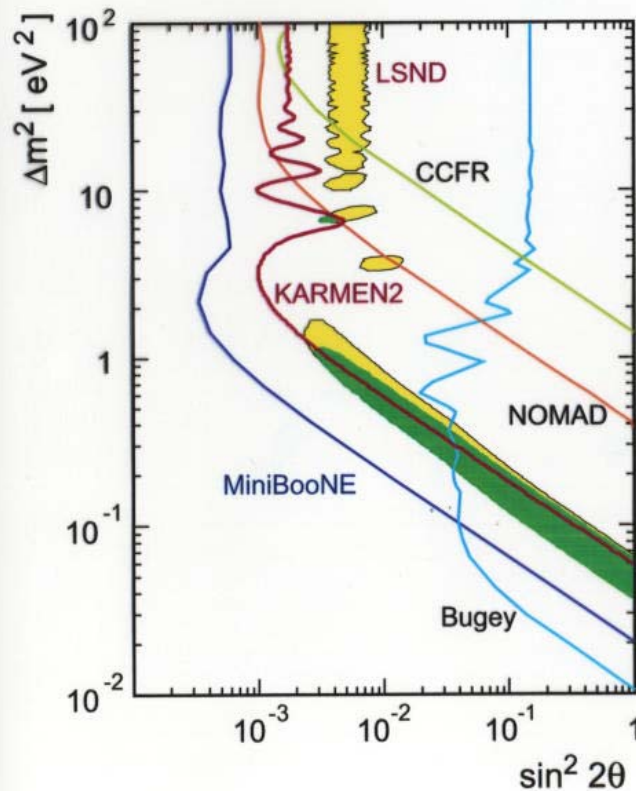


## Comparison of LSND and KARMEN2

	<i>LSND (93-98)</i>	<i>KARMEN2 (97-01)</i>
proton charge	28.896 C	9.425 C
beam current / pulse	1 mA / 600 $\mu$ s	200 $\mu$ A / 0.5 $\mu$ s
neutrino flux	$1.2 \times 10^{22} \bar{\nu}_\mu$	$2.71 \times 10^{21} \bar{\nu}_\mu$
intrinsic $\bar{\nu}_e$ contam.	$\sim 8 \times 10^{-4}$	$6.4 \times 10^{-4}$
detector distance	30 m	17.6 m
fid. detector mass	85 to.	50 to.
bg-suppression	PID ( $R_\gamma$ -likelihood)	duty cycle, veto $\mu$ -DIS
osc. detect. efficiency	$0.42 \pm 0.03$	$0.192 \pm 0.015$
max. mixing signal	16.650 evts	2.913 evts
bg-expectation	5.474 evts	15.8 evts
beam-on signal	5.697 evts	15 evts

## Conclusions

final oscillation results from LSND and KARMEN2 published  
and compatibility analysis submitted for publication



### LSND (1993-98)

combined DAR & DIF analysis (new reconstr.)

$87.9 \pm 22.4 \pm 6.0$  *beam excess* events

$P = (0.264 \pm 0.067 \pm 0.045)\%$

### KARMEN2 (1997-01)

final DAR oscillation analysis 4y of data

15 evts.  $\rightarrow (15.8 \pm 0.5)$  bg expect. *no excess*

$\sin^2 2\theta < 1.7 \times 10^{-3}$ , most stringent limit so far

### LSND & KARMEN2

detailed statistical analysis using full inform.

incompatibility at individual 60% Confid. Levels  
areas of stat. compatibility only at  $\Delta m^2 < 1 \text{ eV}^2$

$\bar{\nu}$ -number violating  $\mu$ -decays excluded

## 4f neutrino oscillations

LSND vs.  
KARMEN  
(waiting for  
MiniBooNE)

If LSND is right  $\Rightarrow$  3 different  $\Delta m^2$  necessary:

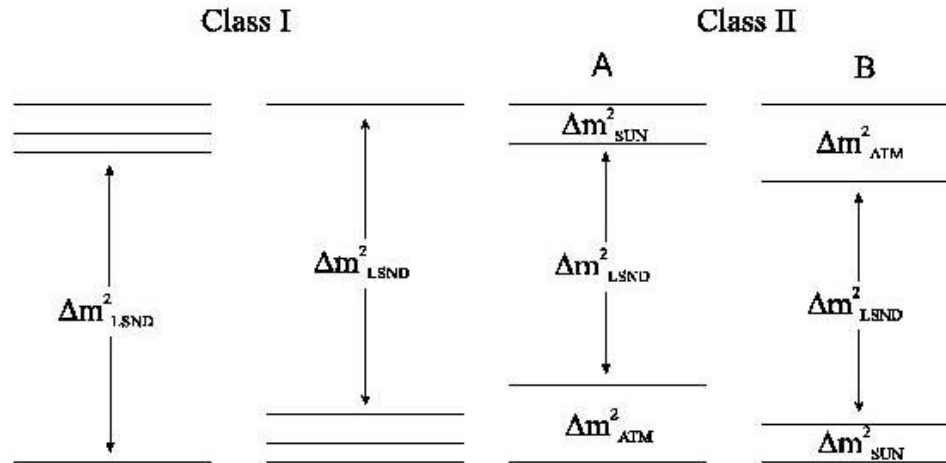
$$\Delta m_{\odot}^2, \quad \Delta m_{\text{atm}}^2, \quad \Delta m_{\text{LSND}}^2$$

$\Rightarrow$  4 light neutrino species:  $\nu_e, \nu_{\mu}, \nu_{\tau}, \nu_s$

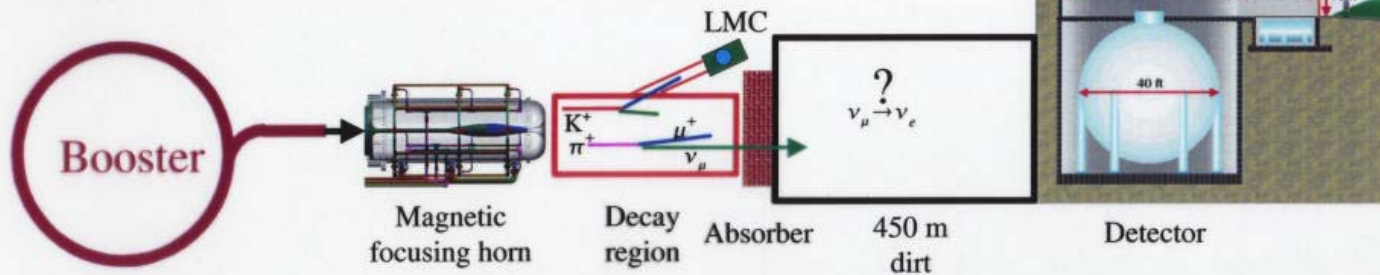
(An alternative: strong CPT violation in neutrino sector,  $(\Delta m^2)_{\nu\nu} \neq (\Delta m^2)_{\bar{\nu}\bar{\nu}}$  - Murayama & Yanagida, 2000; Barenboim, Borisso, Lykken & Smirnov, 2001; Barenboim, Beacom, Borisso & Kayser, 2002 )

4 flavours  $\Rightarrow$  6 mixing angles  $\theta_{ij}$ , 3 Dirac-type CP phases

A simplification: Only 2 classes of 4f schemes can fit the data,  
(3+1) and (2+2)



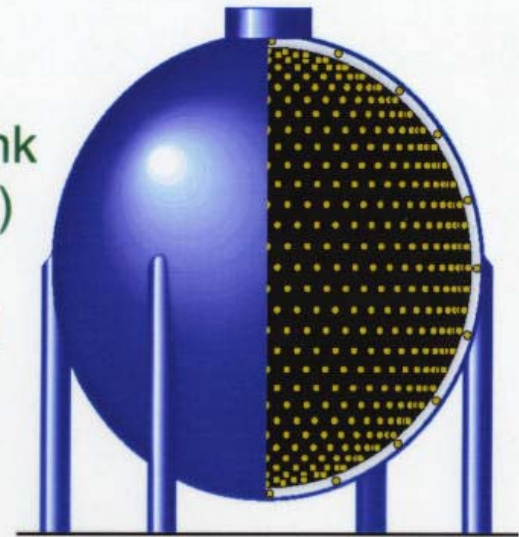
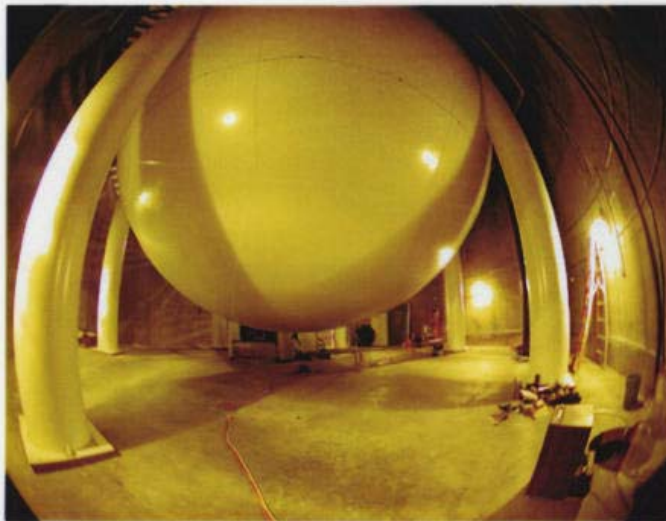
## The miniBooNE $\nu$ Beam:



- 8 GeV protons from the FNAL booster...
- on a Be target, produce  $\pi^+$  ...
- $\pi^+$  are focused via the neutrino "horn"...
- $\pi^+$  decay ( $\pi^+ \rightarrow \mu \nu_\mu$ ) in 50m pipe...
- yielding intense source of  $\nu_\mu$

## The miniBooNE $\nu$ Detector:

- 12 meter (40') diameter spherical tank
- 807 tons (250kgal, 445 tons fiducial) of mineral oil
- Optically isolated inner region lined with 1280 8" PMTs (10% coverage)



- Veto region with 240 PMTs
- Extensive calibration system: laser flasks, muon tracker, stopping muon cubes

## miniBooNE Expected Signal:

In 2 years  
( $10^{21}$  protons on target):

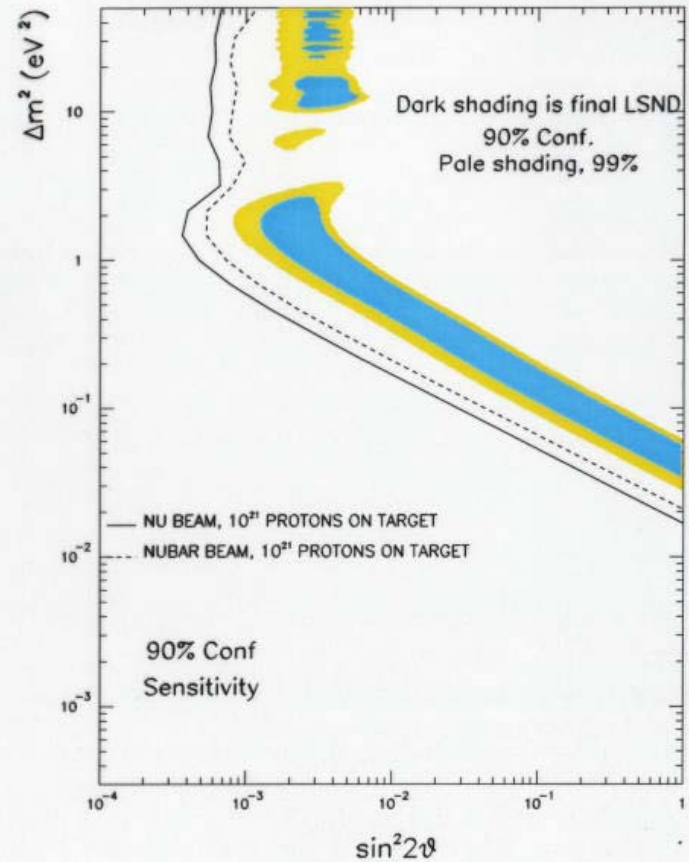
– miniBooNE will confirm or  
refute the LSND signal

and then...

– Antineutrino running...

and then if a signal is confirmed..

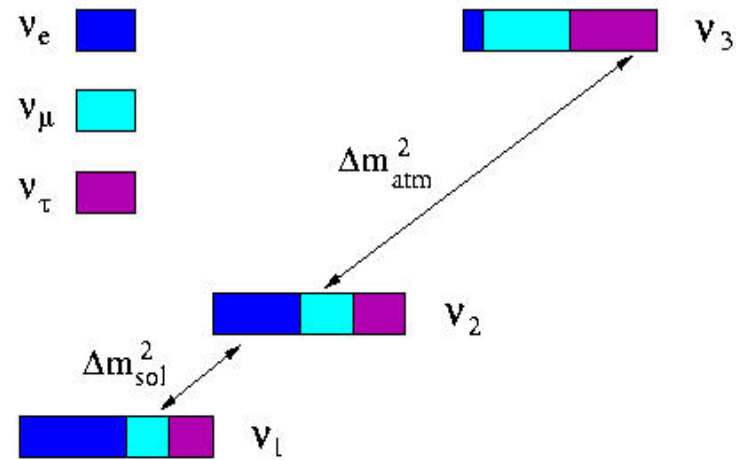
– 2nd detector



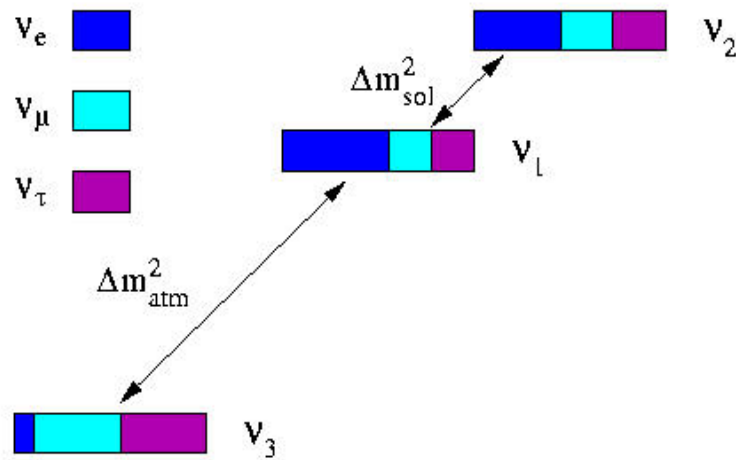
# Searches for subleading electron neutrino appearance

Precision measurements of  
 $3\nu$ -oscillations

### Normal hierarchy:

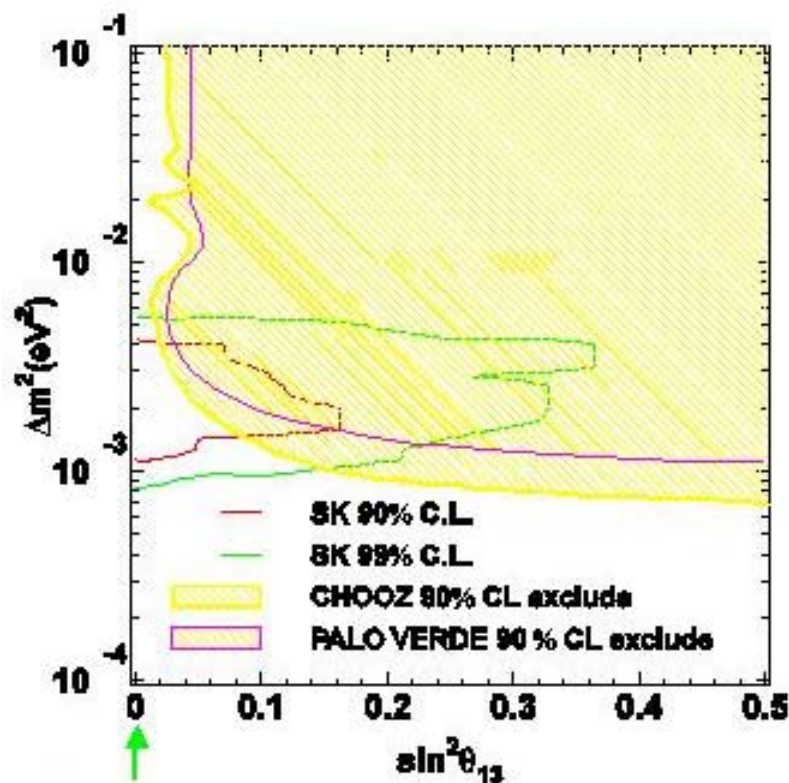


### Inverted hierarchy:

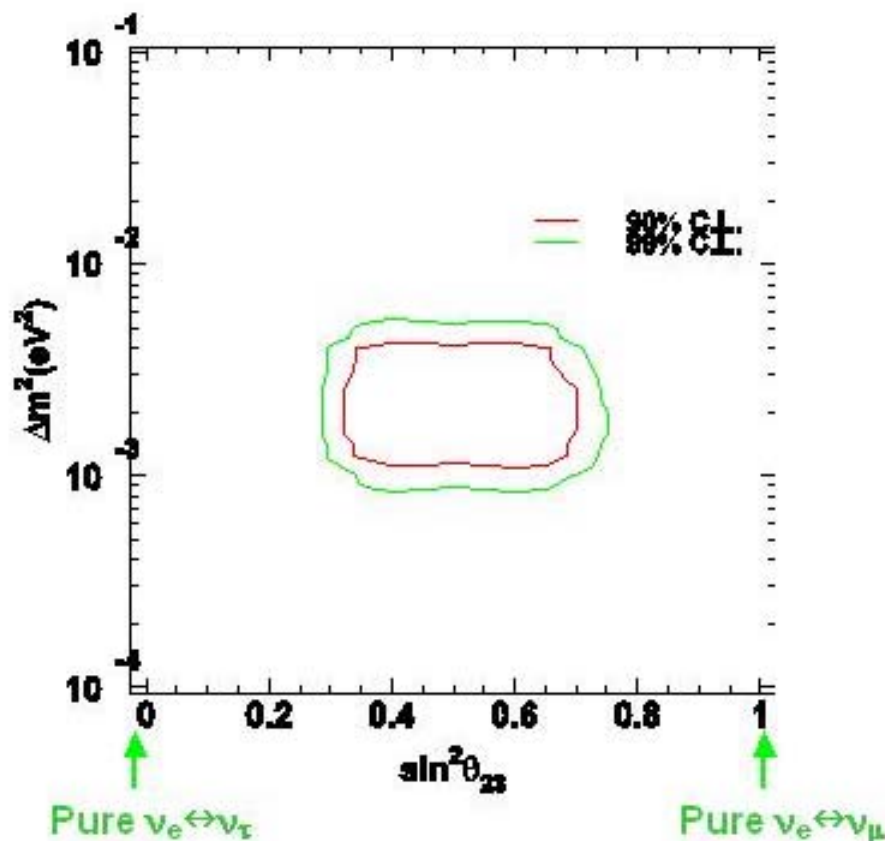




# Allowed region for active 3-flavor oscillations



Pure  $\nu_\mu \leftrightarrow \nu_\tau$   
getting close to CHOOZ's limit on  $\theta_{13}$



Pure  $\nu_e \leftrightarrow \nu_\tau$

Pure  $\nu_e \leftrightarrow \nu_\mu$

consistent with CHOOZ's excluded region

## 3f effects in atmospheric neutrino oscillations

### (1) Dominant channel $\nu_\mu \leftrightarrow \nu_\tau$

In 2f case – no matter effects (neglecting tiny  $V_{\mu\tau}$  caused by rad. corrections). Independent from the sign of  $\Delta m_{31}^2$  (direct vs inverted hierarchy). In 3f case – weak sensitivity to matter effects, sign of  $\Delta m_{31}^2$

### (2) Subdominant channels $\nu_e \leftrightarrow \nu_{\mu,\tau}$

Contribution to  $\mu$  – like events: subleading, difficult to observe  
In 2f limits – suppression of oscillation effects on e-like events:

- $\Delta m_{21}^2 \rightarrow 0$  (E.A., Dighe, Lipari & Smirnov, 1998) :

$$\frac{F_e - F_e^0}{F_e^0} = \tilde{P}_2(\Delta m_{31}^2, \theta_{13}, V_{CC}) \cdot (\tau s_{23}^2 - 1)$$

- $s_{13} \rightarrow 0$  (Peres & Smirnov, 1999):

$$\frac{F_e - F_e^0}{F_e^0} = \tilde{P}_2(\Delta m_{21}^2, \theta_{12}, V_{CC}) \cdot (\tau c_{23}^2 - 1)$$

At low energies  $\tau \equiv F_\mu^0/F_e^0 \simeq 2$ ; also  $s_{23}^2 \simeq c_{23}^2 \simeq 1/2$  – a conspiracy to hide oscillation effects on e-like events! Results from a peculiar flavour composition of the atmospheric  $\nu$  flux.

## The Importance of $\theta_{13}$

CHOOZ  $\Rightarrow$   $\theta_{13}$  is small:  $\sin^2 2\theta_{13} < 0.1$

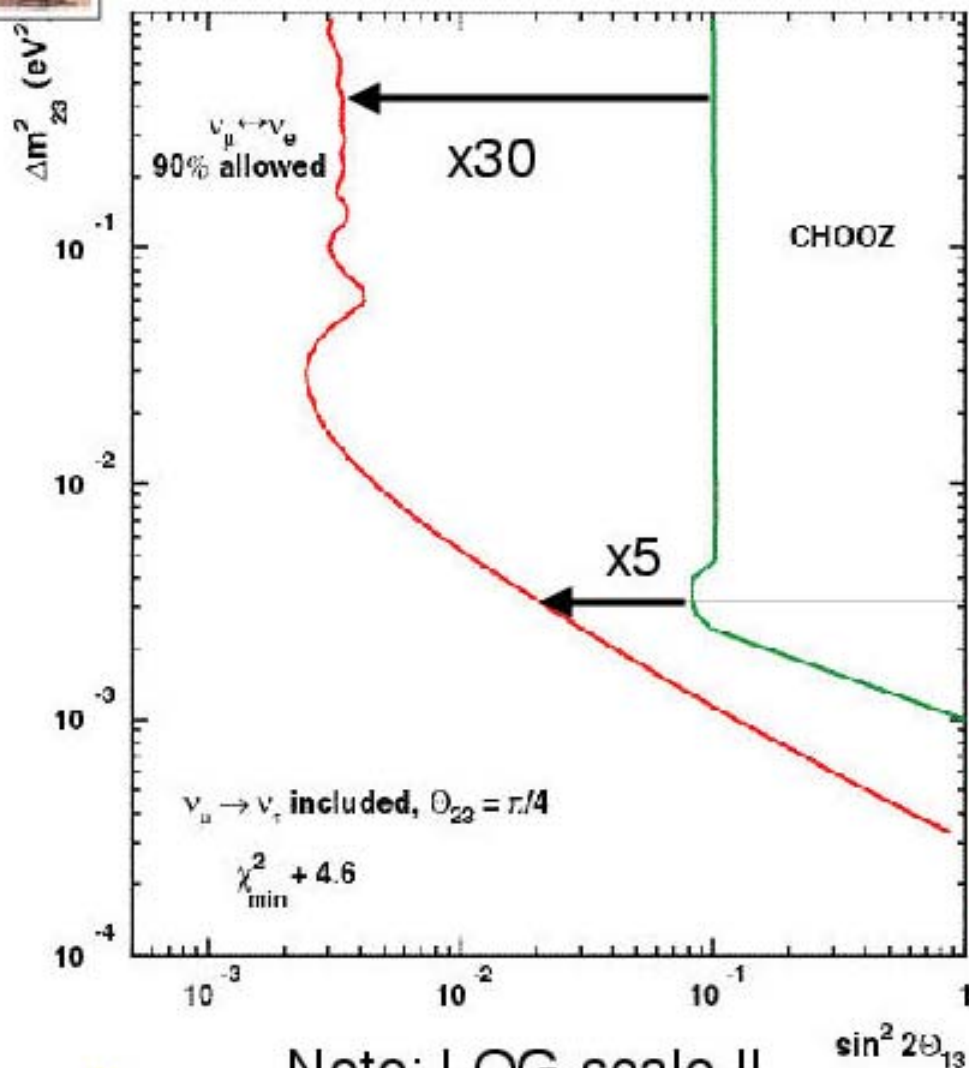
All effects in the  $\nu_e \rightarrow \nu_\mu$ -transition depend crucially on  $\theta_{13}$  :

- the total transition rate
- matter effects
- the effects due to the sign of  $\Delta m_{31}^2$
- CP violating effects

The size of  $\theta_{13}$  determines if these effects can be studied



# Expected sensitivity to $\theta_{13}$



**ICARUS**

**5 years dedicated SPS**

2.35 kton fid. mass

Sensitivity assuming both  $\nu_\mu \rightarrow \nu_\tau$  and  $\nu_\mu \rightarrow \nu_e$  at the same  $\Delta m^2$  (three family mixing)

$$\sin^2 2\theta_{13} > 2 \times 10^{-2}$$

$$\text{for } \Delta m^2_{32} = 3 \times 10^{-3} \text{ eV}^2$$

$\theta_{13}$  limit from 9 to 50

## N ≥ 2 with CP and Matter Effects

**Precision: N = 2 description insufficient ⇒ modifications**

- 2 → 3 neutrino framework ⇒ more parameters & CP effects
- MSW: parameter mapping in matter

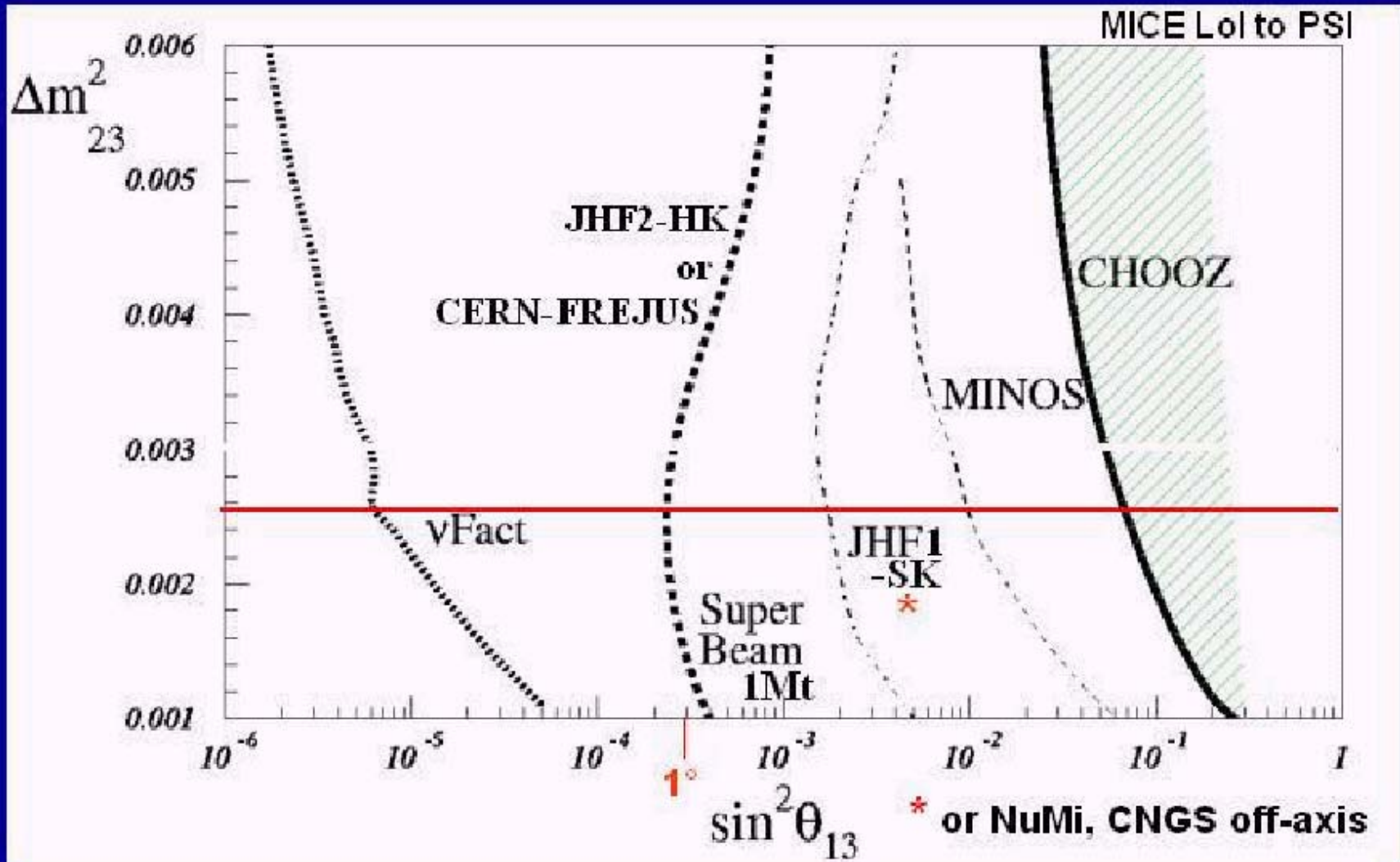
$$\Rightarrow P(\nu_{e_l} \rightarrow \nu_{e_m}) = \underbrace{\delta_{lm} - 4 \sum_{i>j} \text{Re} J_{ij}^{e_l e_m} \sin^2 \Delta_{ij}}_{P_{CP}} \underbrace{- 2 \sum_{i>j} \text{Im} J_{ij}^{e_l e_m} \sin 2\Delta_{ij}}_{P_{CP}}$$

**Shorthands:**  $J_{ij}^{e_l e_m} := U_{li} U_{lj}^* U_{mi}^* U_{mj}$      $\Delta_{ij} := \frac{\Delta m_{ij}^2 L}{4E}$

**Neutrinos:**  $P(\nu_{e_l} \rightarrow \nu_{e_m}) = P_{CP} + P_{CP}$   
**Antineutrinos:**  $P(\bar{\nu}_{e_l} \rightarrow \bar{\nu}_{e_m}) = P_{CP} - P_{CP}$

⇒ e.g. CP Asymmetries:

$$a^{CP} := \frac{P(\nu_{e_l} \rightarrow \nu_{e_m}) - P(\bar{\nu}_{e_l} \rightarrow \bar{\nu}_{e_m})}{P(\nu_{e_l} \rightarrow \nu_{e_m}) + P(\bar{\nu}_{e_l} \rightarrow \bar{\nu}_{e_m})} = \frac{P_{CP}}{P_{CP}}$$



year:	2020	2015	2009	2007
G€:	2	1.0	0.2	

# $\nu$ Oscillations: a personal view

- Neutrinos bring NEW physics:
  - evidences for neutrino masses and mixing
- Broad experimental programme planned to
  - cross-check the results
  - measure the oscillation parameters
- Very promising future for LBL experiments
  - precise masses and mixings
  - CP violation
  - NSI, FCNC, CPT, ...

Neutrino masses



# See-saw mechanism

Gell-Mann, Ramond, Slansky and Yanagida ; Mohapatra and Senjanovic, Schechter and Valle,...

$$\begin{array}{c}
 \text{Diracmatrix} \\
 \downarrow \\
 \left( \begin{array}{cc} \overline{\nu}_L & \overline{\nu}_R^c \end{array} \right) \left( \begin{array}{cc} 0 & m_{LR} \\ m_{LR}^T & M_{RR} \end{array} \right) \left( \begin{array}{c} \nu_L^c \\ \nu_R \end{array} \right) \\
 \uparrow \\
 \text{Heavy Majorana matrix}
 \end{array}$$

Light Majorana matrix

Diagonalise

$$\rightarrow m_{LL} \overline{\nu}_L \nu_L^c$$

$$m_{LL} = m_{LR} M_{RR}^{-1} m_{LR}^T$$

L is violated by right-handed Majorana mass. L may be global or a part of gauged B-L which is spontaneously broken.

The goal is to reproduce a successful light Majorana matrix

# Successful leading order Majorana matrices

Barbieri, Hall, Smith, Strumia, Weiner; Altarelli, Feruglio; many others...

	Type A (zero in 11)	Type B (non-zero 11)
<b>Hierarchy</b> $m_1^2, m_2^2 \ll m_3^2$	$m_{LL}^{HI} \approx \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 1 \\ 0 & 1 & 1 \end{pmatrix} \frac{m}{2}$	<div style="border: 1px solid black; padding: 5px; text-align: center;"> <p>Large neutrinoless double beta decay</p> </div>
<b>Inverted hierarchy</b> $m_1^2 \approx m_2^2 \gg m_3^2$	$m_{LL}^{IH(A)} \approx \begin{pmatrix} 0 & 1 & 1 \\ 1 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix} \frac{m}{\sqrt{2}}$	$m_{LL}^{IH(B)} \approx \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1/2 & 1/2 \\ 0 & 1/2 & 1/2 \end{pmatrix} m$
<b>Degenerate</b> $m_1^2 \approx m_2^2 \approx m_3^2$	$m_{LL}^{DEG(A)} \approx \begin{pmatrix} 0 & \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} & \frac{1}{2} & \frac{1}{2} \\ \frac{1}{\sqrt{2}} & \frac{1}{2} & \frac{1}{2} \end{pmatrix} m$	<b>Pseudo-Dirac</b> $m_{LL}^{DEG(E1)} \approx \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} m$ $m_{LL}^{DEG(E2)} \approx \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} m$

## Which Majorana matrix do we shoot for?

Ultimately an experimental question:

- **Neutrinoless double beta** decay resolves type A from B.
- **Neutrino factory** can resolve “normal” from “inverted”.
- **Galaxy structure** can constrain “degenerate” mass scale.

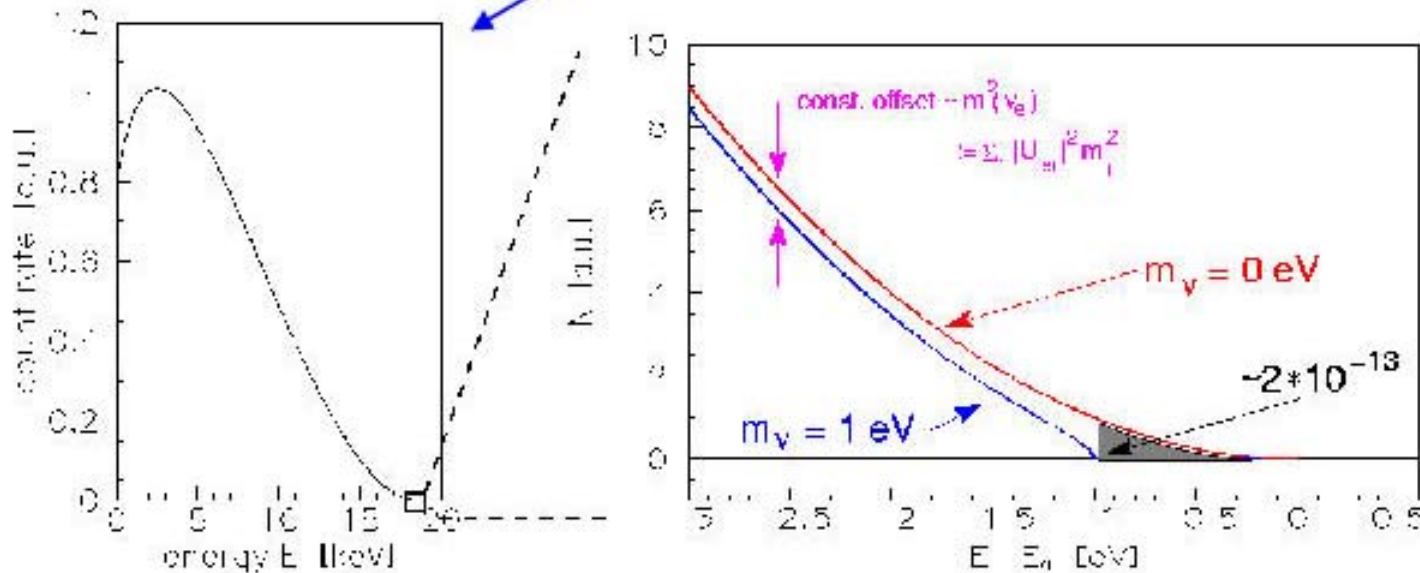
In the meantime we have two guiding theoretical principles:

- I. **Naturalness** – want to avoid fine-tuning and produce a light Majorana matrix that is stable under quantum corrections
- II. **Symmetry** - SUSY, GUTs and Family Symmetry are elements of realistic models of quark and lepton masses and mixing angles

# Direct measurement of $m(\nu_e)$

Tritium  $\beta$  decay:  ${}^3\text{H} \rightarrow {}^3\text{He}^+ + e^- + \nu_e^-$

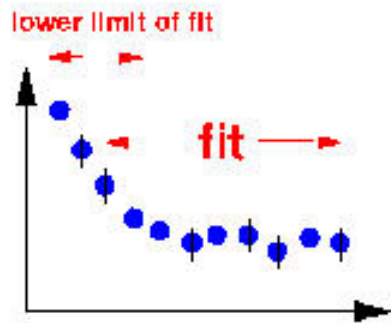
super-allowed  
 $E_0 = 18.6 \text{ keV}$   
 $t_{1/2} = 12.3 \text{ a}$



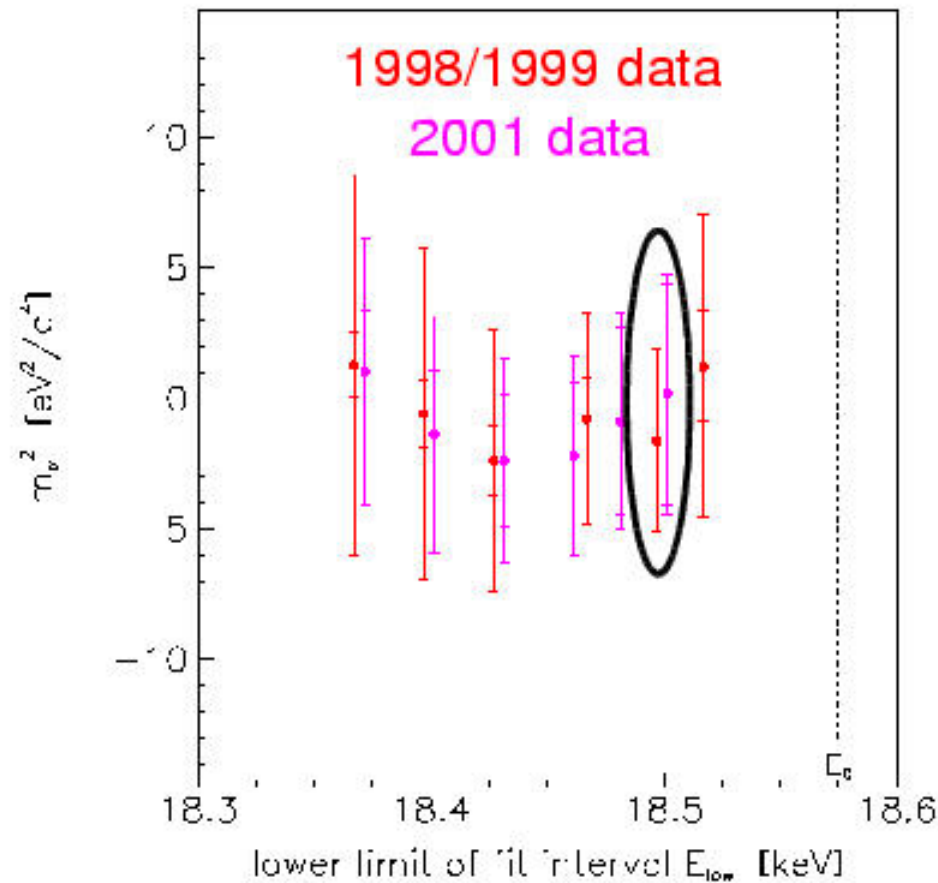
**Need very high energy resolution & very high signal rate & very low background**

C. Weinheimer

# Results of 1998/1999, 2001 data



(see poster E2)

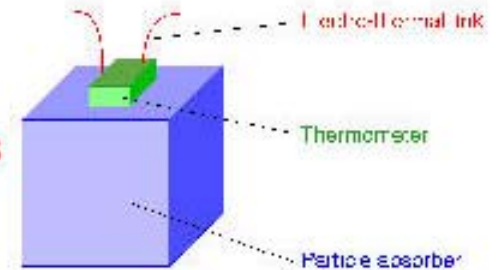


1998/1999:	$m^2(\nu) = -1.6 \pm 2.5 \pm 2.1 \text{ eV}^2$	$\Rightarrow m(\nu) < 2.2 \text{ eV (95\% C.L.)}$
2001:	$m^2(\nu) = +0.1 \pm 4.2 \pm 2.0 \text{ eV}^2$	
1998/1999/2001:	$m^2(\nu) = -1.2 \pm 2.2 \pm 2.1 \text{ eV}^2$	$\Rightarrow m(\nu) < 2.2 \text{ eV (95\% C.L.)}$
$\Rightarrow$ Mainz sensitivity limit reached, final analysis of all Mainz data soon		

# Cryogenic Bolometer Rhenium Experiments

Multi-purpose, scalable new detector technology

Basic idea:  $\beta$  emitting crystal = cryodetector  
 $\Rightarrow$  single final state: excitation by excited electronic states and inelastic scattering is collected  
 free choice of  $\beta$  emitter:  $^{187}\text{Re}: E_0 = 2.5\text{keV}$  ( $t_{1/2} = 5 \cdot 10^{10}\text{y}$ )



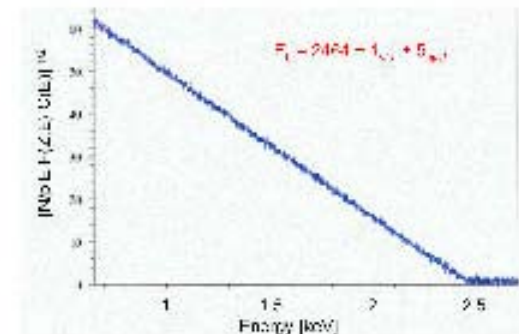
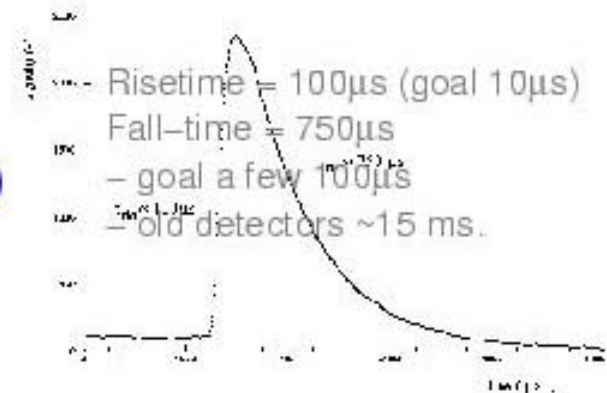
Current experiments:

MANU2 (F. Gatti et al., Genova)

- Re metallic crystal (1.5 mg)
- BEFS measured (F. Gatti et al., Nature 397 (1999) 137)
- Sensitivity:
  - current:  $m(\nu) < 26\text{ eV}$
  - near future: sensitivity of 10 eV expected
  - future: eV resolution by s.c. sensors

MiBeta (E. Fiorini et al., Milano, Como)

- $\text{AgReO}_4$  (250 - 350  $\mu\text{g}$ )
- Sensitivity: similar to MANU2  
 (see poster E4)



C. Weinheimer

# Which way to sub-eV neutrino masses?

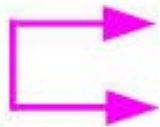
## Neutrinos of galactic supernova (s. talk by J. Beacom)

- galactic SN only every 40 years
- not sensitive below 1 eV (uncertainty in time spectrum of neutrino emission)

## Large scale structure (s. talk by S. Hannestad)

- model dependent
- neutrino mass from lab can serve as input for astrophysics

complementary



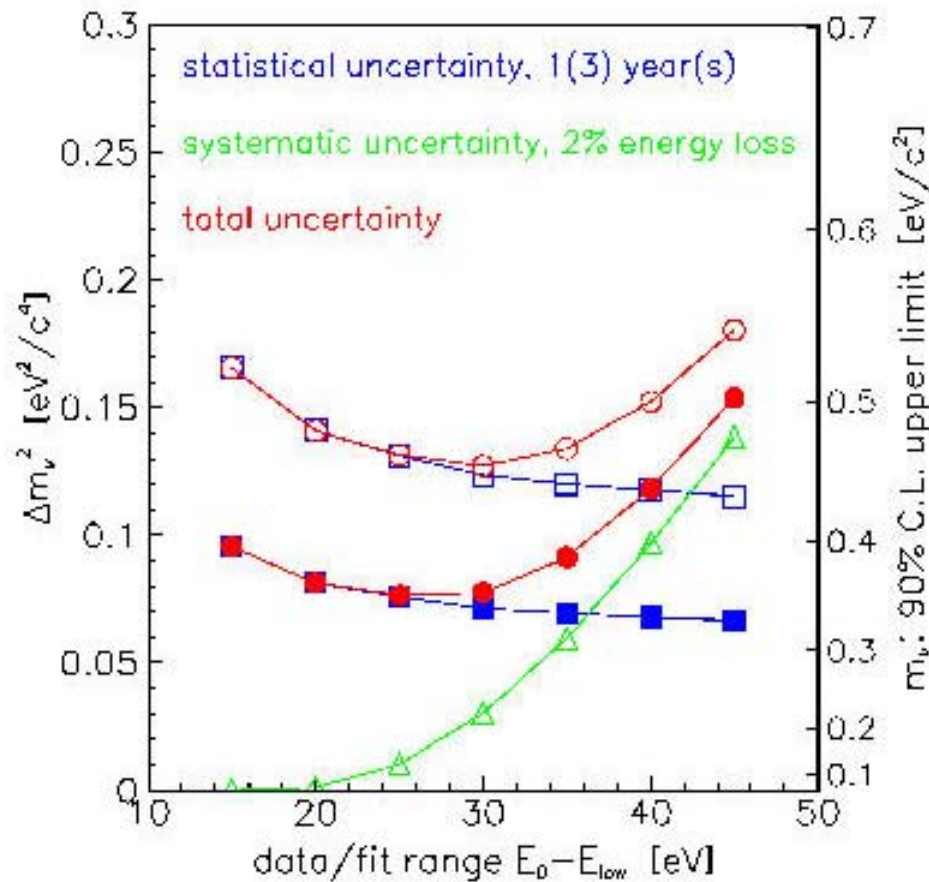
## Search for $0\nu\beta\beta$ (s. talk by O. Cremonesi)

## Direct neutrino mass determination

- $^{187}\text{Re}$   $\beta$  decay with cryogenic bolometers
- Tritium  $\beta$  decay with electrostatic deflector (UTA-exp.)
- Tritium  $\beta$  decay with MAC-E-Filter
  - first MAC-E-Filters (Mainz/Troitsk) are very successful
  - no material in beam line, no tails of resolution function
  - quasi „single final state“ experiment
  - also: not-integrating MAC-E-TOF mode

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# Estimation of sensitivity



energy resolution: 1eV  
 source area: 29 cm<sup>2</sup>  
 gaseous source column density: 5 10<sup>17</sup>/cm<sup>2</sup>  
 max accepted starting angle: 51°  
 background rate: 11 mHz

First simulations with conservative assumptions

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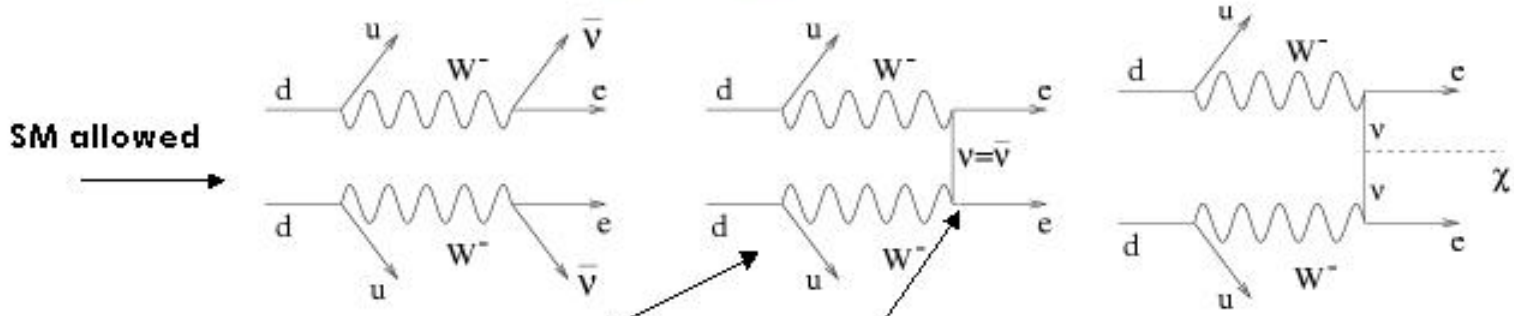
⇒ Sensitivity on  $m(\nu_e)$   
 $\approx 0.35 \text{ eV}/c^2$



# Double Beta Decay

Three main decay modes

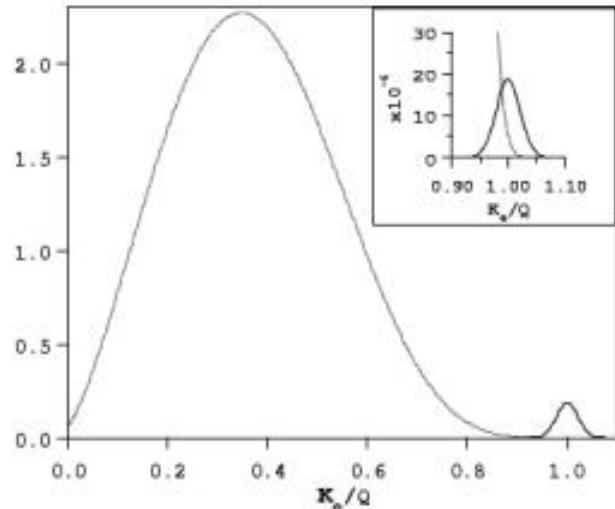
- a)  $\text{DBD}2\nu: (A, Z) \rightarrow (A, Z+2) + 2e^- + 2\bar{\nu}_e$
- b)  $\text{DBD}0\nu: (A, Z) \rightarrow (A, Z+2) + 2e^-$
- c)  $\text{DBD}\chi: (A, Z) \rightarrow (A, Z+2) + 2e^- + n\chi$



New neutrino properties:  
 $\nu = (\nu)^c$   
 $m_\nu \neq 0$

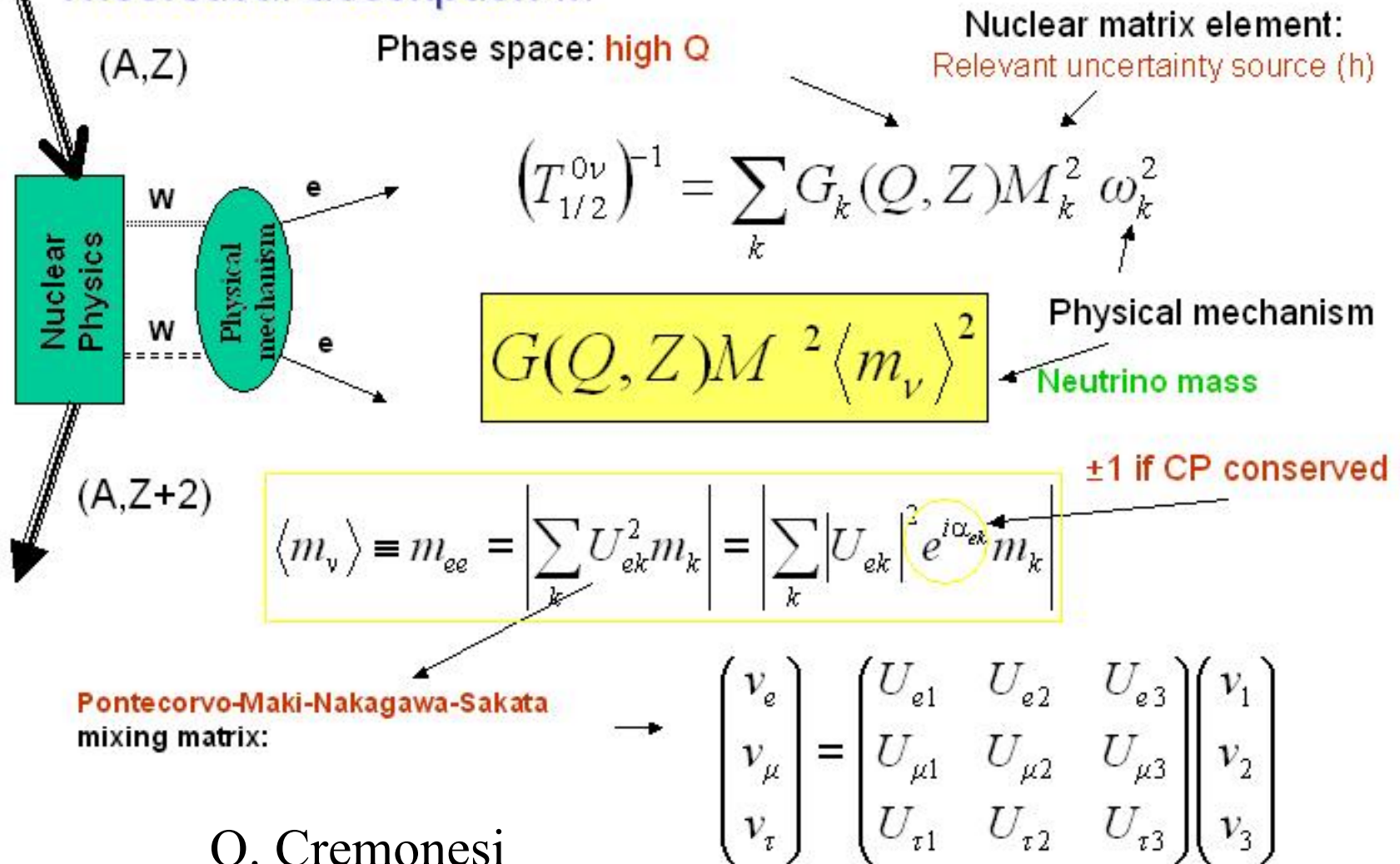
Helicity matching

2 electrons sum energy spectra:  
 Main experimental signature ...  
 (single electron E and angular distributions)



# DBD & Neutrino Properties

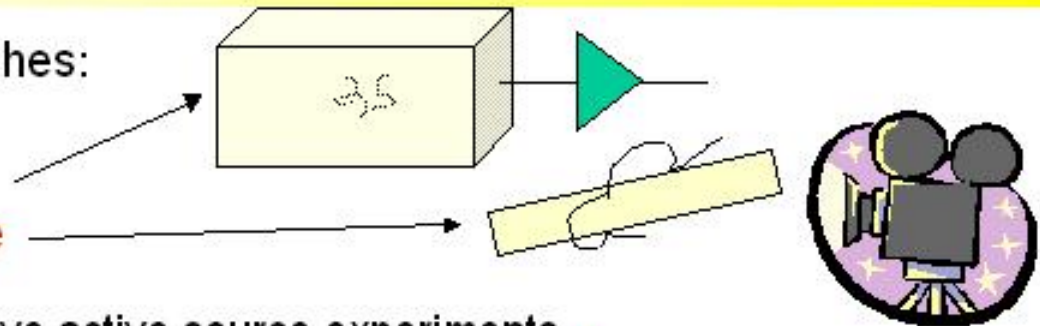
Theoretical description ...



## 0ν2β Experimental Situation

2 main experimental approaches:

- Active Source
- Passive Source



Best 0ν2β results involve active source experiments

Experiment	Isotope	$T_{1/2}^{0\nu}$ (y)	$\langle m_\nu \rangle$ (eV)
You Ke et al. 1998	$^{48}\text{Ca}$	$> 9.5 \times 10^{21}$ (76%)	$< 8.3$
Klapdor-Kleingrothaus 2001	$^{76}\text{Ge}$	$> 1.9 \times 10^{25}$	$< 0.35$
Aalseth et al 2002		$> 1.57 \times 10^{25}$	$< 0.33 - 1.35$
Elliott et al. 1992	$^{82}\text{Se}$	$> 2.7 \times 10^{22}$ (68%)	$< 5$
Ejiri et al. 2001	$^{100}\text{Mo}$	$> 5.5 \times 10^{22}$	$< 2.1$
Danevich et al. 2000	$^{116}\text{Cd}$	$> 7 \times 10^{22}$	$< 2.6$
Bernatowicz et al. 1993	$^{130/128}\text{Te}^*$	$(3.52 \pm 0.11) \times 10^4$	$< 1.1 - 1.5$
Bernatowicz et al. 1993	$^{128}\text{Te}^*$	$> 7.7 \times 10^{24}$	$< 1.1 - 1.5$
Mi DBD – ν 2002	$^{130}\text{Te}$	$> 2.1 \times 10^{23}$	$< 0.85 - 2.1$
Luescher et al. 1998	$^{136}\text{Xe}$	$> 4.4 \times 10^{23}$	$< 1.8 - 5.2$
Belli et al. 2001	$^{136}\text{Xe}$	$> 7 \times 10^{23}$	$< 1.4 - 4.1$
De Silva et al. 1997	$^{150}\text{Nd}$	$> 1.2 \times 10^{21}$	$< 3$
Danevich et al. 2001	$^{160}\text{Gd}$	$> 1.3 \times 10^{21}$	$< 26$

## Evidence for $0\nu 2\beta$ : KDHK

Klapdor-Kleingrothaus HV et al. hep-ph/0201231  
 Klapdor-Kleingrothaus HV and Sarkar U. hep-ph/0201224

### EVIDENCE FOR NEUTRINOLESS DOUBLE BETA DECAY

H.V. KLAPDOR-KLEINGROTHAUS<sup>1,3</sup>,  
 A. DIETZ<sup>1</sup>, H.L. HARNEY<sup>1</sup>, I.V. KRIVOSHEINA<sup>1,2</sup>

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 Germany

<sup>2</sup>Radiophysical-Research Institute, Nishnii-Nowgorod, Russia

<sup>3</sup>Spokesman of the GENIUS and HEIDELBERG-MOSCOW Collaborations,  
 e-mail: klapdor@gustav.mpi-hd.mpg.de,

home page: [http://www.mpi-hd.mpg.de/non\\_acc/](http://www.mpi-hd.mpg.de/non_acc/)

#### 2.2 - 3.1 $\sigma$ effect

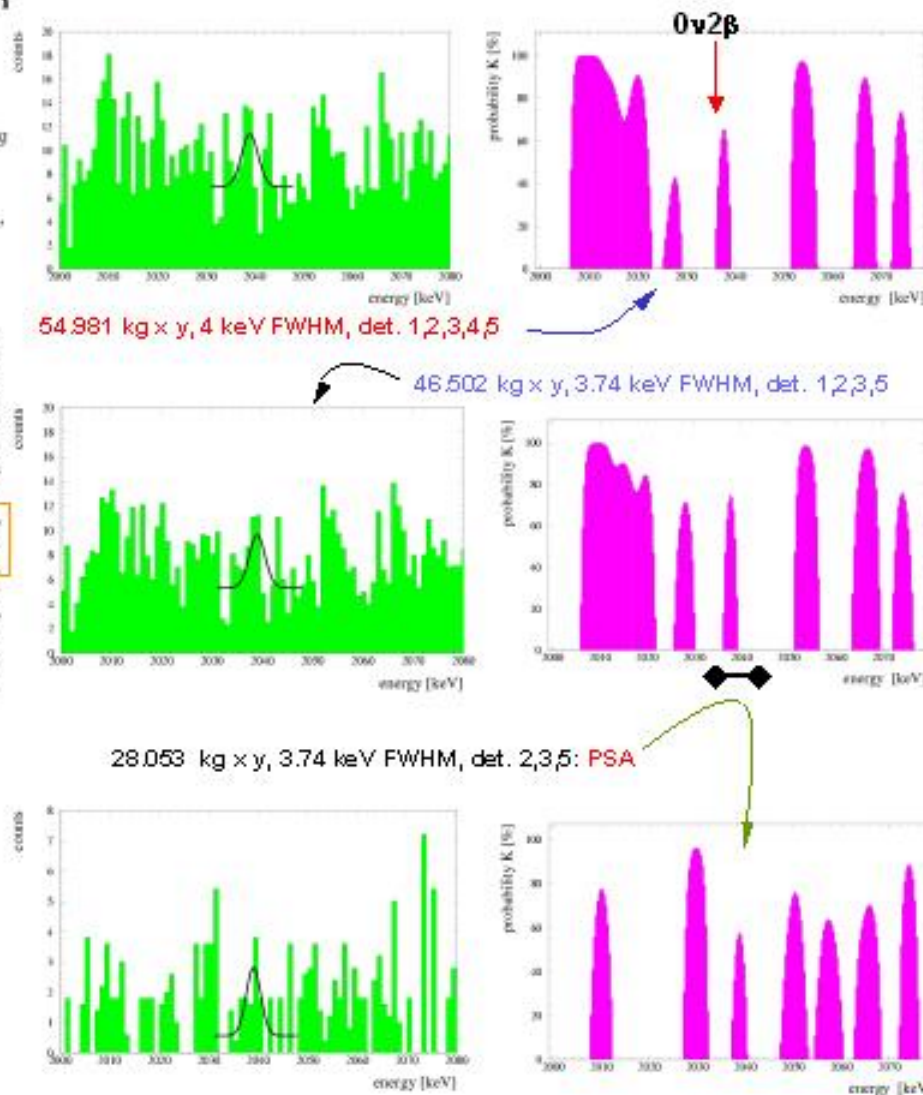
The data of the HEIDELBERG-MOSCOW double beta decay experiment for the measuring period August 1990 - May 2000 (54.9813kg y or 723.44molyears), published recently, are analyzed using the potential of the Bayesian method for low counting rates. First evidence for neutrinoless double beta decay is observed giving first evidence for lepton number violation. The evidence for this decay mode is 97% (2.2 $\sigma$ ) with the Bayesian method, and 99.8% c.l. (3.1 $\sigma$ ) with the method recommended by the Particle Data Group. The half-life of the process is found with the Bayesian method to be  $T_{1/2}^{0\nu} = (0.8 - 18.3) \times 10^{25}$  y (95% c.l.)

with a best value of  $1.5 \times 10^{25}$  y. The deduced value of the effective neutrino mass is, with the nuclear matrix elements from [1],  $(m) = (0.11 - 0.56)$  eV (95% c.l.), with a best value of 0.39 eV. Uncertainties in the nuclear matrix elements may widen the range given for the effective neutrino mass by at most a factor 2. Our observation which at the same time means evidence that the neutrino is a Majorana particle, will be of fundamental importance for neutrino physics. PACS. 14.69.Pq Neutrino mass and mixing - 23.40.Bw Weak-interaction and lepton (including neutrino) aspects - 23.40.-s Beta decay; double beta decay; electron and muon capture.

#### Reanalysis of the 1990-2000 Heidelberg-Moscow data

- Peak Detection Procedure
- Bayesian approach

Natural radioactivity lines recognized!  
**Select a small Energy interval around  $E_0$**



## Future projects

Experiment	Author	Isotope	Detector description	$T_{1/2}^{5\gamma}$ (y)	$\langle m_{\nu} \rangle^*$
COBRA	Zuber 2001	$^{130}\text{Te}$	10 kg CdTe semiconductors	$1 \times 10^{24}$	0.71
CUORICINO	Arnaboldi et al 2001	$^{130}\text{Te}$	40 kg of $\text{TeO}_2$ bolometers	$1.5 \times 10^{25}$	0.19
NEMO3	Sarazin et al 2000	$^{100}\text{Mo}$	10 kg of bb(0n) isotopes (7 kg Mo) with tracking	$4 \times 10^{24}$	0.56
CUORE	Arnaboldi et al. 2001	$^{130}\text{Te}$	760 kg of $\text{TeO}_2$ bolometers	$7 \times 10^{26}$	0.027
EXO	Danevich et al 2000	$^{136}\text{Xe}$	1 t enriched Xe TPC	$8 \times 10^{26}$	0.052
GEM	Zdesenko et al 2001	$^{76}\text{Ge}$	1 t enriched Ge diodes in liquid nitrogen + water shield	$7 \times 10^{27}$	0.018
GENIUS	Klapdor-Kleingrothaus et al 2001	$^{76}\text{Ge}$	1 t enriched Ge diodes in liquid nitrogen	$1 \times 10^{28}$	0.015
MAJORANA	Aalseth et al 2002	$^{76}\text{Ge}$	0.5 t enriched Ge segmented diodes	$4 \times 10^{27}$	0.025
DCBA	Ishihara et al 2000	$^{150}\text{Nd}$	20 kg enriched Nd layers with tracking	$2 \times 10^{25}$	0.035
CAMEO	Bellini et al 2001	$^{116}\text{Cd}$	1 t $\text{CdWO}_4$ crystals in liquid scintillator	$> 10^{26}$	0.069
CANDLES	Kishimoto et al	$^{48}\text{Ca}$	several tons of $\text{CaF}_2$ crystal in liquid scintillator	$1 \times 10^{26}$	
GSO	Danevich 2001	$^{160}\text{Gd}$	2 t $\text{Gd}_2\text{SiO}_5:\text{Ce}$ cristal scintillator in liquid scintillator	$2 \times 10^{26}$	0.065
MOON	Ejiri et al 2000	$^{100}\text{Mo}$	34 t natural Mo sheets between plastic scintillator	$1 \times 10^{27}$	0.036
Xe	Caccianiga et al 2001	$^{136}\text{Xe}$	1.56 t of enriched Xe in liquid scintillator	$5 \times 10^{26}$	0.066
XMASS	Moriyama et al 2001	$^{136}\text{Xe}$	10 t of liquid Xe	$3 \times 10^{26}$	0.086

\* Staudt, Muto, Klapdor-Kleingrothaus Europh. Lett 13 (1990) 31